



OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

October 24, 2019

Andrew Free
MuckRock News, DEPT MR 77550
411A Highland Ave
Somerville, MA 02144

Subject: OIG Freedom of Information Act Request No.2019-IGFO-00209
 #1 Interim Response

Dear Mr. Free:

This is an interim response to your Freedom of Information Act (FOIA) request to the Department of Homeland Security (DHS) Office of Inspector General (OIG), dated July 18, 2019, seeking all communications to email address: oigauditsfollowup@oig.dhs.gov. Your request was received in this office on July 18, 2019.

DHS-OIG conducts independent investigations, audits, inspections, and special reviews of DHS personnel, programs, and operations to detect and deter waste, fraud, and abuse, and to promote integrity, economy, and efficiency within DHS. In response to your request, a search of the DHS-OIG Office(s) of IT for Email Searches was conducted. This search produced 7,245 pages responsive to your request. Therefore, we have processed the first 1000 pages and are providing in this interim those pages deemed appropriate for release. We will continue to process this request and provide you with the releaseable portions.

Enclosed are 691 pages of records responsive to your request. We reviewed the responsive records under the FOIA to determine whether they may be disclosed to you. Based on that review, this office is providing the following:

320 page(s) are released in full (RIF);
371 page(s) are released in part (RIP);
4 page(s) are withheld in full (WIF);
305 page(s) were referred to another entity.

The exemptions cited for withholding records or portions of records are marked below.

Freedom of Information Act, 5 U.S.C. § 552			Privacy Act, 5 U.S.C. § 552a
<input type="checkbox"/> 552(b)(1)	<input type="checkbox"/> 552(b)(5)	<input checked="" type="checkbox"/> 552(b)(7)(C)	<input type="checkbox"/> 552a(j)(2)
<input type="checkbox"/> 552(b)(2)	<input checked="" type="checkbox"/> 552(b)(6)	<input type="checkbox"/> 552(b)(7)(D)	<input type="checkbox"/> 552a(k)(2)
<input type="checkbox"/> 552(b)(3)	<input type="checkbox"/> 552(b)(7)(A)	<input type="checkbox"/> 552(b)(7)(E)	<input type="checkbox"/> 552a(k)(5)
<input type="checkbox"/> 552(b)(4)	<input type="checkbox"/> 552(b)(7)(B)	<input type="checkbox"/> 552(b)(7)(F)	<input type="checkbox"/> Other:

OIG redacted from the enclosed documents, names and identifying information of third parties to protect the identities of these individuals. Absent a Privacy Act waiver, the release of such information concerning the third parties named in these records would result in an unwarranted invasion of personal privacy in violation of the Privacy Act. Information is also protected from disclosure pursuant to Exemptions (b)(6) and (b)(7)(C) of the FOIA further discussed below.

Exemption 6, 5 U.S.C. § 552(b)(6)

Exemption 6 allows withholding of “personnel and medical files and *similar files* the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.” 5 U.S.C. § 552(b)(6)(emphasis added). DHS-OIG is invoking Exemption 6 to protect the names of third parties and any information that could reasonably be expected to identify such individuals.

Exemption 7(C), 5 U.S.C. § 552(b)(7)(C)

Exemption 7(C) protects from public disclosure “records or information compiled for law enforcement purposes . . . [if disclosure] could reasonably be expected to cause an unwarranted invasion of personal privacy.” 5 U.S.C. § 552(b)(7)(C). DHS-OIG is invoking Exemption 7(C) to protect the identities of third parties, and any information contained in these investigative records that could reasonably be expected to identify those individuals.

Referral

Additionally, 305 pages have been referred to Federal Emergency Management Agency (FEMA), Immigration and Customs Enforcement (ICE) and Customs and Border Patrol (CBP) and United States Coast Guard (USCG) all are DHS components. These components will process their records under the FOIA and respond to you directly. Below are email address and phone numbers should you wish to contact components:

Fema-foia@fema.dhs.gov, 202-646-3323; ice-foia@dhs.gov, 202-732-4116; Sabrina.Burroughs@cbp.dhs.gov, 202-325-0150 and efoia@uscg.mil, 202-475-3927.

Appeal

Because processing is not yet complete for this request, we ask that you defer any appeals until we have completed our production of records. You do, however, have the right to appeal this response.¹ Your appeal must be in writing and received within 90 days of the date of this response. Please address any appeal to:

FOIA/PA Appeals Unit
DHS-OIG Office of Counsel
Stop 0305
245 Murray Lane, SW
Washington, DC 20528-0305

Both the envelope and letter of appeal must be clearly marked, "Freedom of Information Act/Privacy Act Appeal." Your appeal letter must also clearly identify the DHS-OIG's response. Additional information on submitting an appeal is set forth in the DHS regulations at 6 C.F.R. § 5.8.

Assistance and Dispute Resolution Services

Should you need assistance with your request, you may contact DHS-OIG's FOIA Public Liaison. You may also seek dispute resolution services from our FOIA Public Liaison. You may contact DHS-OIG's FOIA Public Liaison in any of the following ways:

FOIA Public Liaison
DHS-OIG Counsel
STOP 0305
245 Murray Lane, SW
Washington, DC 20528-0305
Phone: 202-981-6100
Fax: 202-245-5217
E-mail: foia.oig@oig.dhs.gov

¹ For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. 5 U.S.C. 552(c) (2006 & Supp. IV 2010). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist.

Additionally, the 2007 FOIA amendments created the Office of Government Information Services (OGIS) to offer mediation services to resolve disputes between FOIA requesters and Federal agencies as a non-exclusive alternative to litigation. Using OGIS services does not affect your right to pursue litigation. You may contact OGIS in any of the following ways:

Office of Government Information Services
National Archives and Records Administration
8601 Adelphi Road - OGIS
College Park, MD 20740-6001
E-mail: ogis@nara.gov
Web: <https://ogis.archives.gov>
Telephone: 202-741-5770
Fax: 202-741-5769
Toll-free: 1-877-684-6448

If you have any questions about this response, please contact Steven Phelps, FOIA/PA Disclosure Specialist, at 202-981-6338.

Sincerely,

Steven G. Phelps

Steven G. Phelps
FOIA/PA Disclosure Specialist

Enclosure(s)

Copy of FOIA Request

Phelps, Steven (CTR)

From: webpublishing@hq.dhs.gov on behalf of WCM DHS <wcmmonitoring@dhs.gov>
Sent: Thursday, July 18, 2019 3:05 AM
To: FOIA OIG
Subject: Form submission from: DHS FOIA / Privacy Act Request Submission Form

Submitted on Thursday, July 18, 2019 - 03:04 Submitted by user: Anonymous Submitted values are:

Select the DHS component you wish to submit your request to : Office of Inspector General (OIG)

Title:

First Name: Andrew

Middle Initial:

Last Name: Free

Suffix:

Email Address: 77550-50815909@requests.muckrock.com

Country: United States

Address 1: MuckRock News, DEPT MR 77550

Address 2: 411A Highland Ave

City: Somerville

State: Massachusetts

Zip Code: 02144

Telephone Number: 6172991832

Fax Number:

Are you requesting records on yourself? No If yes, you must check the perjury statement:

By initialing here you are providing your electronic signature.:

Please describe the records you are seeking as clearly and precisely as possible:

To Whom It May Concern:

Pursuant to the Freedom of Information Act, I hereby request the following records:

All communications received by the following email address:

"OIGAuditsFollowup@oig.dhs.gov" between March 1, 2019 and the present.

The requested documents will be made available to the general public, and this request is not being made for commercial purposes.

In the event that there are fees, I would be grateful if you would inform me of the total charges in advance of fulfilling my request. I would prefer the request filled electronically, by e-mail attachment if available or CD-ROM if not.

Thank you in advance for your anticipated cooperation in this matter. I look forward to receiving your response to this request within 20 business days, as the statute requires.

Sincerely,

Andrew Free

Upload documents directly:

[https://https://www.muckrock.comhttps://accounts.muckrock.com/accounts/login/?url_auth_token=AAAegebJAsxcsZiw371aNOOfPOBM%3A1ho0Qq%3An4Joskqt4-](https://https://www.muckrock.comhttps://accounts.muckrock.com/accounts/login/?url_auth_token=AAAegebJAsxcsZiw371aNOOfPOBM%3A1ho0Qq%3An4Joskqt4-0dwkl2zIPszyz_kp_Y&next=https%3A%2F%2Fwww.muckrock.com%2Faccounts%2Flogin%2F%3Fnext%3D%252Faccounts%252Fagency_login%252Fdepartment-of-homeland-security-office-of-inspector-general-236%252Foig-audits-follow-up-inbox-77550%252F%253Femail%253DFOIA.OIG%252540oig.dhs.gov)

[0dwkl2zIPszyz_kp_Y&next=https%3A%2F%2Fwww.muckrock.com%2Faccounts%2Flogin%2F%3Fnext%3D%252Faccounts%252Fagency_login%252Fdepartment-of-homeland-security-office-of-inspector-general-236%252Foig-audits-follow-up-inbox-77550%252F%253Femail%253DFOIA.OIG%252540oig.dhs.gov](https://https://www.muckrock.comhttps://accounts.muckrock.com/accounts/login/?url_auth_token=AAAegebJAsxcsZiw371aNOOfPOBM%3A1ho0Qq%3An4Joskqt4-0dwkl2zIPszyz_kp_Y&next=https%3A%2F%2Fwww.muckrock.com%2Faccounts%2Flogin%2F%3Fnext%3D%252Faccounts%252Fagency_login%252Fdepartment-of-homeland-security-office-of-inspector-general-236%252Foig-audits-follow-up-inbox-77550%252F%253Femail%253DFOIA.OIG%252540oig.dhs.gov)

I am willing to pay fees for this request up to the amount of: \$: 25 Select from the list below: An individual seeking information for personal use and not for commercial use.

I request a waiver of all fees for this request.:

Please provide an explanation for your request for a fee waiver:

Please select and describe in detail if you believe your request warrants expeditious handling:

Please provide information to support your selection:

DOCUMENTS

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Viray, Lovella
Sent: 1 Mar 2019 11:38:59 +0000
To: OIG Audits Followup
Subject: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Attachments: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <3568fd9711954cf5890f7e41ba1b927f@D2ASEVPEMHU11.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Viray, Lovella
Sent: 1 Mar 2019 06:38:59 -0500
To: OIG Audits Followup
Subject: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

I am currently out of the office and will return on Monday, March 4, 2019. If you need immediate assistance, please contact Ms. Tammy Johnson. Thank you.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Gardner, Nigel
Sent: 7 Mar 2019 20:34:09 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <1583B755B8245843AACDD17CB9E2A85101296DB649@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Gardner, Nigel
Sent: 7 Mar 2019 15:34:09 -0500
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bumgardner, Donald
Sent: 5 Mar 2019 19:16:23 +0000
To: OIG Audits Followup
Subject: Automatic reply: SAR Instructions: Six Months ending March 31, 2019
Attachments: Automatic reply: SAR Instructions: Six Months ending March 31, 2019

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: SAR Instructions: Six Months ending March 31, 2019
Message-Id: <948630ad1d6c4e4f82bb1a77b050affc@D2ASEVPEMHU09.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bumgardner, Donald
Sent: 5 Mar 2019 14:16:23 -0500
To: OIG Audits Followup
Subject: Automatic reply: SAR Instructions: Six Months ending March 31, 2019

Thank you for your e-mail. I will be out of the office from March 1-8. I will not be able to return emails or calls until I return to. During my absence, you can contact the following Directors who will be acting for me:

Sean Pettersen 3/1: 303-236-(b)(6)
Patrick O'Malley: 3/4-3/6: 856-229-(b)(6)
Bob Greene: 3/7-8: 202-981-(b)(6)

Have a good day!

*Don Bumgardner, DAIGA
DHS-OIG,
202-369-(b)(6)*

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Garvin, Angela
Sent: 5 Mar 2019 16:08:20 +0000
To: Winnick, Seth A; Salmon, Thomas; OIG Audits Followup
Cc: Crumpacker, Jim; Sepehri, Reza; GAO-OIG Liaison; Roncone, Stephen; Knybel, Jeffery A; Moy, Michael C; Tang, Joanne
Subject: RE: 90-Day Status Update "Oversight Review of the Department of Homeland Security Immigration and Customs Enforcement, Office of Professional Responsibility, Investigations Division" (OIG-19-14)
Attachments: RE: 90-Day Status Update "Oversight Review of the Department of Homeland Security Immigration and Customs Enforcement, Office of Professional Responsibility, Investigations Division" (OIG-19-14)

Sender: (b)(6)@oig.dhs.gov
Subject: RE: 90-Day Status Update "Oversight Review of the Department of Homeland Security Immigration and Customs Enforcement, Office of Professional Responsibility, Investigations Division" (OIG-19-14)
Message-Id: <99BA8C81217E9F4F9D5336E80C1E7C7D01296F9EE9@D2ASEPREA009>
To: (b)(6); (b)(7)(C)@ice.dhs.gov
To: (b)(6)@oig.dhs.gov
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)hq.dhs.gov@usdhs.mail.onmicrosoft.com
Cc: (b)(6)hq.dhs.gov@usdhs.mail.onmicrosoft.com
Cc: gao-oig.liaison.hq.dhs.gov@usdhs.mail.onmicrosoft.com
Cc: (b)(6) gov
Cc: gov
Cc: v
Cc:

From: Garvin, Angela
Sent: 5 Mar 2019 11:08:20 -0500
To: (b)(6); (b)(7)(C); Salmon, Thomas;OIG Audits Followup
Cc: (b)(6); GAO-OIG Liaison (b)(6); (b)(7)(C)
(b)(6); (b)(7)(C)

Subject: RE: 90-Day Status Update "Oversight Review of the Department of Homeland Security Immigration and Customs Enforcement, Office of Professional Responsibility, Investigations Division" (OIG-19-14)

Good morning (b)(6); (b)(7)(C)

We acknowledge receipt of the 90-day status update. We will review the update and provide responses as appropriate.

Thank you

Angela

Angela E. Garvin, CGAP
Director, Investigations Quality Assurance
Department of Homeland Security
Office of Inspector General
(w) (202) 981- (b)(6)
(c) (202) 369- (b)(6)
(f) (202) 245-5218
(b)(6) @oig.dhs.gov
@dhs.sgov.gov

From: (b)(6); (b)(7)(C) @ice.dhs.gov>
Sent: Tuesday, March 05, 2019 10:30 AM
To: Salmon, Thomas (b)(6) @oig.dhs.gov>; Garvin, Angela (b)(6) @oig.dhs.gov>;
OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Cc: Crumpacker, Jim (b)(6) @HQ.DHS.GOV>; Sepehri, Reza (b)(6) @hq.dhs.gov>;
GAO-OIG Liaison <GAO-OIG.Liaison@HQ.DHS.GOV>; (b)(6); (b)(7)(C)
(b)(6); (b)(7)(C) @ice.dhs.gov> (b)(6); (b)(7)(C) @ice.dhs.gov> (b)(6); (b)(7)(C)
(b)(6); (b)(7)(C) @ice.dhs.gov>; (b)(6); (b)(7)(C) @ice.dhs.gov>
Subject: 90-Day Status Update "Oversight Review of the Department of Homeland Security Immigration and Customs Enforcement, Office of Professional Responsibility, Investigations Division" (OIG-19-14)

Good morning, Assistant Inspector General Salmon.

Consistent with the Department's procedures, attached is a 90-day status update for OIG report "Oversight Review of the Department of Homeland Security Immigration and Customs Enforcement, Office of Professional Responsibility, Investigations Division" (OIG-19-14).

I'm providing the signed version in pdf, as well as an MS Word version.

Also attached is documentation to support our requests to close eight of the recommendations.

Please feel free to contact me if you have any questions.

Thank you.

(b)(6); (b)(7)(C)

DHS | ICE | OCFO | Office of Assurance and Compliance (OAC)

Office: (202) 732- (b)(6) Email: (b)(6); (b)(7)(C) @ice.dhs.gov

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of DeMarines, Jane
Sent: 7 Mar 2019 20:34:43 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <DA3FF73C9AE89F41BD971324E423F7313F2FD14E@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: DeMarines, Jane
Sent: 7 Mar 2019 15:34:43 -0500
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Kim, Henry
Sent: 7 Mar 2019 20:41:44 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <6C67321CC2C1E14C90139C02A63C8FC06E8DC31B@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Kim, Henry
Sent: 7 Mar 2019 15:41:44 -0500
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Duddy, Maureen
Sent: 5 Mar 2019 19:16:24 +0000
To: OIG Audits Followup
Subject: Automatic reply: SAR Instructions: Six Months ending March 31, 2019
Attachments: Automatic reply: SAR Instructions: Six Months ending March 31, 2019

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: SAR Instructions: Six Months ending March 31, 2019
Message-Id: <d0115adfe35a4a08bfa8ea8ef7736e1b@D2ASEVPEMHU09.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Duddy, Maureen
Sent: 5 Mar 2019 14:16:24 -0500
To: OIG Audits Followup
Subject: Automatic reply: SAR Instructions: Six Months ending March 31, 2019

Hello,

I will be out of the office the week of March 4th. Sandra John will be acting for me this week. Sandra can be reached at 202-981 (b)(6)

Moe Duddy

DHS-OIG

Boston, MA

(617) 565 (b)(6)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 7 Mar 2019 20:48:03 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012924DD18@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 7 Mar 2019 15:48:04 -0500
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Jackson, Tia
Sent: 7 Mar 2019 20:51:12 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <CD9B26C6DEE7F94999422C562CCA84C101473D3940@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Jackson, Tia
Sent: 7 Mar 2019 15:51:12 -0500
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Duddy, Maureen
Sent: 1 Mar 2019 11:39:00 +0000
To: OIG Audits Followup
Subject: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Attachments: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <edca8590b91d44249a4de048ca5c218c@D2ASEVPEMHU11.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Duddy, Maureen
Sent: 1 Mar 2019 06:39:00 -0500
To: OIG Audits Followup
Subject: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

Hello,

I will be out of the office on February 28th and March 1st. I will be monitoring emails throughout the day.

Moe Duddy
DHS-OIG

Boston, MA

(617) 565-(b)(6)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Brewington, Quentien
Sent: 1 Mar 2019 11:52:25 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)
Attachments: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <0113F04C16136240A386DE054BB5989146B168EB@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Brewington, Quentien
Sent: 1 Mar 2019 06:52:25 -0500
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of DeHaven, David
Sent: 7 Mar 2019 21:23:08 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <AD6704DC471FC84099B7D8F22338384001196AEE34@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: DeHaven, David
Sent: 7 Mar 2019 16:23:07 -0500
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Duddy, Maureen
Sent: 8 Mar 2019 11:20:12 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <50D89E38EC43C74DBDD53DFCE5E9017401295F6E4E@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Duddy, Maureen
Sent: 8 Mar 2019 06:20:11 -0500
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Veppumthara, Abe
Sent: 8 Mar 2019 17:17:27 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <5C4F65EFE6A1E345A7EEC9D1A92D56D1CB2FB647@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Veppumthara, Abe
Sent: 8 Mar 2019 12:17:26 -0500
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Trimble, Katherine
Sent: 11 Mar 2019 13:38:00 +0000
To: OIG Audits Followup
Cc: Viray, Lovella
Subject: RE: Cancellation Memo for Review - Hurricane Florence Response and Recovery Efforts (522986)
Attachments: RE: Cancellation Memo for Review - Hurricane Florence Response and Recovery Efforts (522986)

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Cancellation Memo for Review - Hurricane Florence Response and Recovery Efforts (522986)
Message-Id: <877D7206F41D7E4789799F1638A3FDA11D26AA92@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: Trimble, Katherine
Sent: 11 Mar 2019 09:38:00 -0400
To: OIG Audits Followup
Cc: Viray, Lovella
Subject: RE: Cancellation Memo for Review - Hurricane Florence Response and Recovery Efforts (522986)

Sorry for the delay. I'm fine with this as the Acting IG has already approved. Please let me know if you need further action from me.

Katherine

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, February 27, 2019 7:36 AM
To: Trimble, Katherine (b)(6)@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: Cancellation Memo for Review - Hurricane Florence Response and Recovery Efforts (522986)

Hi Katherine,

For your review, is the cancellation memo for the project titled "*FEMA's Response to Hurricane Florence in the States of North and South Carolina.*" Cancellation change request has been approved by the Acting IG and the project is already terminated in PTS.

http://dc1pts01:800/iq/workflow_edit.aspx?cid=522986&tabid= A template – Memo (doc 5)

Tammy A. Johnson

Department of Homeland Security
Office of Inspector General
Office of Audits
Washington, DC
(202) 981 (b)(6)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Trimble, Katherine
Sent: 1 Mar 2019 12:48:24 +0000
To: OIG Audits Followup
Subject: Automatic reply: Final Alert for Review: MA - FEMA Did Not Safeguard
Disaster Survivors' Sensitive PII - AUD - (523289)
Attachments: Automatic reply: Final Alert for Review: MA - FEMA Did Not Safeguard
Disaster Survivors' Sensitive PII - AUD - (523289)

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors'
Sensitive PII - AUD - (523289)
Message-Id: <9ef06a95db1b4328924d190e9628283a@D2ASEVPEMHU04.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Trimble, Katherine
Sent: 1 Mar 2019 07:48:24 -0500
To: OIG Audits Followup
Subject: Automatic reply: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)

Hello! I am on travel through Friday. I will be checking and responding to email periodically, so thank you in advance for your patience.

Katherine

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Trimble, Katherine
Sent: 11 Mar 2019 13:41:00 +0000
To: OIG Audits Followup
Cc: Viray, Lovella;Batts, Vonda
Subject: RE: Correspondence - PTS - 523336 - Congressional Tu Hogar Renace
Attachments: RE: Correspondence - PTS - 523336 - Congressional Tu Hogar Renace

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Correspondence - PTS - 523336 - Congressional Tu Hogar Renace
Message-Id: <877D7206F41D7E4789799F1638A3FDA11D26AAEC@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: Trimble, Katherine
Sent: 11 Mar 2019 09:41:00 -0400
To: OIG Audits Followup
Cc: Viray, Lovella;Batts, Vonda
Subject: RE: Correspondence - PTS - 523336 - Congressional Tu Hogar Renace

Apologies for the delayed response. Yes, we are planning work to address the congressional interest in the Tu Hogar Renace program. Vonda Batts is the lead Director.

Please let me know if you need anything further from me.

Katherine

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, February 27, 2019 10:13 AM
To: Trimble, Katherine (b)(6)@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: Correspondence - PTS - 523336 - Congressional Tu Hogar Renace
Importance: High

Hi Katherine,

For your review, are four Congressional requests from Senators Blumenthal, Durbin, Sanders and Warren for the OIG to expand its review of FEMA contracting in Puerto Rico Hurricane Maria relief efforts to include an investigation into the "Tu Hogar Renace" program. Sondra queued the workflow to you on 2/4/19 with the note below. After OA approves this will be routed on to OC for review and approval before going back to OLA.

AIG NOTE: Tu Hogar Renace request. As I recall per the job starts meetings, you planned to do work in this area? Please let me know.

http://dc1pts01:800/iq/workflow_Edit.aspx?cid=523336 Correspondence workflow (documents 1-4)

Let us know if you have any questions.

Tammy A. Johnson

Department of Homeland Security
Office of Inspector General
Office of Audits
Washington, DC
(202) 981 (b)(6)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Herberger, Kelly
Sent: 11 Mar 2019 13:57:31 +0000
To: OIG Audits Followup
Subject: Automatic reply: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Automatic reply: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <4e7797c9d3a94c548d6c8038a3bfa91c@D2ASEVPPEMHU11.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Herberger, Kelly
Sent: 11 Mar 2019 09:57:31 -0400
To: OIG Audits Followup
Subject: Automatic reply: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

I will be out of the office on annual leave from Monday, March 11 through Tuesday, March 19. I will return to the office on Wednesday, March 20. If you need assistance while I am out, please contact Kevin Dolloson (b)(6)@dhs.oig.gov or Desk: (202) 981 (b)(6) iPhone: (202) 369- (b)(6)

*Regards,
Kelly*

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Veppumthara, Abe
Sent: 1 Mar 2019 13:09:43 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)
Attachments: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <5C4F65EFE6A1E345A7EEC9D1A92D56D1CB2F1AE3@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Veppumthara, Abe
Sent: 1 Mar 2019 08:09:42 -0500
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 5 Mar 2019 21:26:34 +0000
To: OIG Audits Followup
Cc: Viray, Lovella;Pettersen, Sean
Subject: RE: Announcement Memo for - USCIS T and U Visa Applications
(523130) - AUD
Attachments: RE: Announcement Memo for - USCIS T and U Visa Applications
(523130) - AUD

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Announcement Memo for - USCIS T and U Visa Applications (523130) - AUD
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012924C686@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: McCauley, Sondra
Sent: 5 Mar 2019 16:26:33 -0500
To: OIG Audits Followup
Cc: Viray, Lovella;Pettersen, Sean
Subject: RE: Announcement Memo for - USCIS T and U Visa Applications (523130) - AUD
Attachments: T&U VISA Initiation Memo for Signature & Distribution aig edits - 3-5-19.docx

Here are my edits to the memo. The team should make the corresponding changes to the objective on the design matrix.

Thanks,
Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Monday, March 04, 2019 3:53 PM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: Announcement Memo for - USCIS T and U Visa Applications (523130) - AUD

Hi Sondra,

Attached for review is the announcement memo and design matrix for “*USCIS T and U Visa Applications.*” Don approved this prior to his departure. He initially wanted you to sign out the memo but the component requested that the letter go to the USCIS Director because audits of visas types end up crossing program offices, OIT, Policy, etc. This way it stays high level.

DAIGA: Don Bumgardner
Director: Sean Pettersen

Tammy A. Johnson
Department of Homeland Security
Office of Inspector General
Office of Audits
Washington, DC
(202) 981 (b)(6)



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

MEMORANDUM FOR: L. Francis Cissna
Director, USCIS

FROM: John V. Kelly
Acting Inspector General

SUBJECT: *USCIS T and U Visa Applications*

We are initiating an audit of the U.S. Citizenship and Immigration Service's (USCIS) T and U visa programs. This is a self-initiated audit. Our objective is to determine to what extent USCIS assists in prosecuting human traffickers and violent criminals when adjudicating T and U visa applications.

We will contact your office to arrange an entrance conference. During the meeting, we will provide further details on our objectives and scope. We will begin fieldwork in March 2019. We will conduct fieldwork in Washington, DC, and other locations as necessary.

Please call me with questions, or your staff may contact Sondra McCauley, Assistant Inspector General for Audits, at (202) 981-6000.

cc: Jim Crumpacker, GAO/OIG Audit Liaison
USCIS Liaison

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Blevins, Ruth
Sent: 11 Mar 2019 13:58:24 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <536B4A233B490645AF1974BBCCE05B20920F65FE@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Blevins, Ruth
Sent: 11 Mar 2019 09:58:24 -0400
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Gardner, Nigel
Sent: 11 Mar 2019 13:57:55 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <1583B755B8245843AACDD17CB9E2A85101296DED5D@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Gardner, Nigel
Sent: 11 Mar 2019 09:57:54 -0400
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of DeHaven, David
Sent: 11 Mar 2019 13:58:24 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <AD6704DC471FC84099B7D8F22338384001196B00FE@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: DeHaven, David
Sent: 11 Mar 2019 09:58:23 -0400
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Jackson, Tia
Sent: 11 Mar 2019 13:58:32 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <CD9B26C6DEE7F94999422C562CCA84C101473D48D8@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Jackson, Tia
Sent: 11 Mar 2019 09:58:32 -0400
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 11 Mar 2019 14:05:32 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012924F0EA@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 11 Mar 2019 10:05:32 -0400
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of (b)(6); (b)(7)(C)

Sent: 6 Mar 2019 16:44:30 +0000

To: OIG Audits Followup

Cc: Fenton, Robert; (b)(6); (b)(7)(C)

(b)(6); (b)(7)(C)

(b)(6); (b)(7)(C)

FEMA-GAO-OIG-LIAISON; Melara, Humberto

Subject: OIG-18-08 (Cal Recycle) - CAP Update & ECD Extension Request

Attachments: OIG-18-08 (Cal Recycle) - CAP Update & ECD Extension Request

Sender: (b)(6); (b)(7)(C)@fema.dhs.gov

Subject: OIG-18-08 (Cal Recycle) - CAP Update & ECD Extension Request

Message-Id:

<SN6PR09MB32326450A4C2C8EC64A575A0CC730@SN6PR09MB3232.namprd09.prod.outlook.com>

Recipient: OIGAuditsFollowup@oig.dhs.gov

Recipient: (b)(6)@oig.dhs.gov

From: (b)(6)
Sent: 6 Mar 2019 16:44:11 +0000
To: OIG Audits Followup
Cc: Fenton, Robert (b)(6); (b)(7)(C)
(b)(6); (b)(7)(C)
(b)(6); FEMA-GAO-OIG-LIAISON; Melara, Humberto
Subject: OIG-18-08 (Cal Recycle) - CAP Update & ECD Extension Request
Attachments: 2019-03-01 OIG-18-08 CAP Update (Cal Recycle).pdf

Good morning,

Please find the attached memorandum from FEMA Region IX Regional Administrator, Robert Fenton, providing a status update and ECD extension request for OIG report OIG-18-08 (*FEMA and California Need to Assist CalRecycle, a California State Agency, to Improve Its Accounting of \$230 Million in Disaster Costs*) attached.

Thank you,

(b)(6)
Office of the Regional Administrator
FEMA Region IX
Cell: (202) 322 (b)(6)
(b)(6)@fema.dhs.gov

Requester's Name: Andrew Free

FOIA/PA NO.: 2019-IGFO-002096

6 PAGE(S)

are referred to FEMA for processing
and direct response to the requester.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Hicks, Carolyn
Sent: 1 Mar 2019 14:00:27 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)
Attachments: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <76D506B8-B4B5-4284-A2EF-C8D96D95A54A@oig.dhs.gov>
To: OIGAuditsFollowup@oig.dhs.gov

From: Hicks, Carolyn
Sent: 1 Mar 2019 09:00:26 -0500
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 1 Mar 2019 14:16:05 +0000
To: OIG Audits Followup
Cc: Johnson, Tammy
Subject: RE: Update to Document in your Queue for Review: Announcement
Memo for - Repetitive Damages to Roads and Bridges (523027) - AUD
Attachments: RE: Update to Document in your Queue for Review: Announcement
Memo for - Repetitive Damages to Roads and Bridges (523027) - AUD

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Update to Document in your Queue for Review: Announcement Memo for - Repetitive
Damages to Roads and Bridges (523027) - AUD
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF01292497AA@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: McCauley, Sondra
Sent: 1 Mar 2019 09:16:04 -0500
To: OIG Audits Followup
Cc: Johnson, Tammy
Subject: RE: Update to Document in your Queue for Review: Announcement Memo for - Repetitive Damages to Roads and Bridges (523027) - AUD

This is fine.

Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Friday, March 01, 2019 8:44 AM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>
Cc: Trimble, Katherine (b)(6)@oig.dhs.gov>; Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: Update to Document in your Queue for Review: Announcement Memo for - Repetitive Damages to Roads and Bridges (523027) - AUD

Hi Sondra,

Please disregard the previous version provided of the memo. Attached for review is the updated announcement memo for “*Audit of FEMA Funds Used for Repetitive Damages to Roads and Bridges.*” The team accepted your revisions to the memo and will make changes to the planning documents. The below additional changes to the objective were also made.

Our objective is to determine to what extent FEMA is conducting proper oversight of recipient use of disaster funding and the execution of policies and procedures for identifying and reducing repetitive damages to our nation's roads and bridges.

Tammy

From: Viray, Lovella
Sent: Tuesday, February 26, 2019 10:23 AM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>; Johnson, Tammy (b)(6)@oig.dhs.gov>
Cc: Trimble, Katherine (b)(6)@oig.dhs.gov>
Subject: RE: Announcement Memo for Review - Repetitive Damages to Roads and Bridges (523027) - AUD

Hi Sondra, the team accepted your revisions to the memo and will make changes to the planning documents. Thank you.

From: McCauley, Sondra (b)(6)@oig.dhs.gov>
Sent: Monday, February 25, 2019 5:50 PM

To: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>; Johnson, Tammy

(b)(6) <[\(b\)\(6\)@oig.dhs.gov](mailto:(b)(6)@oig.dhs.gov)>

Cc: Trimble, Katherine <[\(b\)\(6\)@oig.dhs.gov](mailto:(b)(6)@oig.dhs.gov)>

Subject: RE: Announcement Memo for Review - Repetitive Damages to Roads and Bridges (523027) - AUD

[See attachment](#)

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>

Sent: Monday, February 25, 2019 3:07 PM

To: McCauley, Sondra <[\(b\)\(6\)@oig.dhs.gov](mailto:(b)(6)@oig.dhs.gov)>

Cc: Johnson, Tammy <[\(b\)\(6\)@oig.dhs.gov](mailto:(b)(6)@oig.dhs.gov)>

Subject: Announcement Memo for Review - Repetitive Damages to Roads and Bridges (523027) - AUD

Hi Sondra, attached for review is the announcement memo for “*Audit of FEMA Funds Used for Repetitive Damages to Roads and Bridges*” and the Design matrix. Yesi reviewed and approved on behalf of Katherine. Thank you.

DAIGA: Katherine Trimble

Director: Larry Arnold

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Kim, Henry
Sent: 11 Mar 2019 14:15:34 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <6C67321CC2C1E14C90139C02A63C8FC06E8DC68C@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Kim, Henry
Sent: 11 Mar 2019 10:15:34 -0400
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Veppumthara, Abe
Sent: 11 Mar 2019 14:15:36 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <5C4F65EFE6A1E345A7EEC9D1A92D56D1CB2FDC61@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Veppumthara, Abe
Sent: 11 Mar 2019 10:15:35 -0400
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of DeMarines, Jane
Sent: 11 Mar 2019 14:17:11 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <DA3FF73C9AE89F41BD971324E423F7313F300A6D@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: DeMarines, Jane
Sent: 11 Mar 2019 10:17:10 -0400
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Johnson, Tammy
Sent: 1 Mar 2019 14:16:30 +0000
To: McCauley, Sondra;OIG Audits Followup
Subject: RE: Update to Document in your Queue for Review: Announcement
Memo for - Repetitive Damages to Roads and Bridges (523027) - AUD
Attachments: RE: Update to Document in your Queue for Review: Announcement
Memo for - Repetitive Damages to Roads and Bridges (523027) - AUD

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Update to Document in your Queue for Review: Announcement Memo for - Repetitive
Damages to Roads and Bridges (523027) - AUD
Message-Id: <1E22E0CEE0483A41A7FE333164B8E2AB0158D7F64E@D2ASEPREA005>
To: (b)(6)@oig.dhs.gov
To: OIGAuditsFollowup@oig.dhs.gov

From: Johnson, Tammy
Sent: 1 Mar 2019 09:16:29 -0500
To: McCauley, Sondra;OIG Audits Followup
Subject: RE: Update to Document in your Queue for Review: Announcement Memo for - Repetitive Damages to Roads and Bridges (523027) - AUD

Okay, thanks!

From: McCauley, Sondra (b)(6)@oig.dhs.gov>
Sent: Friday, March 01, 2019 9:16 AM
To: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Cc: Johnson, Tammy (b)(6)@oig.dhs.gov>
Subject: RE: Update to Document in your Queue for Review: Announcement Memo for - Repetitive Damages to Roads and Bridges (523027) - AUD

This is fine.

Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Friday, March 01, 2019 8:44 AM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>
Cc: Trimble, Katherine (b)(6)@oig.dhs.gov>; Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: Update to Document in your Queue for Review: Announcement Memo for - Repetitive Damages to Roads and Bridges (523027) - AUD

Hi Sondra,

Please disregard the previous version provided of the memo. Attached for review is the updated announcement memo for “*Audit of FEMA Funds Used for Repetitive Damages to Roads and Bridges.*” The team accepted your revisions to the memo and will make changes to the planning documents. The below additional changes to the objective were also made.

Our objective is to determine to what extent FEMA is conducting proper oversight of recipient use of disaster funding and the execution of policies and procedures for identifying and reducing repetitive damages to our nation's roads and bridges.

Tammy

From: Viray, Lovella
Sent: Tuesday, February 26, 2019 10:23 AM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>; Johnson, Tammy

(b)(6) @oig.dhs.gov>

Cc: Trimble, Katherine (b)(6) @oig.dhs.gov>

Subject: RE: Announcement Memo for Review - Repetitive Damages to Roads and Bridges (523027) - AUD

Hi Sondra, the team accepted your revisions to the memo and will make changes to the planning documents. Thank you.

From: McCauley, Sondra (b)(6) @oig.dhs.gov>

Sent: Monday, February 25, 2019 5:50 PM

To: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>; Johnson, Tammy

(b)(6) @oig.dhs.gov>

Cc: Trimble, Katherine (b)(6) @oig.dhs.gov>

Subject: RE: Announcement Memo for Review - Repetitive Damages to Roads and Bridges (523027) - AUD

See attachment

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>

Sent: Monday, February 25, 2019 3:07 PM

To: McCauley, Sondra (b)(6) @oig.dhs.gov>

Cc: Johnson, Tammy (b)(6) @oig.dhs.gov>

Subject: Announcement Memo for Review - Repetitive Damages to Roads and Bridges (523027) - AUD

Hi Sondra, attached for review is the announcement memo for “*Audit of FEMA Funds Used for Repetitive Damages to Roads and Bridges*” and the Design matrix. Yesi reviewed and approved on behalf of Katherine. Thank you.

DAIGA: Katherine Trimble
Director: Larry Arnold

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 1 Mar 2019 14:16:35 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)
Attachments: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF01292497C0@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 1 Mar 2019 09:16:35 -0500
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Albert, Duane
Sent: 11 Mar 2019 14:57:43 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)
Attachments: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <F381264DA7BE74449E3114DF849F126C015932CFFC@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Albert, Duane
Sent: 11 Mar 2019 10:57:42 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 1 Mar 2019 14:26:10 +0000
To: OIG Audits Followup
Cc: Trimble, Katherine;Viray, Lovella;Starinsky, Yesenia;Smith, Andrew
Subject: RE: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster
Survivors' Sensitive PII - AUD - (523289)
Attachments: RE: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster
Survivors' Sensitive PII - AUD - (523289)

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII -
AUD - (523289)
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012924982A@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: McCauley, Sondra
Sent: 1 Mar 2019 09:26:10 -0500
To: OIG Audits Followup
Cc: Trimble, Katherine; Viray, Lovella; Starinsky, Yesenia; Smith, Andrew
Subject: RE: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)
Importance: High

This is fine. Please carefully clean this up today and move it forward today. I believe the IG may want this published in advance of his testimony next week.

Thanks all,
Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Friday, March 01, 2019 7:48 AM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>
Cc: Trimble, Katherine (b)(6)@oig.dhs.gov>; Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: RE: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)

Good Morning Sondra,

Returned for your review is the Final FOUO alert titled ***"Management Alert - FEMA Did Not Safeguard Disaster Survivors' Sensitive Personally Identifiable Information"***. The team addressed your comments and modified the redactions based on them.

The password to the file will be sent separately.

Tammy

From: McCauley, Sondra (b)(6)@oig.dhs.gov>
Sent: Wednesday, February 27, 2019 3:59 PM
To: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Cc: Trimble, Katherine (b)(6)@oig.dhs.gov>; Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: RE: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)

I suggest you limit redactions to just the corporation name.

Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, February 27, 2019 3:19 PM
To: McCauley, Sondra <(b)(6)@oig.dhs.gov>
Cc: Trimble, Katherine <(b)(6)@oig.dhs.gov>; Viray, Lovella <(b)(6)@oig.dhs.gov>
Subject: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)

Hi Sondra,

Returned for your review is the Final FOUO alert titled ***“Management Alert - FEMA Did Not Safeguard Disaster Survivors' Sensitive Personally Identifiable Information”***. The password to the file will be sent separately.

Per the team, based on discussions held between DAIGA Trimble, OC Shaw, DIG Costello, and A/IG Kelly, a decision was made to un-redact the program name, as well as other information referring to the type of data that was not safeguarded. The revised Final Report is ready to go back up the chain for review and approval. FEMA audit liaisons have been notified verbally of the changes to the redacted information; however we will wait until the IG approves the Final Report before notifying the agency in writing.

DAIGA: Katherine Trimble
Director: Yesi Starinsky
CA: (b)(6)

Tammy A. Johnson
Department of Homeland Security
Office of Inspector General
Office of Audits
Washington, DC
(202) 98 (b)(6)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Starinsky, Yesenia
Sent: 1 Mar 2019 14:30:36 +0000
To: McCauley, Sondra;OIG Audits Followup
Cc: Trimble, Katherine;Viray, Lovella;Smith, Andrew
Subject: RE: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster
Survivors' Sensitive PII - AUD - (523289)
Attachments: RE: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster
Survivors' Sensitive PII - AUD - (523289)

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII -
AUD - (523289)
Message-Id: <7817511BBF10D649AEC2E99C816677ABDBD1C83C@D2ASEPREA002>
To: (b)(6)@oig.dhs.gov
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: Starinsky, Yesenia
Sent: 1 Mar 2019 09:30:36 -0500
To: McCauley, Sondra;OIG Audits Followup
Cc: Trimble, Katherine;Viray, Lovella;Smith, Andrew
Subject: RE: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)

Sondra,

Katherine had asked that I wait until the IG approves the report before notifying the agency and DHS in writing about our decisions to un-redact the additional information. Do you prefer I notify them today, since you have approved the report?

Yesi Starinsky

Director
Disaster Management and Infrastructure Protection
Office (954) 53 (b)(6)
Mobile (954) 4 (b)(6)

From: McCauley, Sondra (b)(6)@oig.dhs.gov>
Sent: Friday, March 01, 2019 9:26 AM
To: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Cc: Trimble, Katherine (b)(6)@oig.dhs.gov>; Viray, Lovella (b)(6)@oig.dhs.gov>; Starinsky, Yesenia (b)(6)@oig.dhs.gov>; Smith, Andrew (b)(6)@oig.dhs.gov>
Subject: RE: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)
Importance: High

This is fine. Please carefully clean this up today and move it forward today. I believe the IG may want this published in advance of his testimony next week.

Thanks all,
Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Friday, March 01, 2019 7:48 AM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>
Cc: Trimble, Katherine (b)(6)@oig.dhs.gov>; Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: RE: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)

Good Morning Sondra,

Returned for your review is the Final FOUO alert titled ***“Management Alert - FEMA Did Not Safeguard Disaster Survivors' Sensitive Personally Identifiable Information”***. The team addressed your comments and modified the redactions based on them.

The password to the file will be sent separately.

Tammy

From: McCauley, Sondra (b)(6)@oig.dhs.gov
Sent: Wednesday, February 27, 2019 3:59 PM
To: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Cc: Trimble, Katherine (b)(6)@oig.dhs.gov; Viray, Lovella (b)(6)@oig.dhs.gov
Subject: RE: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)

I suggest you limit redactions to just the corporation name.

Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, February 27, 2019 3:19 PM
To: McCauley, Sondra (b)(6)@oig.dhs.gov
Cc: Trimble, Katherine (b)(6)@oig.dhs.gov; Viray, Lovella (b)(6)@oig.dhs.gov
Subject: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)

Hi Sondra,

Returned for your review is the Final FOUO alert titled ***“Management Alert - FEMA Did Not Safeguard Disaster Survivors' Sensitive Personally Identifiable Information”***. The password to the file will be sent separately.

Per the team, based on discussions held between DAIGA Trimble, OC Shaw, DIG Costello, and A/IG Kelly, a decision was made to un-redact the program name, as well as other information referring to the type of data that was not safeguarded. The revised Final Report is ready to go back up the chain for review and approval. FEMA audit liaisons have been notified verbally of the changes to the redacted information; however we will wait until the IG approves the Final Report before notifying the agency in writing.

DAIGA: Katherine Trimble
Director: Yesi Starinsky
CA: Deborah Mouton-Miller

Tammy A. Johnson

Department of Homeland Security

Office of Inspector General

Office of Audits

Washington, DC

(202) 981-(b)(6)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of OMalley, Patrick
Sent: 6 Mar 2019 19:20:34 +0000
To: OIG Audits Followup
Subject: RE: Draft Report For Review - TSA OOI AUD - (522419)
Attachments: RE: Draft Report For Review - TSA OOI AUD - (522419)

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Draft Report For Review - TSA OOI AUD - (522419)
Message-Id: <4E1F5E9B3F6633429B6EFB5006F92E95CB0A0615@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: OMalley, Patrick
Sent: 6 Mar 2019 14:20:33 -0500
To: OIG Audits Followup
Subject: RE: Draft Report For Review - TSA OOI AUD - (522419)

Hi Lovella.
Thanks very much.

Patrick J. O'Malley
Director
Office of Audits
Office of Inspector General
Department of Homeland Security
Philadelphia Field Office @ Cherry Hill NJ
Telephone: 856-221 (b)(6)

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, March 06, 2019 12:25 PM
To: OMalley, Patrick (b)(6)@oig.dhs.gov>
Subject: FW: Draft Report For Review - TSA OOI AUD - (522419)

Hi Patrick, this is the email that was sent to Sondra. Thanks

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)981 (b)(6) phone
(202)427 (b)(6) mobile

From: OIG Audits Followup
Sent: Tuesday, March 05, 2019 3:01 PM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy (b)(6)@oig.dhs.gov (b)(6)@oig.dhs.gov>
Subject: FW: Draft Report For Review - TSA OOI AUD - (522419)

Hi Sondra, the team addressed your comments to the draft report titled "*Classification Methodology and Data for Criminal Investigators in the Transportation Security Administration's Office of Inspection.*" The team provided a clean version so I also included the January version with your comments included. Thanks.

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)981 (b)(6) phone

(202)427 (b)(6) mobile

From: McCauley, Sondra (b)(6) @oig.dhs.gov>
Sent: Monday, January 28, 2019 10:30 AM
To: Bumgardner, Donald (b)(6) @oig.dhs.gov>
Cc: Johnson, Tammy (b)(6) @oig.dhs.gov>; Viray, Lovella (b)(6) @oig.dhs.gov>
Subject: RE: Draft Report For Review - TSA OOI AUD - (522772)

Edits attached. Structural issues on this one.

Sondra

From: Viray, Lovella <(b)(6) @oig.dhs.gov>
Sent: Tuesday, December 11, 2018 12:39 PM
To: McCauley, Sondra <(b)(6) @oig.dhs.gov>
Cc: Johnson, Tammy (b)(6) @oig.dhs.gov>
Subject: Draft Report For Review - TSA OOI AUD - (522772)

Hi Sondra, for review is the Draft report titled "*Classification Methodology and Data for Transportation Security Administration's Office of Inspection Criminal Investigators.*" Don, Patrick, & Tom reviewed and approved the report. Thanks.

DAIGA: Don Bumgardner
Director: Patrick O'Malley
CA: Tom Hamlin

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)98 (b)(6) phone
(202)42 (b)(6) mobile

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Jones, Cheryl
Sent: 1 Mar 2019 14:43:33 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)
Attachments: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <BAC091FC-31AC-452D-BB9D-D3AA059B4D72@oig.dhs.gov>
To: OIGAuditsFollowup@oig.dhs.gov

From: Jones, Cheryl
Sent: 1 Mar 2019 09:43:32 -0500
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Duddy, Maureen
Sent: 4 Mar 2019 10:52:27 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)
Attachments: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <50D89E38EC43C74DBDD53DFCE5E9017401295F0B9A@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Duddy, Maureen
Sent: 4 Mar 2019 05:52:27 -0500
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 11 Mar 2019 17:02:52 +0000
To: OIG Audits Followup
Cc: Viray, Lovella
Subject: RE: Rec Status memo for Review - Addressing IG Comments - TSA Rail -
AUD (516968) OIG-16-91
Attachments: RE: Rec Status memo for Review - Addressing IG Comments - TSA Rail -
AUD (516968) OIG-16-91

Sender: (b)(6)@oig.dhs.gov

Subject: RE: Rec Status memo for Review - Addressing IG Comments - TSA Rail - AUD (516968) OIG-16-91

Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012924F2F8@D2ASEPREA009>

To: OIGAuditsFollowup@oig.dhs.gov

Cc: (b)(6)@oig.dhs.gov

From: McCauley, Sondra
Sent: 11 Mar 2019 13:02:52 -0400
To: OIG Audits Followup
Cc: Viray, Lovella
Subject: RE: Rec Status memo for Review - Addressing IG Comments - TSA Rail - AUD (516968) OIG-16-91

Looks fine – no edits from me.

Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Monday, March 11, 2019 11:24 AM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: Rec Status memo for Review - Addressing IG Comments - TSA Rail - AUD (516968) OIG-16-91

Hi Sondra,

Attached is the Audit Follow-up letter on the TSA Passenger Rail report (OIG-16-91). The Front Office wanted the memo updated to reflect that the Acting IG testified on this issue. Don reviewed/edited and concurs with the update in the memo. The added entry has been highlighted in yellow for your review.

Tammy

From: OIG Audits Followup
Sent: Tuesday, February 26, 2019 9:20 AM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>
Cc: Viray, Lovella <(b)(6)@oig.dhs.gov>
Subject: RE: Rec Status memo for Review - TSA Rail - AUD (516968) OIG-16-91

Hi Sondra,

Returned for your review, is the recommendation status memo for OIG-16-91 “*TSA Oversight of National Passenger Rail System Security*”. The team has addressed your comments.

Tammy A. Johnson

Department of Homeland Security
Office of Inspector General
Office of Audits
Washington, DC
(202) 981- (b)(6)

From: McCauley, Sondra <(b)(6)@oig.dhs.gov>
Sent: Monday, December 17, 2018 4:30 PM
To: Viray, Lovella (b)(6)@oig.dhs.gov>; Johnson, Tammy (b)(6)@oig.dhs.gov>
Subject: RE: Rec Status memo for Review - TSA Rail - AUD (516968) OIG-16-91

Here ya go....

From: Viray, Lovella (b)(6)@oig.dhs.gov>
Sent: Monday, December 17, 2018 1:51 PM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>; Johnson, Tammy (b)(6)@oig.dhs.gov>
Subject: Rec Status memo for Review - TSA Rail - AUD (516968) OIG-16-91

Hi Sondra, attached for review is the recommendation status memo for OIG-16-91 “***TSA Oversight of National Passenger Rail System Security***”. We are elevating this to the Acting S2, requesting her assistance in closing the two open recommendations on the report. Thanks.

DAIGA: Don Bumgardner
Director: Christy Haynes

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)981- (b)(6) phone
(202)427- (b)(6) mobile

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 6 Mar 2019 19:41:36 +0000
To: OIG Audits Followup
Subject: RE: Final Report for Expedited Review - ONDCP - ICE Detailed Account
Summary (523418) AUD
Attachments: RE: Final Report for Expedited Review - ONDCP - ICE Detailed Account
Summary (523418) AUD

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Final Report for Expedited Review - ONDCP - ICE Detailed Account Summary (523418)
AUD
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012924CDA7@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 6 Mar 2019 14:41:34 -0500
To: OIG Audits Followup
Subject: RE: Final Report for Expedited Review - ONDCP - ICE Detailed Account Summary (523418) AUD
Attachments: FY18 ICE-DAS Final Report HL and Memo - aig edits.docx, FY18 ICE-DAS Final Report IG - no aig edits.docx, FY18 ICE-DAS Final Report IG letter to ONDCP - aig edits.docx, FY18 ICE-DAS S1_SAR - aig edits.doc, ICE FY18 Final IA Report and DAS.pdf

[See attachments](#)

Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, March 06, 2019 11:11 AM
To: McCauley, Sondra <(b)(6)@oig.dhs.gov>
Subject: FW: Final Report for Expedited Review - ONDCP - ICE Detailed Account Summary (523418) AUD
Importance: High

Here you go.

From: OIG Audits Followup
Sent: Monday, March 04, 2019 12:40 PM
To: McCauley, Sondra <(b)(6)@oig.dhs.gov>
Cc: Viray, Lovella <(b)(6)@oig.dhs.gov>
Subject: Final Report for Expedited Review - ONDCP - ICE Detailed Account Summary (523418) AUD
Importance: High

Hi Sondra,

For your Expedited review, is the Final report titled “**Review of U.S. Immigration and Customs Enforcement's Fiscal Year 2018 Detailed Accounting Submission for Drug Control Funds.**” This report is usually due to ONDCP February 1st; however, ONDCP has extended the due date to 3/8/19. Thanks

DAIGA: Moe Duddy
Director: Sandra John

Tammy A. Johnson
Department of Homeland Security
Office of Inspector General
Office of Audits
Washington, DC

(202) 981

(b)(6)



DHS OIG HIGHLIGHTS

Review of U.S. Immigration and Customs Enforcement's Fiscal Year 2018 Detailed Accounting Submission for Drug Control Funds

March XX, 2019

Why We Did This Review

The Office of National Drug Control Policy's (ONDCP) Circular, *Accounting of Drug Control Funding and Performance Summary*, requires each National Drug Control Program agencyies to submit to the ONDCP Director a detailed accounting of all funds expended for National Drug Control Program activities during the previous fiscal year.

The Office of Inspector General (OIG) is required to conduct a review of the agency's submission and provide a conclusion about the reliability of each assertion in the report.

For Further Information:

Contact our Office of Public Affairs at (202) 981-6000, or email us at DHS-OIG.OfficePublicAffairs@oig.dhs.gov

What We Found

Williams, Adley & Company –DC, LLP (Williams Adley), under contract with the Department of Homeland Security OIG, issued an Independent Accountant's² Report on U.S. Immigration and Customs Enforcement's (ICE) Detailed Accounting Submission. ICE's management prepared the Table of FY 2018 Drug Control Obligations and related disclosures in accordance with the requirements of the ONDCP Circular, *Accounting of Drug Control Funding and Performance Summary*, dated May 8, 2018 (Circular). Based on its review, nothing came to Williams Adley's attention that caused it to believe that ICE's FY 2018 Detailed Accounting Submission is not presented in conformity with the criteria in ONDCP's Circular. Williams Adley did not make any recommendations as a result of its review.

MEMORANDUM FOR:

(b)(6); (b)(7)(C)



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

Chief Financial Officer
U.S. Immigration and Customs Enforcement

FROM: Sondra F. McCauley
Assistant Inspector General for Audits

SUBJECT: *Review of U.S. Immigration and Customs Enforcement's Fiscal Year 2018 Detailed Accounting Submission for Drug Control Funds*

Attached for your information is our final report, *Review of U.S. Immigration and Customs Enforcement's Fiscal Year 2018 Detailed Accounting Submission for Drug Control Funds*. U.S. Immigration and Customs Enforcement's (ICE) management prepared the Table of FY 2018 Drug Control Obligations and related disclosures to comply with the requirements of the Office of National Drug Control Policy's Circular, *Accounting of Drug Control Funding and Performance Summary*, dated May 8, 2018.

We contracted with the independent public accounting firm Williams, Adley & Company –DC, LLP (Williams Adley) to review ICE's Detailed Accounting Submission. Williams Adley is responsible for the attached Independent Accountant's² Report, dated February 19, 2019, and the conclusions expressed in it. Williams Adley's report contains no recommendations.

Consistent with our responsibility under the *Inspector General Act*, we will provide copies of our report to congressional committees with oversight and appropriation responsibility over the Department of Homeland Security. We will post the report on our website for public dissemination.

Please call me with any questions at (202) 981-~~6100~~6000, or your staff may contact Maureen Duddy, Deputy Assistant Inspector General for Audits, at (617) 565-(b)(6)

Attachment



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

Appendix A **Report Distribution**

Department of Homeland Security

Secretary
Deputy Secretary
Chief of Staff
General Counsel
Executive Secretary
Director, GAO/OIG Liaison Office
Assistant Secretary for Office of Policy
Assistant Secretary for Office of Public Affairs
Assistant Secretary for Office of Legislative Affairs

U.S. Immigration and Customs Enforcement

Director
Chief Financial Officer
Audit Liaison

Office of Management and Budget

Chief, Homeland Security Branch
DHS OIG Budget Examiner

Congress

Congressional Oversight and Appropriations Committees

Office of National Drug Control Policy

Associate Director for Management and Administration



OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

MEMORANDUM FOR: The Honorable Ronald D. Vitiello
Deputy Director
U.S. Immigration and Customs Enforcement

FROM: John V. Kelly
Acting Inspector General

SUBJECT: *Review of U.S. Immigration and Customs Enforcement's Fiscal Year 2018 Detailed Accounting Submission for Drug Control Funds*

Attached for your information is our final report, *Review of U.S. Immigration and Customs Enforcement's Fiscal Year 2018 Detailed Accounting Submission for Drug Control Funds*. This report contains no recommendations.

Consistent with our responsibility under the *Inspector General Act*, we will provide copies of our report to congressional committees with oversight and appropriation responsibility over the Department of Homeland Security. We will post the report on our website for public dissemination.

Please call me with any questions, or your staff may contact Sondra F. McCauley, Assistant Inspector General for Audits, at (202) 981-6000.

Attachment



OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

The Honorable James Carroll
Director
Office of National Drug Control Policy
750 17th Street, NW
Washington, DC 20503

Dear Mr. Carroll:

The enclosed report presents the results of our independent review of ~~the~~ U.S. Immigration and Customs Enforcement's (ICE) fiscal year 2018 Detailed Accounting Submission for Drug Control Funds.

The Office of National Drug Control Policy's Circular, *Accounting of Drug Control Funding and Performance Summary*, dated May 8, 2018, requires the Office of Inspector General to express a conclusion about the reliability of each assertion made in ICE's Detailed Accounting Submission. We contracted with an independent public accounting firm to conduct the review of ICE's report as an attestation engagement consistent with attestation standards established by the American Institute of Certified Public Accountants and the standards applicable to attestation engagements contained in the *Government Auditing Standards*, issued by the Comptroller General of the United States.

Please call me with any questions, or your staff may contact Sondra F. McCauley, Assistant Inspector General for Audits, at (202) 981-6000.

Sincerely,

John V. Kelly
Acting Inspector General

Enclosure

Final Report Title: *Review of U.S. Immigration and Customs Enforcement's Fiscal Year 2018
Detailed Accounting Submission for Drug Control Funds*

PTS Workflow 523418

S1/SAR Summary

Williams, Adley & Company - DC LLP (Williams Adley), under contract with ~~the~~ DHS Office of Inspector General, issued an Independent Accountant's² Report on ~~the~~ U.S. Immigration and Customs Enforcement's (ICE) fiscal year 2018 Detailed Accounting Submission for drug control funds. ICE's² management prepared the Table of FY 2018 Drug Control Obligations and related disclosures in accordance with ~~the~~ requirements of the Office of National Drug Control Policy's (ONDCP) Circular, *Accounting of Drug Control Funding and Performance Summary*, dated May 8, 2018 (Circular). Based on its review, nothing came to Williams Adley's attention that caused them to believe that ICE's FY 2018 Detailed Accounting Submission is not presented in conformity with the criteria set forth in the Circular.

(OIG-19-XX, March 2019)



Independent Accountant's Report

Acting Inspector General
United States Department of Homeland Security

We have reviewed management's assertions related to the Detailed Accounting Submission (DAS) of the U.S. Department of Homeland Security's (DHS) U.S. Immigration and Customs Enforcement (ICE) for the year ended September 30, 2018. ICE management is responsible for the preparation of the DAS in conformity with the requirements of the Office of National Drug Control Policy Circular: *Accounting of Drug Control Funding and Performance Summary*, dated May 8, 2018 (the Circular). Our responsibility is to express a conclusion about management's assertions.

Our review was conducted in accordance with generally accepted government auditing standards, which incorporate the attestation standards established by the American Institute of Certified Public Accountants. Those standards require that we plan and perform the review to obtain limited assurance about whether any material modifications should be made to the DAS or DAS assertions in order for them to be in accordance with the Circular. A review is substantially less in scope than an examination, the objective of which is to obtain reasonable assurance about whether ICE's DAS and DAS assertions are in accordance with the Circular, in all material respects, in order to express an opinion. Accordingly, we do not express such an opinion. We believe that our review provides a reasonable basis for our conclusion.

Based on our review, we are not aware of any material modifications that should be made to the DAS or the DAS assertions for the year ended September 30, 2018, in order for them to be in conformity with the requirements set forth in the Circular.

This report is intended solely for the information and use of ICE, and is not intended to be, and should not be, used by anyone other than the specified parties.

Williams, Adley & Company-DC, LLP

Washington, D.C.
February 19, 2019

Requester's Name: Andrew Free

FOIA/PA NO.: 2019-IGFO-002096

5 PAGE(S)

are referred to ICE for processing
and direct response to the requester.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 6 Mar 2019 20:58:37 +0000
To: OIG Audits Followup
Cc: Johnson, Tammy;Pereira, Maryann
Subject: RE: Final Report for Review - The State of Oregon's Management of
HSGP and UASI Awarded During Fiscal Years 2013 through 2015 (522902)
Attachments: RE: Final Report for Review - The State of Oregon's Management of
HSGP and UASI Awarded During Fiscal Years 2013 through 2015 (522902)

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Final Report for Review - The State of Oregon's Management of HSGP and UASI Awarded
During Fiscal Years 2013 through 2015 (522902)
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012924CF11@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov
Cc: (b)(6)@OIG.DHS.GOV

From: McCauley, Sondra
Sent: 6 Mar 2019 15:58:36 -0500
To: OIG Audits Followup
Cc: Johnson, Tammy;Pereira, Maryann
Subject: RE: Final Report for Review - The State of Oregon's Management of HSGP and UASI Awarded During Fiscal Years 2013 through 2015 (522902)
Attachments: Oregon SHSP S1-AIG - aig edits 3-6-19.docx, Oregon SHSP S1-SAR - aig edits 3-6-19.docx, Oregon SHSP Final Report addressing AIG comments - no aig edits 3-6-19.docx

Here ya go. Have the team clean this up and move it forward. I do not need to see this again.

Thanks,

Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Tuesday, March 05, 2019 2:09 PM
To: McCauley, Sondra <(b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy <(b)(6)@oig.dhs.gov>
Subject: RE: Final Report for Review - The State of Oregon's Management of HSGP and UASI Awarded During Fiscal Years 2013 through 2015 (522902)

Hi Sondra, for review is the final contractor report "**Oregon's Management of State Homeland Security Program and Urban Areas Security Initiative Grants Awarded During Fiscal Years 2013 through 2015**". The team addressed your comments and Sandra John reviewed and approved on behalf of Moe. Thanks.

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)981 (b)(6) phone
(202)427 (b)(6) mobile

From: McCauley, Sondra <(b)(6)@oig.dhs.gov>
Sent: Thursday, February 14, 2019 12:22 PM
To: Duddy, Maureen <(b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy <(b)(6)@oig.dhs.gov>; Herberger, Kelly <(b)(6)@oig.dhs.gov>; OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Subject: RE: Final Report for Review - The State of Oregon's Management of HSGP and UASI Awarded During Fiscal Years 2013 through 2015 (522902)

Moe:

Returning this with my concerns yellow-highlighted, as discussed. Once the report concerns are addressed, the S1 should be revised accordingly, as appropriate.

Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Friday, February 08, 2019 3:51 PM
To: McCauley, Sondra <(b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy <(b)(6)@oig.dhs.gov>
Subject: RE: Final Report for Review - The State of Oregon's Management of HSGP and UASI Awarded During Fiscal Years 2013 through 2015 (522902)

Hi Sondra, the team addressed your comments to the final report titled “**The State of Oregon's Management of State Homeland Security Program and Urban Areas Security Initiative Grants Awarded During Fiscal Years 2013 through 2015.** Thanks.

-Lovella

From: McCauley, Sondra <(b)(6)@oig.dhs.gov>
Sent: Monday, December 17, 2018 10:26 AM
To: Viray, Lovella <(b)(6)@oig.dhs.gov>
Subject: FW: Final Report for Review - The State of Oregon's Management of HSGP and UASI Awarded During Fiscal Years 2013 through 2015 (522902)
Importance: High

I had computer issues on Friday. Apparently my message below did not send.

Sondra

From: McCauley, Sondra
Sent: Friday, December 14, 2018 11:33 AM
To: Viray, Lovella <(b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy <(b)(6)@oig.dhs.gov>
Subject: RE: Final Report for Review - The State of Oregon's Management of HSGP and UASI Awarded During Fiscal Years 2013 through 2015 (522902)
Importance: High

Here is my latest edit. Note to team: Please be sure to address all review comments or provide a note indicating why you did not.

Thanks,

Sondra

From: Viray, Lovella <(b)(6)@oig.dhs.gov>
Sent: Thursday, December 13, 2018 4:19 PM
To: McCauley, Sondra <(b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy <(b)(6)@oig.dhs.gov>
Subject: RE: Final Report for Review - The State of Oregon's Management of HSGP and UASI Awarded During Fiscal Years 2013 through 2015 (522902)

Hi Sondra, the team addressed yours and Kelly's comments to the final report titled "**The State of Oregon's Management of State Homeland Security Program and Urban Areas Security Initiative Grants Awarded During Fiscal Years 2013 through 2015.**" Thanks.

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)981-(b)(6) phone
(202)427-(b)(6) mobile

From: McCauley, Sondra <(b)(6)@oig.dhs.gov>
Sent: Tuesday, November 20, 2018 5:51 PM
To: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Cc: Viray, Lovella <(b)(6)@oig.dhs.gov>; Herberger, Kelly <(b)(6)@oig.dhs.gov>
Subject: RE: Final Report for Review - The State of Oregon's Management of HSGP and UASI Awarded During Fiscal Years 2013 through 2015 (522902)

Combined review from me and Kelly. Please send to Moe to work with Kelly as needed to revise and address her comments.

Thanks,
Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Thursday, November 01, 2018 12:51 PM
To: McCauley, Sondra <(b)(6)@oig.dhs.gov>
Cc: Viray, Lovella <(b)(6)@oig.dhs.gov>
Subject: Final Report for Review - The State of Oregon's Management of HSGP and UASI Awarded During Fiscal Years 2013 through 2015 (522902)

Hi Sondra,

Attached for your review is the Final report titled "**The State of Oregon's Management of State Homeland Security Program and Urban Areas Security Initiative Grants Awarded During Fiscal Years 2013 through 2015.**" Kevin & Moe reviewed and cleared the report this week.

DAIGA: Moe Duddy
Director: Maryann Pereira
CA: Kevin Dolloson

Tammy A. Johnson

Department of Homeland Security
Office of Inspector General
Office of Audits
Washington, DC
(202) 98

(b)(6)



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

MEMORANDUM FOR: Joel Doolin
Associate Administrator
Office of Policy, Program Analysis, and
International Affairs
Federal Emergency Management Agency

FROM: Sondra F. McCauley
Assistant Inspector General for Audits

SUBJECT: *Oregon's Management of State Homeland Security Program and
Urban Areas Security Initiative Grants Awarded During Fiscal
Years 2013 through 2015*

Attached for your action is our final report, *Oregon's Management of State Homeland Security Program and Urban Areas Security Initiative Grants Awarded During Fiscal Years 2013 through 2015*. We incorporated the formal comments provided by your office.

The report contains 10 recommendations aimed at improving FEMA's State Homeland Security Program and Urban Areas Security Initiative oversight. Your office concurred with all 10 recommendations. Based on information provided in your response to the draft report, we consider recommendations 1 through 10 open and resolved. Once your office has fully implemented the recommendations, please submit a formal closeout letter to us within 30 days so that we may close the recommendations. The memorandum should be accompanied by evidence of completion of agreed-upon corrective actions and of the disposition of any monetary amounts. Please send your response or closure request to OIGAuditsFollowup@oig.dhs.gov.

Consistent with our responsibility under the *Inspector General Act*, we will provide copies of our report to congressional committees with oversight and appropriation responsibility over the Department of Homeland Security. We will post the report on our website for public dissemination.

Please call me with any questions at (202) 981-6000, or your staff may contact Maureen Duddy, Deputy Assistant Inspector General for Audits, at (617) 565-(b)(6)

Attachment

***Oregon's Management of State
Homeland Security Program and Urban Areas
Security Initiative Grants Awarded During
Fiscal Years 2013 through 2015***

522902

S1/SAR Summary

Williams, Adley & Company-DC, LLP completed an audit of Oregon's management of State Homeland Security Program (SHSP) and Urban Areas Security Initiative (UASI) grants awarded during fiscal years 2013 through 2015. Williams Adley concluded that Oregon's State Administrative Agency generally complied with applicable Federal laws and regulations. Although Williams Adley did not identify any duplicate benefits received by the state, it did identify instances in which the state did not fully comply with the Federal Emergency Management Agency's (FEMA) FYs 2013–2015 *Notice of Funding Opportunity* guidance. Specifically, the state did not pass through 80 percent of funds, obligate grants within 45 days, properly charge indirect costs to SHSP grants, sufficiently monitor its subrecipients, and properly monitor equipment purchased. These issues occurred because the State Administrative Agency did not obtain written consent when withholding more than 20 percent of funds, coordinate with subrecipients after award receipt, have approved indirect cost rate agreements, adhere to its subrecipient monitoring procedures, have a tracking system, or provide guidance to subrecipients. As a result, Williams Adley could not fully assess whether the State Administrative Agency enhanced its ability to prepare for and respond to disasters and acts of terrorism. FEMA concurred with all 10 of our recommendations to strengthen program management, performance, and oversight.



DHS OIG HIGHLIGHTS

Oregon's Management of State Homeland Security Program and Urban Areas Security Initiative Grants Awarded During Fiscal Years 2013 through 2015

March XX, 2019

Why We Did This Audit

FEMA's Homeland Security Grant Program (HSGP) provides grant funds to aid public safety personnel acquiring specialized training, exercises, and equipment necessary to safely respond to and manage all-hazards incidents. The audit objective was to determine whether Oregon distributed and spent HSGP funds in compliance with the law, program guidance, and state homeland security plans; the extent to which funds awarded enhanced the state's preparedness; and whether any duplicate benefits were received by other Federal agencies.

What We Recommend

We made 10 recommendations, which, when implemented, should help strengthen program management, performance, and oversight.

For Further Information:

Contact our Office of Public Affairs at (202) 981-6000, or email us at DHS-OIG.OfficePublicAffairs@oig.dhs.gov

What We Found

Williams Adley & Company–DC, LLP completed an audit of Oregon's management of State Homeland Security Program (SHSP) and Urban Areas Security Initiative (UASI) grants awarded during fiscal years 2013 through 2015. Williams Adley concluded that Oregon's State Administrative Agency generally complied with applicable Federal laws and regulations. Although Williams Adley did not identify any duplicate benefits received by the state, it did identify instances in which the state did not fully comply with the Federal Emergency Management Agency's (FEMA) FYs 2013–2015 *Notice of Funding Opportunity* guidance. Specifically, the state did not:

- pass through 80 percent of funds awarded to subrecipients to carry out part of the Federal award;
- obligate grants within 45 days;
- properly charge indirect costs to SHSP grants;
- sufficiently monitor its subrecipients; and
- properly monitor equipment purchased.

These issues occurred because the State Administrative Agency did not obtain written consent when withholding more than 20 percent of funds, coordinate with subrecipients after award receipt, have approved indirect cost rate agreements, adhere to its subrecipient monitoring procedures, have a tracking system, or provide guidance to subrecipients.

As a result, Williams Adley could not fully assess whether the State Administrative Agency enhanced its ability to prepare for and respond to disasters and acts of terrorism.

FEMA's Response

FEMA concurred with all 10 recommendations and plans to take corrective action. Appendix B includes FEMA's response in its entirety.



September 24, 2018

Ms. Sondra F. McCauley
Assistant Inspector General for Audits
Office of Inspector General
U.S. Department of Homeland Security

Dear Ms. McCauley:

Williams, Adley & Company-DC, LLP performed an audit of the State of Oregon's management of the Department of Homeland Security's State Homeland Security Program (SHSP) and Urban Area Security Initiative (UASI) grants for fiscal years 2013 through 2015. We performed the audit in accordance with our Task Order No. HSIQAQBPA-17-J-00013, dated September 29, 2017. Our report presents the results of the audit, and includes recommendations to help improve Oregon's management of the audited SHSP and UASI grants.

We conducted our audit in accordance with applicable *Government Auditing Standards*, 2011 revision. The audit was a performance audit, as defined by Chapter 6 of the *Standards*, and included a review and report on program activities with a compliance element. Although the audit report comments on costs claimed by the State of Oregon, we did not perform a financial audit, the purpose of which would be to render an opinion on the State of Oregon's financial statements, or the funds claimed in the Financial Status Reports submitted to the Department of Homeland Security.

We appreciate the opportunity to have conducted this audit. Should you have any questions or need further assistance, please contact us at (202) 371-1397.

Sincerely,

Jocelyn A. Hill, CPA, CGFM
Partner

WILLIAMS, ADLEY & COMPANY-DC, LLP
Certified Public Accountants / Management Consultants
1030 15th Street, NW, Suite 350 West • Washington, DC 20005 • (202) 371-1397 • Fax: (202)
371-9161 www.williamsadley.com

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Abbreviations

CFR	Code of Federal Regulations
CIO	Chief Information Officer
FEMA	Federal Emergency Management Agency
GAO	Government Accountability Office
GPD	Grant Programs Directorate
HSGP	Homeland Security Grant Program
NOFO	Notice of Funding Opportunity
OEM	Office of Emergency Management
SAA	State Administrative Agency
SHSP	State Homeland Security Program
UASI	Urban Areas Security Initiative

Background

Implementing Recommendations of the 9/11 Commission Act of 2007 (Public Law 110-53) requires the Department of Homeland Security Office of Inspector General (OIG) to audit individual states' management of State Homeland Security Program (SHSP) grants. This report satisfies the DHS OIG reporting requirement for Oregon SHSP and Urban Areas Security Initiative (UASI) grants.

The Homeland Security Grant Program (HSGP) is a Federal assistance grant program administered by the Grant Programs Directorate in the Federal Emergency Management Agency (FEMA). The program provides grant funds to help public safety personnel acquire specialized training, exercises, and equipment necessary to safely respond to and manage all-hazards incidents. State governors appoint State Administrative Agencies (SAA) to manage and administer HSGP grants and to serve as the pass-through entity¹ for funds subawarded to regional government offices and local subrecipients.

FEMA's *Notice of Funding Opportunities* (NOFO) provides guidance, authorization, and appropriation information to potential HSGP grantees. In addition, NOFO requirements provide guidance to help grantees comply with the provisions of the *Homeland Security Act of 2003*. The Code of Federal Regulations (2 CFR 200) establishes administrative requirements, cost principles, and audit requirements that recipients of Federal awards should follow.

HSGP is one of a comprehensive set of measures that Congress authorized and FEMA implemented to help prepare the Nation for response to natural disasters, terrorist attacks, and other manmade disasters. Each state applies for annual grants available under HSGP to implement investments that build, sustain, and deliver the 31 core capabilities essential to achieving the National Preparedness Goal of a secure and resilient Nation, across the five mission areas of Prevention, Protection, Mitigation, Response, and Recovery.

Oregon's SAA is the Oregon Office of Emergency Management (OEM), which is a division of the Oregon Military Department. OEM's mission is to lead statewide efforts to develop and enhance preparedness, response, recovery, and mitigation capabilities to protect the lives, property, and environment of the whole community.

OEM subawards grant funding to local government entities, and coordinates search and rescue efforts during times of disasters or emergencies. OEM supports 18 Emergency Support Functions and more than 50 county, city, and tribal local emergency management offices around the state.

¹ Pass-through entity is defined as a non-Federal entity that provides a subaward to a subrecipient to carry out part of a Federal program.

Results of Audit

OEM generally spent SHSP and UASI grant funds in compliance with applicable Federal laws and regulations, except for the following areas where FEMA and Oregon can improve management of SHSP and UASI grant programs. Specifically, the state did not:

- pass through 80 percent of funds to local units of government within the 45 days required by the NOFO;
- ensure an indirect rate agreement was approved prior to charging indirect costs to SHSP and UASI grants; and
- sufficiently monitor subrecipients and properly monitor equipment purchased with SHSP and UASI funds.

As a result of the issues noted above, we could not fully assess whether OEM's expenditures for SHSP and UASI funding enhanced its preparedness and security. These issues may have hampered both Oregon's and FEMA's ability to effectively and efficiently monitor the grant expenditures. Further we did not note any duplicate benefits received for the same or similar purposes as the FYs 2013–2015 SHSP or UASI grants.

Improper Withholding of Funds from Local Jurisdictions

In fiscal years 2013, 2014, and 2015, OEM did not pass through the required 80 percent of funds awarded under SHSP and UASI grants to local or tribal units of government. We found instances in which OEM retained pass-through funds without written consent.

OEM reported the projects listed in table 1 as those for which Oregon retained funding at the request of the local government units in their Biannual Strategy Implementation Report. However, our review of grant files showed that neither the local nor the tribal units of government provided written consent specifying the amount of funds to be retained and the intended use of the funds. OEM provided documentation, such as email traffic, showing that the local units of government agreed with a particular project, but the emails did not indicate that Oregon would retain a specific amount of funding on the local unit's behalf.

Table 1. Projects for Which OEM Did Not Obtain Written Consent to Withhold Funds from Local Jurisdictions

Program Name	Program Description	Grant #	Questioned Cost
OEM Preparedness Workshop	Provides support to the annual workshop that OEM hosts at the request of and for the benefit of the local emergency management community.	13-252	\$103,632
		14-256	\$97,062
		15-269	\$122,798

OEM Preparedness Publication	Utilizes state contracting authority to print public education and outreach publications that local jurisdictions use at a lower cost. OEM facilitates the contract and printing of the publications.	14-259	\$32,582
		15-274	\$7,418
COOP Statewide Project	Pays the fees for local jurisdictions in the state and Emergency Support Function lead agencies to access an online COOP software tool.	14-257	\$115,486
		15-267	\$150,000
OEM-STR Trailers, ODOT Comms	Provides funding to locals to use the Strategic Technology Reserve caches that the Oregon Department of Transportation owns for non-emergency uses. Specifically, for the purpose of training and exercise so that in a catastrophic or terrorist event the local jurisdictions are familiar with the equipment and able to utilize the capability.	15-266	\$3,500
HAM Radio Project	HAM Radio Equipment for the Confederated Tribe of Warm Springs.	13-253	\$5,221
Total			\$637,699

Source: HSGP subrecipients list from Datamart

Per the NOFO, SAAs must obligate at least 80 percent of the funds awarded under SHSP and UASI to local units of government within 45 days of receipt of funds. The SAA's signatory authority must certify in writing that these obligations have been met.

According to the NOFO, states may retain more than 20 percent of SHSP funding for expenditures made on behalf of local units of government, with written consent from the local unit of government specifying the amount of retained funds and their intended use.

OEM has no documented policies or procedures for obtaining written consent from local or tribal units of government specifying the amount of funds the state retains and the intended use of those funds.

Due to the absence of clear written agreements, local jurisdictions may not have been aware of the full extent of funding OEM was planning to withhold from their subawards. Also, because OEM did not pass through 80 percent of all SHSP and UASI funding to local units of government, the grants may not have fully enhanced the local jurisdictions' ability to prepare for and respond to disasters and acts of terrorism. As a result of OEM not properly passing through grant funds, we have questioned \$637,699 of costs.

Recommendation 1: We recommend that the Federal Emergency Management Agency, Grant Programs Directorate, review and remedy the \$637,699 in questioned costs within the Office of Emergency Management's subgrants for fiscal years 2013, 2014, and 2015, returning the cost of ineligible expenditures to the Federal Government.

FEMA Comments

Recommendation 1: Concur. The Grant Programs Directorate will review questioned costs within the Office of Emergency Management's subgrants for FYs 2013, 2014, and 2015, and determine the appropriate remedy based on the circumstances. The estimated completion date is 12 months from the date the final report is released.

OIG Analysis

FEMA's corrective action is responsive to the recommendation. The recommendation will remain open and resolved until we have reviewed the actions FEMA takes to remedy the questioned costs for FYs 2013, 2014, and 2015.

Recommendation 2: We recommend that the Federal Emergency Management Agency, Grant Programs Directorate, require the Office of Emergency Management to update its policies and procedures to include a process for obtaining written consent for all instances in which the state withholds local units of government funding, specifically when the state intends to apply that funding to its 80 percent pass-through requirement. This written consent should specify the amount of funds to be retained and the intended use of funds as required by the grant guidance.

FEMA Comments

Recommendation 2: Concur. FEMA's Grant Programs Directorate will work to ensure that the Office of Emergency Management updates its policies and procedures to include a process for obtaining written consent for instances in which the state withholds county or city funding, specifically when the state intends to apply that funding to its 80 percent pass-through requirement. The estimated completion date is 12 months from the date the final report is released.

OIG Analysis

FEMA's corrective action is responsive to the recommendation. The recommendation will remain open and resolved until we have reviewed the actions FEMA takes to update its Grant Programs Directorate policies and procedures, which should also include a process for obtaining written consent for withholding funds and applying it to the required pass-through amounts.

Untimely Obligation of Grant Funds

OEM did not obligate FYs 2014 and 2015 UASI funds within the required timeframe. FEMA's grant guidance requires states to obligate at least 80 percent of SHSP and UASI funds to local units of government within 45 days of receipt. For 2 out of 68 grant files reviewed, OEM did not meet this timeframe, as noted in table 2.

Table 2. Summary of Subawards That Were Not Obligated Within 45 Days

Untimely Obligation of Grant Funds						
Subgrantee Name	Grant #	Award Amount	Date Funds Received by OEM	Date Funds Should Have been Obligated	Date Funds Were Obligated to Subgrantee	Days in Excess of 45 Days
City of Portland	15-170	\$2,599,060	9/1/15	10/15/15	1/15/16	91
City of Portland	14-170	\$901,319	9/1/14	10/15/14	11/14/14	29

Source: Subgrantees' grant files

According to FEMA's NOFO, "The SAA must obligate at least 80 percent (80%) of the funds awarded under SHSP and UASI to local units of government within 45 days of receipt of the funds."

OEM begins coordinating with urban areas after Oregon receives official award notification from FEMA. Although OEM is aware of the amount of UASI funding much earlier in the year, it does not start coordinating with the subrecipients until the official award is received, which can happen as late as August. OEM's coordination process can be lengthy and does not allow for completion within the 45-day timeframe.

OEM's untimely obligation of grant funds may inhibit the subrecipients from making purchases that are necessary for successful and timely project execution, thereby reducing the state's and local jurisdiction's capabilities to respond in the event of an emergency.

Recommendation 3: We recommend that the Federal Emergency Management Agency, Grant Programs Directorate, require the Office of Emergency Management to design, implement, and document a process to allow for the timely obligation of Urban Areas Security Initiative funds as required by the Federal Emergency Management Agency's grant guidance.

FEMA Comments

Recommendation 3: Concur. FEMA's Grant Programs Directorate will work to ensure that the Office of Emergency Management develops a written procedure and implements the process for ensuring the timely obligation of grant funds to subrecipients. The estimated completion date is 12 months from the date the final report is released.

OIG Analysis

FEMA's corrective action is responsive to the recommendation. The recommendation will remain open and resolved until we have reviewed the actions FEMA's Grant Programs Directorate takes to develop procedures and implement a process for ensuring timely obligation of grant funds to subrecipients.

Improper Allocation of Indirect Costs

In FYs 2013 through 2015, OEM improperly charged \$118,636 in indirect costs to SHSP grants, without an approved indirect cost rate agreement or a provisional indirect cost rate agreement. Per OEM's statewide allocation plan, these indirect costs, which were billed as "Department of Administrative Services," included items, such as rental expenses for state-owned buildings, commercial insurance, state motor pool, and expenses, related to the Director's office. Of the \$145,268 in SHSP funds OEM used for these expenses, we could only confirm \$26,632 of allowable direct costs for a software license used by programs funded with HSGP funds. The remaining amount is a questioned cost, as summarized in table 3.

Table 3. Summary of Indirect Costs Questioned

Questioned Cost by Grant Year	
2013	43,353
2014	38,873
2015	36,410
Total	\$118,636

Source:

Project disbursement listing and supporting documentation

According to 2 CFR 225, Appendix E, *State and Local Indirect Cost Rate Proposals*, all departments or agencies that claim indirect costs under Federal awards must prepare indirect cost rate proposals and related documentation to support those costs. No proposal shall be acceptable unless the proposed costs have been certified on behalf of the governmental unit by an individual at a level no lower than that governmental unit's chief financial officer.

According to 2 CFR 200, Appendix VII, *State and Local Governments Indirect Cost Proposals*, all departments or agencies of the governmental unit desiring to claim indirect costs under Federal awards must prepare indirect cost rate proposals and related documentation to support those costs.

According to the NOFO, indirect costs are allowable under HSGP only if the applicant has an approved indirect cost rate agreement with the cognizant Federal agency. A copy of the approved rate is required at the time of application.

OEM did not prepare an indirect cost rate proposal; therefore, it did not have an approved indirect cost rate agreement for FYs 2013 through 2015. Until 2018, OEM was operating under a direct cost methodology. It initiated preparation of an indirect cost rate proposal in September 2017, only after receiving a final decision from FEMA that it needed to cease charging indirect costs until it obtained an approved rate.

Charging indirect costs to SHSP grants without an indirect cost rate agreement can hinder the state's ability to prepare for and respond to disasters and acts of terrorism. To the extent that funds could have been used for other preparedness activities, we have questioned costs of

\$118,636 for indirect costs charged to SHSP grants.

Recommendation 4: We recommend that the Federal Emergency Management Agency, Grant Programs Directorate, require the Office of Emergency Management to reimburse the Federal Government \$118,636 for indirect costs charged to Homeland Security Grant Program.

FEMA Comments

Recommendation 4: Concur. FEMA's Grant Programs Directorate will require that the Office of Emergency Management remedy all improperly charged indirect costs. FEMA recognizes that the Office of Emergency Management has obtained a valid indirect cost rate agreement that may impact this finding. The estimated completion date is 12 months from the date the final report is released.

OIG Analysis

FEMA's corrective action is responsive to the recommendation. The recommendation will remain open and resolved until we have reviewed the actions FEMA's Grant Programs Directorate takes to ensure indirect costs are properly charged to FEMA grants. OEM subsequently obtaining a valid indirect cost rate agreement does not impact the finding in this report.

Recommendation 5: We recommend that the Federal Emergency Management Agency, Grant Programs Directorate, ensure that the Office of Emergency Management updates its policies and procedures, including providing an indirect cost rate agreement with the grant application if indirect expenses will be charged to Homeland Security Grant Program grants.

FEMA Comments

Recommendation 5: Concur. FEMA's Grant Programs Directorate will work to ensure that the Office of Emergency Management updates its policies and procedures, including that grant applications will contain indirect cost rate agreements if indirect costs will be charged to Homeland Security Grant Program awards. The estimated completion date is 12 months from the date the final report is released.

OIG Analysis

FEMA's corrective action is responsive to the recommendation. The recommendation will remain open and resolved until we have reviewed the actions FEMA's Grant Programs Directorate takes to update its policies and procedures, including that grant applications contain a provision for indirect cost rate agreements and information on how those indirect cost rates will be charged to HSGP awards.

Insufficient Subrecipient Monitoring

OEM did not sufficiently monitor its subrecipients' activities to ensure compliance with Federal requirements. OEM's subrecipients' are required to submit quarterly narrative activity and progress reports, as well as requests for reimbursements, which OEM reviews as part of its desk monitoring activities. In addition, OEM's policies and procedures require onsite monitoring of subrecipients at least once every 2 years. Based on our review of grant files for 13 subrecipients (which comprised 68 unique grants), we observed that 12 of the 13 subrecipient files were missing at least one narrative activity and progress report. Eleven of the 13 subrecipient files did not contain any evidence of onsite visits conducted by OEM. Additionally, in interviews, six of eight subrecipients we visited² confirmed that OEM did not conduct onsite monitoring. Three subrecipients stated that OEM had not conducted a site visit in more than 5 years.

Table 4. Summary of Subrecipient Monitoring Issues Noted

Subrecipient Name	Number of Grants Reviewed between FY 2013 and FY 2015	Number of Missing Quarterly Reports	Onsite Review Conducted in Past 2 Years?
Clackamas County	9	9	No
Coos County	5	2	No
Douglas County	9	3	No
City of Eugene	6	3	No
Hood River County	5	3	Yes
Oregon Department of Justice	5	2	No
DOGAMI	1	1	No
Oregon State Police	3	4	No
City of Portland	8	7	No
City of Salem	3	5	Yes
Washington County	10	11	No
Yamhill County	2	1	No
WCCA	2	0	No

Source: Based on our site visits and review of grant files

According to 44 CFR 13.40(a), *Monitoring and Reporting Program Performance*, grantees must oversee subrecipient activities to ensure they comply with applicable Federal requirements. Office of Management and Budget Circular A- 133, *Compliance Supplement*, Part 3-M, also requires grantees to monitor subrecipients' use of Federal awards through reporting, site visits, regular contact, or other means.

According to appendix C of the NOFO, recipients/grantees must comply with all the requirements in 2 CFR 200 (2 CFR 215 for FYs 2013 and 2014).

According to 2 CFR 200.331, *Requirements for Pass-through Entities*, grantees must evaluate each subrecipient's risk of noncompliance with Federal statutes, regulations, and the terms and conditions of the subaward for purposes of determining appropriate subrecipient monitoring. In

² We visited 10 local jurisdictions, representing 8 subgrantees.

addition, pass-through entities must monitor the activities of the subrecipient as necessary to ensure that the subaward is used for authorized purposes; complies with Federal statutes, regulations, and subaward terms and conditions; and achieves its performance goals. A pass-through entity's subrecipient monitoring must include reviewing financial and performance reports required by the pass-through entity and following up and ensuring that the subrecipient takes timely and appropriate action on all deficiencies pertaining to the Federal award. The pass-through entity monitors the subrecipient through audits, onsite reviews, and other means.

OEM's policies and procedures pertaining to grant monitoring note, "OEM will conduct on-site program monitoring visits at least once for every Homeland Security grant award based upon a set bi-annual schedule."

Although OEM has written policies and procedures for monitoring its subrecipients, it has not followed its own procedures. OEM officials said they had implemented the monitoring approach in OEM's policy documents, but they could not maintain the scope in the policy because they were involved in additional audits and many other priorities, such as wild fires, winter storms, and emergency events. These affected both OEM's and the local units' ability to respond and participate. According to an OEM official, OEM is currently reviewing the current policy specifically to address requirements and align it more closely with CFR requirements.

Without proper monitoring of subrecipients' activities, neither Oregon nor FEMA could determine whether the grant program enhanced the state's ability to prepare for and respond to disasters and acts of terrorism. Also, neither the state nor FEMA had reasonable assurance that the state's subrecipients complied with applicable Federal requirements or expended the grant funds appropriately according to FEMA guidance.

Recommendation 6: We recommend that the Federal Emergency Management Agency, Grant Programs Directorate, work with the Office of Emergency Management to implement the monitoring plan contained within its policies and procedures to ensure subrecipients comply with applicable Federal requirements.

FEMA Comments

Recommendation 6: Concur. FEMA's Grant Programs Directorate will work with the Office of Emergency Management to implement and/or update its monitoring protocols. The estimated completion date is 12 months from the date the final report is released.

OIG Analysis

FEMA's corrective action is responsive to the recommendation. The recommendation will remain open and resolved until we have reviewed the actions FEMA's Grant Programs Directorate and the Office of Emergency Management take to update their monitoring protocols.

Recommendation 7: We recommend that the Federal Emergency Management Agency, Grant Programs Directorate, require the Office of Emergency Management to obtain missing quarterly

reports from the subrecipients who were unable to submit them. If these reports are not available, the subrecipients should provide the Office of Emergency Management a status of each project.

FEMA Comments

Recommendation 7: Concur. FEMA's Grant Programs Directorate will work with the Office of Emergency Management to obtain either the missing quarterly reports or a status update of each project. The estimated completion date is 12 months from the date the final report is released.

OIG Analysis

FEMA's corrective action is responsive to the recommendation. The recommendation will remain open and resolved until we have reviewed the actions FEMA's Grant Programs Directorate and the Office of Emergency Management take to obtain the missing quarterly or status reports for each project.

Improper Management of Equipment Purchased with HSGP Funds

OEM is not properly monitoring equipment purchased with HSGP funds. Individual subrecipients track equipment with little instruction or guidance from OEM. When asked for an equipment list, OEM was only able to provide individual budget documents and requests for reimbursement from each subrecipient. We did not observe any evidence of equipment tracking or monitoring at the state level.

During our site visits, we found that the adequacy of each subrecipient's equipment tracking process varied significantly. Some subrecipients had adequate processes and accurate records while other subrecipients had inaccurate records or no records at all. Because OEM was not making regular monitoring visits to subrecipients, OEM personnel were not aware whether subrecipients were accurately tracking equipment or the status, location, or condition of equipment purchased with HSGP funds.

We visited a sample of 10 local jurisdictions, representing eight subrecipients, and noted several instances in which they were not properly tracking equipment purchased with grant funds, or equipment purchased with HSGP funds was inoperable. Specifically, we found:

- Five of the 10 local units of government (jurisdictions) visited had not conducted a physical inventory of equipment purchased using HSGP funds.
- For 7 of 86 purchases tested, property records were not accurately maintained, equipment was not correctly tagged with an asset ID number that could be traced back to the equipment listing or funding source, or the local jurisdiction did not have the equipment listed. When possible, we used alternative methods to trace the equipment back to the funding source. For the remaining 79 purchases tested, we were able to trace the equipment purchased back to the funding source.

- The Portland Bureau of Emergency Management purchased a power generator on January 25, 2017, for \$130,000 to provide back-up power for disaster response. The generator is currently inoperable because the Portland Bureau of Emergency Management did not purchase the electrical components needed for the generator to function and would not be able to use the generator in a disaster. As a result, the purchase did not enhance disaster preparedness as intended by the grant and \$130,000 could have been put to better use.

Based on our analysis, improper management of equipment purchased with SHSP and UASI funds resulted in questioned costs of \$470,990 for unsupported ineligible costs. Table 5 summarizes the questioned costs resulting from these issues.

Table 5. Questioned Cost Due to Improper Inventory Management

Summary of Questioned Costs			
Grant #	Subrecipient	Description of Issue Noted	Amount Questioned
INOPERABLE EQUIPMENT			
15-170	Portland Bureau of Emergency Management	One Power Generator not functional 14 months after acquisition.	\$130,000
PURCHASE CANNOT BE TRACED TO FUNDING SOURCE			
14-170	Portland Bureau of Emergency Management	10 Radios cannot be traced back to funding source.	\$29,261
15-251	Salem Police	One Wireless X-Ray system cannot be traced back to funding source.	\$43,072
13-239	Salem Police	One Upgrade to remote Robot System cannot be traced back to funding source.	\$66,523
13-216	Eugene Fire	No equipment listing available and no tags on equipment to trace back to funding source.	\$78,755
13-216	Eugene Fire	No equipment listing available and no tags on equipment to trace back to funding source.	\$12,320
13-216	Eugene Fire	No equipment listing available and no tags on equipment to trace back to funding source.	\$34,845
14-222	Eugene Fire	No equipment listing available and no tags on equipment to trace back to funding source.	\$76,214
Total Questioned Cost			\$470,990

Source: Invoices and budget documents provided by OEM

According to 44 CFR 13.32 and 2 CFR 200.313:

- (1) Property records must be maintained that include a description of the property, a serial number or other identification number, the source of property, who holds title, the acquisition date, and cost of the property, percentage of Federal participation in the cost of the property, the location, use and condition of the property, and any ultimate disposition data including the date of disposal and sale price of the property; and
- (2) A physical inventory of the property must be taken and the results reconciled with the property records at least once every 2 years.

The NOFO states “Grant funds are intended to support the Goal by funding projects that build and sustain the core capabilities necessary to prevent, protect against, mitigate the effects of, respond to, and recover from those threats that pose the greatest risk to the security of the Nation.” Equipment that is not operational does not increase the state’s preparedness; therefore, it does not contribute to the objectives of HSGP.

OEM does not have a system in place to track equipment at the state level and instead relies on local jurisdictions to track their own equipment. OEM requires local jurisdictions to report the equipment purchased with HSGP funds in each subrecipient’s request for reimbursement, but the state does not compile or update this information to track equipment.

OEM did not provide guidance to subrecipients regarding proper tracking of HSGP equipment. Also, OEM’s continued involvement in additional audits and other priorities, including wild fires, winter storms, and emergency events, prevents adequate monitoring of its subrecipients to ensure effective internal control systems.

Lack of proper equipment records and tracking resulted in equipment purchases that either did not enhance disaster preparedness or could not be traced back to the funding source, resulting in \$470,990 in questioned costs. In addition, failure to properly track equipment could increase the risk of misappropriation of assets or duplication of benefits.

Recommendation 8: We recommend that the Federal Emergency Management Agency, Grant Programs Directorate, determine if the equipment purchases in question were allowable and can be traced to the Homeland Security Grant Program. If any of the \$470,990 in questioned cost is determined unallowable or if equipment cannot be traced to the grant, the Federal Emergency Management Agency should recoup the questioned costs associated with that item.

FEMA Comments

Recommendation 8: Concur. The Grant Programs Directorate will review questioned costs within the Office of Emergency Management's subgrants for FYs 2013, 2014, and 2015, and determine the appropriate remedy based on the circumstances. The estimated completion date is 12 months from the date the final report is released.

OIG Analysis

FEMA's corrective action is responsive to the recommendation. The recommendation will remain open and resolved until we receive evidence that FEMA's Grant Programs Directorate has reviewed and determined the appropriate remedy for the questioned costs within the Office of Emergency Management for FYs 2013, 2014, and 2015.

Recommendation 9: We recommend that the Federal Emergency Management Agency, Grant Programs Directorate, require the Office of Emergency Management to develop procedures to enable its subrecipients to properly manage and maintain equipment purchased using Homeland Security Grant Program funds.

FEMA Comments

Recommendation 9: Concur. FEMA's Grant Programs Directorate will work with the Office of Emergency Management to update its policies and procedures for subrecipients to manage and track their equipment inventories. The estimated completion date is 12 months from the date the final report is released.

OIG Analysis

FEMA's corrective action is responsive to the recommendation. The recommendation will remain open and resolved until we have reviewed the actions FEMA's Grant Programs Directorate and the Office of Emergency Management take to update their policies and procedures for subrecipients to manage and track equipment inventories.

Recommendation 10: We recommend that the Federal Emergency Management Agency, Grant Programs Directorate, require the Office of Emergency Management to implement a monitoring plan that specifically includes monitoring of its subrecipients' equipment.

FEMA Comments

Recommendation 10: Concur. FEMA's Grant Programs Directorate will work with the Office of Emergency Management to implement a successful monitoring plan that includes protocols for reviewing equipment inventories. The estimated completion date is 12 months from the date the final report is released.

OIG Analysis

FEMA's corrective action is responsive to the recommendation. The recommendation will remain open and resolved until we have reviewed the actions FEMA's Grant Programs Directorate and the Office of Emergency Management take to implement a monitoring plan with a requirement to review equipment inventories.

Appendix A

Objective, Scope, and Methodology

The Department of Homeland Security Office of Inspector General was established by the *Homeland Security Act of 2002* (Public Law 107–296) by amendment to the *Inspector General Act of 1978*.

The objectives of this performance audit were to determine:

1. whether the State used FY 2013–FY 2015 SHSP and UASI grant funds in accordance with the law, program guidance, and state homeland security plans and other applicable plans;
2. the extent to which funds awarded enhanced the ability of grantees to prevent, prepare for, protect against, and respond to natural disasters, acts of terrorism and other manmade disasters; and
3. whether any duplicate benefits were received by other Federal agencies for the same or similar purposes as the SHSP or UASI grants.

To address our three audit objectives, we developed researchable questions for each objective relating to strategic planning and compliance, effectiveness, and duplication of benefits.

To answer Objective 1, we developed and addressed the following researchable questions for strategic planning:

- **Strategic Planning:** Did the state, and if applicable, the urban areas, develop an appropriate Homeland Security Strategy?

Researchable Question #1. Did the strategy include appropriate goals and objectives?

Researchable Question #2. Did the state adequately assess its risks (threats, vulnerabilities, and consequences) and needs to accomplish its goals and objectives?

To address our researchable questions on strategic planning, we performed the following:

- reviewed the State’s strategy and determined whether it complied with FEMA guidance, addressed the four mission areas (prevent, protect, respond, recover) and the National Priorities, and the objectives appeared to be specific, measurable, achievable, results-oriented, and time-limited (SMART).
- reviewed the Threat and Hazard Identification and Risk Assessment (THIRA) and determined whether the state incorporated a whole community approach throughout the THIRA process, thoroughly documented the THIRA process, including support data used to the THIRA, and threats, risks, and capabilities per the THIRA and strategic plan were consistent.

We noted no exceptions as it relates to our researchable questions on strategic planning.

To answer Objective 1, we also developed and addressed the following researchable questions for compliance:

- **Compliance:** Did the state implement the grant programs in compliance with its Homeland Security Strategy and applicable grant requirements?

Researchable Question #1. Were the funds awarded and spent in accordance with State Homeland Security plans and other applicable plans?

Researchable Question #2. Did the grantee comply with all grant requirements?

To address our researchable questions on compliance, we performed the following:

- inquired and reviewed support to determine how funds were allocated to subrecipients.
- reviewed grant guidelines to understand how funds could be spent.
- reviewed support that showed that the state issued guidelines to subrecipients on grant disbursements and reporting of expenditures.
- tested a sample of non-payroll expenditures and traced these to supporting documentation. We determined grant guidelines were followed.
- tested a sample of payroll expenditures and determined that they were adequately supported and reasonable.
- tested a sample of contracts and determined that Federal, State and grant guidelines were followed.

We noted exceptions as it relates to our researchable questions on compliance. See Results of Audit section starting on page 2 through 16 of this report.

To answer Objective 2, we developed and addressed the following researchable questions for effectiveness:

- **Effectiveness:** To what extent did funds enhance the ability to prevent, prepare for, protect against, and respond to natural disasters, acts of terrorism, and other manmade disasters?

Researchable Question #1. Has the state developed an appropriate system to measure improvements in preparedness as a result of the grants?

Researchable Question #2. Has the state measured improvements in preparedness as a result of the grants, and have such measurement efforts been effective?

Researchable Question #3. Are there any best practices or innovative ways for improving preparedness that are worthy of sharing with FEMA and other grantees.

To address our researchable questions on effectiveness, we performed the following:

- reviewed policies and procedures and instructions for the state's performance measurement system.
- observed support that showed that the state measured preparedness improvements.
- inquired and reviewed support and determined that the state has implemented an assessment process to address a level of preparedness.
- reviewed the State Preparedness Report to determine if the State communicate overall progress toward increasing the national preparedness level.
- inquired and reviewed support for best practices or innovative ways for improving preparedness.

As a result of the exceptions noted for objective 1, we could not fully assess the state's effectiveness nor identify any best practices or innovative ways.

To answer Objective 3, we developed and addressed the following researchable question for duplicate benefits:

- **Duplication of Benefits:** Were any duplicate benefits received by other Federal agencies for the same or similar purposes as the SHSP or UASI grants?

Researchable Question #1. Are there duplicate benefits that were received for same or similar purposes as SHSP or UASI grants?

To address our researchable question on duplication of benefits, we performed the following:

- inquired of OEM management to obtain an understanding of other federal funds received and the purpose for these funds.

We did not note any duplicate benefits received for same or similar purposes as the SHSP or UASI grants.

The scope of our audit was to conduct a performance audit of SHSP and UASI grants awarded from FY 2013 through FY 2015 to Oregon.

We visited 11 sites, including Oregon's State Administrative Agency (OEM) and the following subrecipients:

- Portland City
 - Portland Bureau of Emergency Management
 - Portland Police Bureau
- Washington County Consolidated Communications Agency
- Coos County
- Yamhill County
- Oregon State Police
- Hood River County

- Eugene City
 - Eugene Police Department
 - Eugene Fire & EMS
- Salem City

SHSP and UASI grants awarded to Oregon from FY 2013 through FY 2015

Grant Year	SHSP	UASI	Totals
2013	\$3,459,364	\$0	\$3,459,364
2014	\$3,837,000	\$1,000,000	\$4,837,000
2015	\$3,837,000	\$3,000,000	\$6,837,000
Totals	\$11,133,364	\$4,000,000	\$15,133,364

Source: FYs 2013 to 2015 Notice of Funding Opportunities

We reviewed a statistical sample of SHSP and UASI grant expenditures representing 24 percent of the dollar value expended for all grant years to determine the sufficiency of internal controls as follows:

	Non-Payroll	Payroll	Total
Population	\$12,086,846	\$1,601,912	\$13,688,758 ³
Sample	\$3,065,893	\$158,617	\$3,224,510
Percent (%) Tested	25%	10%	24%

Source: Disbursement populations provided by OEM for FYs 2013 to 2015

We assessed the reliability of disbursement and payroll data by (1) testing required data elements, (2) reviewing existing information about the data, and (3) interviewing agency officials knowledgeable about the data. In addition, we traced a statistically random sample of data to source documents. We determined that the data was sufficiently reliable for the purposes of this report.

We conducted this performance audit between November 2017 and April 2018 pursuant to the *Inspector General Act of 1978*, as amended, and according to *Government Auditing Standards*. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based upon our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based upon our audit objectives.

Although this audit included a review of costs claimed, we did not perform a financial audit of those costs. This was a performance audit as defined by Chapter 6 of the *Government Auditing Standards* and included a review and report of program activities with a compliance element.

³ The FY 2015 grant period of performance ends on August 31, 2018. The scope of our audit extended from October 1, 2013 through December 31, 2017.

Appendix B

FEMA Comments to the Draft Report

U.S. Department of Homeland Security
Washington, DC 20472



FEMA

JUL 26 2018

MEMORANDUM FOR: John McCoy
Assistant Inspector General for Audits

FROM: David Bibo 
Associate Administrator (Acting)
Office of Policy and Program Analysis

SUBJECT: Management's Response to OIG Draft Report: "The State of Oregon's Management of the Department of Homeland Security's State Homeland Security Program (SHSP) and Urban Area Security Initiative (UASI) Grants for Fiscal Years 2013 Through 2015 " OIG-18-19-AUD-FEMA

Thank you for the opportunity to review and comment on this draft report. The Federal Emergency Management Agency (FEMA) appreciates the work of the Office of Inspector General (OIG) in planning and conducting its review and issuing this report.

FEMA is pleased to note the OIG's thoughtful recognition of the Homeland Security Grant Program (HSGP) in Oregon. Although the OIG acknowledged the combined successes between the State of Oregon and FEMA, some of the proposed recommendations in the report do not reflect the entire situation. FEMA remains committed to improving the effectiveness and simplicity with which it administers preparedness grants.

The Draft Report contained 10 recommendations, with which FEMA concurs. Please see the attached for our detailed response to each recommendation.

Again, thank you for the opportunity to review and comment on this Draft Report. Technical comments were previously provided under separate cover. Please feel free to contact Mr. Gary McKeon, Director of FEMA's Audit Liaison Office at (202) 646-1308, if you have any questions. We look forward to working with you in the future.

Attachment: FEMA Management Response to Recommendations Contained in OIG-18-19-AUD-FEMA

FEMA Management Response to Recommendations Contained in OIG-18-19-AUD-FEMA

Recommendation 1: We recommend that Federal Emergency Management Agency Grant Programs Directorate review and remedy the questioned costs within Office of Emergency Management's subgrants for FYs 2013, 2014, and 2015, returning to the Federal Government the cost of ineligible expenditures.

Response: Concur. The Grant Programs Directorate (GPD) will review questioned costs within Office of Emergency Management's subgrants for FYs 2013, 2014, and 2015, and determine the appropriate remedy based on the circumstances.

In accordance with 2 C.F.R. § 200.338 and 44 C.F.R. § 13.43, recoupment of funds is not the only remedy for noncompliance available to a federal awarding agency. As the federal awarding agency, FEMA has the discretion to determine the appropriate remedy based on the circumstances.

Estimated Completion Date (ECD): Twelve months from the date of the final report release.

Recommendation 2: We recommend that Federal Emergency Management Agency Grant Programs Directorate require Office of Emergency Management to update their policies and procedures to include a process for obtaining written consent for all instances where the State withholds funding on behalf of the local units of government. This written consent should specify the amount of funds to be retained and the intended use of funds as required by the grant guidance.

Response: Concur. FEMA-GPD will work to ensure that the Office of Emergency Management updates its policies and procedures to include a process for obtaining written consent for instances where the state withholds funding on behalf of counties or cities when the state intends to apply that funding to its 80 percent pass-through requirement.

ECD: Twelve months from the date of the final report release.

Recommendation 3: We recommend that Federal Emergency Management Agency Grant Programs Directorate require Office of Emergency Management to design, implement and document a process to allow for the timely obligation of Urban Area Security Initiative funds as required by Federal Emergency Management Agency's grant guidance.

Response: Concur. FEMA-GPD will work to ensure that the Office of Emergency Management develops a written procedure and implements the process for ensuring the timely obligation of grant funds to subrecipients.

ECD: Twelve months from the date of the final report release.

Recommendation 4: We recommend that Federal Emergency Management Agency Grant Programs Directorate require Office of Emergency Management to reimburse the Federal Government in the amount of \$118,636 for indirect costs charged to Homeland Security Grant

Program.

Response: Concur. FEMA-GPD will require that the Office of Emergency Management remedy all improperly charged indirect costs. FEMA recognizes that the Office of Emergency Management has obtained a valid Indirect Cost Rate Agreement that may impact this finding.

ECD: Twelve months from the date of the final report release.

Recommendation 5: We recommend that Federal Emergency Management Agency Grant Programs Directorate ensure that Office of Emergency Management update their policies and procedures to include that an indirect cost rate agreement should be included with the grant application if indirect expenses will be charged to Homeland Security Grant Programs grants.

Response: Concur. FEMA-GPD will work to ensure that the Office of Emergency Management updates their policies and procedures to include that an Indirect Cost Rate Agreement should be included with the grant application if indirect costs will be charged to Homeland Security Grant Program awards.

ECD: Twelve months from the date of the final report release.

Recommendation 6: We recommend that Federal Emergency Management Agency Grant Programs Directorate work with Office of Emergency Management to implement the monitoring plan contained within its policies and procedures to ensure that subgrantees comply with applicable Federal requirements.

Response: Concur. FEMA-GPD will work with the Office of Emergency Management to implement and/or update their monitoring protocols.

ECD: Twelve months from the date of the final report release.

Recommendation 7: We recommend that Federal Emergency Management Agency Grant Programs Directorate require Office of Emergency Management to obtain the missing quarterly reports from the subgrantees who were unable to submit them. If these reports are not available, the subgrantees should provide Office of Emergency Management a status of each project.

Response: Concur. FEMA-GPD will work with the Office of Emergency Management to obtain either the missing quarterly reports or a status update of each project.

ECD: Twelve months from the date of the final report release.

Recommendation 8: We recommend that Federal Emergency Management Agency Grant Programs Directorate determine if the equipment purchases in question were allowable and can be traced to the Homeland Security Grant Program. If determined unallowable or if equipment cannot be traced to the grant, Federal Emergency Management Agency should recoup the questioned costs associated with that item.

Response: Concur. GPD will review questioned costs within Office of Emergency Management's subgrants for FYs 2013, 2014, and 2015, and determine the appropriate remedy based on the circumstances.

In accordance with 2 C.F.R. § 200.338 and 44 C.F.R. § 13.43, recoupment of funds is not the only remedy for noncompliance available to a federal awarding agency. As the federal awarding agency, FEMA has the discretion to determine the appropriate remedy based on the circumstances.

ECD: Twelve months from the date of the final report release.

Recommendation 9: We recommend that Federal Emergency Management Agency Grant Programs Directorate require Office of Emergency Management to develop proper procedures to enable its subgrantees to properly manage and maintain equipment purchased using Homeland State Grant Program funds.

Response: Concur. FEMA-GPD will work with the Office of Emergency Management to update their policies and procedures for subrecipients to manage and track their equipment inventories.

ECD: Twelve months from the date of the final report release.

Recommendation 10: We recommend that Federal Emergency Management Agency Grant Programs Directorate require Office of Emergency Management to implement a monitoring plan that specifically includes equipment monitoring of its subgrantees.

Response: Concur. FEMA-GPD will work with the Office of Emergency Management to implement a successful monitoring plan that includes protocols for reviewing equipment inventories.

ECD: Twelve months from the date of the final report release.

Appendix C

Potential Monetary Benefits

Finding #	Finding Title	Rec #	Questioned Cost Amount
Finding 1	Improper Reporting of Grant Fund Obligations	1	\$637,699
Finding 3	Improper Allocation of Indirect Costs	4	\$118,636
Finding 5	Improper Management of Equipment Purchased with HSGP Funds	8	\$470,990
Total			\$1,227,325

Source: Based on the fieldwork performed and questioned costs noted in Findings 1, 3, and 5

Appendix D

Description of the Homeland Security Grant Program

The purpose of the Homeland Security Grant Program (HSGP) is to support state, local and tribal efforts to prevent terrorism and other catastrophic events and to prepare the Nation for the threats and hazards that pose the greatest risk to the security of the United States. The HSGP plays an important role in implementing the National Preparedness System by supporting the building, sustainment, and delivery of core capabilities essential to achieving the National Preparedness Goal of a secure and resilient Nation. HSGP supports efforts to build and sustain core capabilities across the five mission areas of Prevention, Protection, Mitigation, Response, and Recovery based on allowable costs. HSGP provides Federal funds to help state, local, tribal, and territorial agencies obtain the resources required to support implementation of the National Preparedness System and the National Preparedness Goal of a secure and resilient Nation. HSGP consists of three separate and distinct grant programs (only SHSP and UASI are within the scope of our audit):

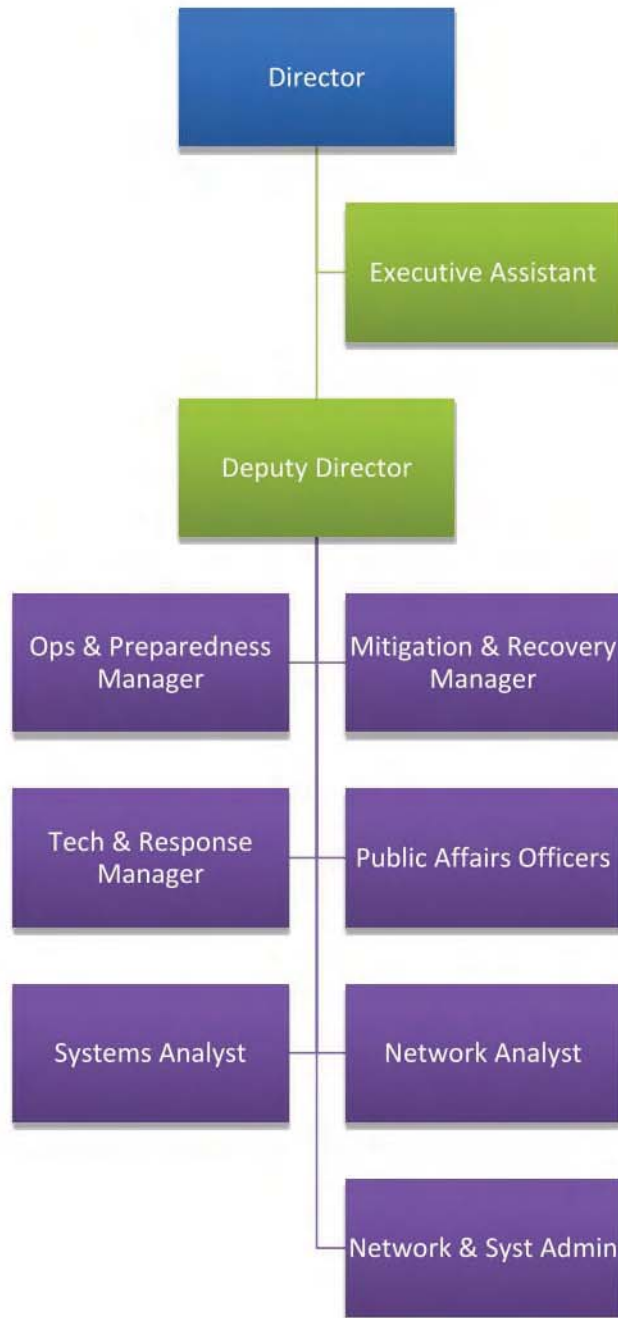
- **State Homeland Security Grant Program (SHSP):** SHSP assists state, tribal, and local preparedness activities that address high-priority preparedness gaps across all core capabilities and mission areas that support terrorism preparedness. All supported investments are based on capability targets and gaps identified during the Threat and Hazard Identification and Risk Assessment and assessed in the State Preparedness Report.
- **Urban Areas Security Initiative (UASI):** UASI funds address the unique risk-driven and capabilities-based planning, organization, equipment, training, and exercise needs of high-threat, high-density urban areas based on the capability targets identified during the Threat and Hazard Identification and Risk Assessment and associated assessment efforts. UASI helps them build an enhanced and sustainable capacity to prevent, protect against, mitigate, respond to, and recover from acts of terrorism.
- **Operation Stonegarden (OPSG):** OPSG supports enhanced cooperation and coordination among U.S. Customs and Border Protection, United States Border Patrol, and local, tribal, territorial, state, and Federal law enforcement agencies. OPSG funds investments in joint efforts to secure U.S. borders along routes of ingress from international borders, including travel corridors in states bordering Mexico and Canada, as well as states and territories with International water borders.

Appendix E

Oregon Office of Emergency Management

Organizational Chart as of April 2018

Source: Interviews with OEM management and from OEM Directory on the OEM website



Appendix F

Report Distribution

Department of Homeland Security

Secretary
Deputy Secretary
Chief of Staff
General Counsel
Executive Secretary
Director, GAO/OIG Liaison Office
Assistant Secretary for Office of Policy
Assistant Secretary for Office of Public Affairs
Assistant Secretary for Office of Legislative Affairs

Office of Management and Budget

Comptroller
Chief, Homeland Security Branch
DHS OIG Budget Examiner

Congress

Senate Committee on Homeland Security and Governmental Affairs House Committee on Oversight and Government Reform

Government Accountability Office

Comptroller General

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Duddy, Maureen
Sent: 12 Mar 2019 11:01:51 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Attachments: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)
Message-Id: <50D89E38EC43C74DBDD53DFCE5E9017401295FB98E@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Duddy, Maureen
Sent: 12 Mar 2019 07:01:50 -0400
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for Aids to Navigation Audit (18-066-AUD-USCG)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 7 Mar 2019 16:10:23 +0000
To: OIG Audits Followup
Cc: Johnson, Tammy
Subject: RE: Final Report for Expedited Review - ONDCP - USCG Drug Summary
(523435) AUD
Attachments: RE: Final Report for Expedited Review - ONDCP - USCG Drug Summary
(523435) AUD

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Final Report for Expedited Review - ONDCP - USCG Drug Summary (523435) AUD
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012924D947@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: McCauley, Sondra
Sent: 7 Mar 2019 11:10:22 -0500
To: OIG Audits Followup
Cc: Johnson, Tammy
Subject: RE: Final Report for Expedited Review - ONDCP - USCG Drug Summary (523435) AUD
Attachments: FY 18 USCG PSR Final Report.pdf, FY18 USCG PSR IG memo to ONDCP - no aig edits.docx, FY18 USCG PSR Report Highlights - aig edits.docx, FY18 USCG PSR S1-SAR - aig edits.docx

Here's one.

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, March 06, 2019 4:12 PM
To: McCauley, Sondra (b)(6); (b)(7)(C) @oig.dhs.gov>
Cc: Johnson, Tammy (b)(6); (b)(7)(C) @oig.dhs.gov>
Subject: Final Report for Expedited Review - ONDCP - USCG Drug Summary (523435) AUD

Hi Sondra,

For your Expedited review, is the Final report titled "***Review of .S. Coast Guard's Fiscal Year 2018 Drug Control Performance Summary Report.***" This report is usually due to ONDCP February 1st; however, ONDCP has extended the due date to 3/8/19. Thanks

DAIGA: Moe Duddy
Director: Sandra John

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)98 (b)(6); phone
(202)42 (b)(7)(C) mobile
(b)(6); (b)(7)(C)



Independent Accountant's Report

Inspector General
United States Department of Homeland Security

We have reviewed management's assertions related to the Performance Summary Report (PSR) of the U.S. Department of Homeland Security's (DHS) United States Coast Guard (USCG) for the year ended September 30, 2018. USCG management is responsible for the preparation of the PSR in accordance with requirements of the Office of National Drug Control Policy Circular: *Accounting of Drug Control Funding and Performance Summary*, dated May 8, 2018 (the Circular). Our responsibility is to express a conclusion on the PSR and management's assertions based on our review.

Our review was conducted in accordance with generally accepted government auditing standards, which incorporate the attestation standards established by the American Institute of Certified Public Accountants. Those standards require that we plan and perform the review to obtain limited assurance about whether any material modifications should be made to the PSR or PSR assertions in order for them to be in accordance with the Circular. A review is substantially less in scope than an examination, the objective of which is to obtain reasonable assurance about whether USCG's PSR and PSR assertions are in accordance with the Circular, in all material respects, in order to express an opinion. Accordingly, we do not express such an opinion. We believe that our review provides a reasonable basis for our conclusion.

Based on our review, we are not aware of any material modifications that should be made to the PSR or the PSR assertions for the year ended September 30, 2018, in order for them to be in accordance with the requirements set forth in the Circular.

This report is intended solely for the information and use of USCG and the Office of National Drug Control Policy, and is not intended to be, and should not be, used by anyone other than the specified parties.

Williams, Adley & Company-DC, LLP

Washington, District of Columbia
February 28, 2019

WILLIAMS, ADLEY & COMPANY-DC, LLP
Certified Public Accountants/Management Consultants

1030 15th Street, NW, Suite 350 West • Washington, DC 20005 • (202) 371-1397 • Fax: (202) 371-9161
www.williamsadley.com

Requester's Name: Andrew Free

FOIA/PA NO.: 2019-IGFO-002096

7 PAGE(S)

are referred to USCG for processing
and direct response to the requester.



OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

The Honorable James Carroll
Director
Office of National Drug Control Policy
750 17th Street, NW
Washington, DC 20503

Dear Mr. Carroll:

The enclosed report presents the results of our independent review of U.S. Coast Guard's (Coast Guard) fiscal year 2018 Drug Control Performance Summary Report.

The Office of National Drug Control Policy's Circular, *Accounting of Drug Control Funding and Performance Summary*, dated May 8, 2018, requires the Office of Inspector General to express a conclusion about the reliability of each assertion made in Coast Guard's Drug Control Performance Summary Report. We contracted with an independent public accounting firm to conduct the review of Coast Guard's report as an attestation engagement consistent with the attestation standards established by the American Institute of Certified Public Accountants and the standards applicable to attestation engagements contained in the *Government Auditing Standards* issued by the Comptroller General of the United States.

Please call me with any questions, or your staff may contact Sondra McCauley, Assistant Inspector General for Audits, at (202) 981-6000.

Sincerely,

John V. Kelly
Acting Inspector General

Enclosure



DHS OIG HIGHLIGHTS

Review of U.S. Coast Guard's Fiscal Year 2018 Drug Control Performance Summary Report

March **XX**, 2019

Why We Did This Review

The Office of National Drug Control Policy's (ONDCP) Circular, *Accounting of Drug Control Funding and Performance Summary*, requires each National Drug Control Program agency to submit to ONDCP

Director a detailed accounting of all funds expended for National Drug Control Program activities during the previous fiscal year.

The Office of Inspector General (OIG) is required to conduct a review of the report and provide a conclusion about the reliability of each assertion made in the report.

For Further Information:

Contact our Office of Public Affairs at (202) 981-6000, or email us at DHS-OIG.OfficePublicAffairs@oig.dhs.gov

What We Found

Williams Adley & Company – DC, LLP (Williams Adley), under contract with the Department of Homeland Security OIG, issued an Independent Accountant's Report on U.S. Coast Guard's (Coast Guard) fiscal year (FY) 2018 Drug Control Performance Summary Report. Coast Guard's management prepared the Performance Summary Report and the related disclosures in accordance with ~~the~~ requirements of ONDCP Circular, *Accounting of Drug Control Funding and Performance Summary*, dated May 8, 2018 (the Circular). Based on its review, nothing came to Williams Adley's attention that caused it to believe that the Coast Guard's FY 2018 Performance Summary Report is not presented in conformity with the criteria in the Circular. Williams Adley did not make any recommendations as a result of its review.



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

MEMORANDUM FOR: Rear Admiral Thomas G. Allan, Jr.
Assistant Commandant for Resources and
Chief Financial Officer
U.S. Coast Guard

FROM: Sondra F. McCauley
Assistant Inspector General for Audits

SUBJECT: *Review of U.S. Coast Guard's Fiscal Year 2018
Drug Control Performance Summary Report*

Attached for your information is our final report, *Review of U.S. Coast Guard's Fiscal Year 2018 Drug Control Performance Summary Report*. Coast Guard's management prepared the Performance Summary Report and the related disclosures in accordance with the requirements of the Office of National Drug Control Policy's Circular, *Accounting of Drug Control Funding and Performance Summary*, dated May 8, 2018.

We contracted with the independent public accounting firm Williams Adley & Company - DC, LLP (Williams Adley) to review Coast Guard's Drug Control Performance Summary Report. Williams Adley is responsible for the attached Independent Accountant's Report, dated February 28, 2019, and the conclusions expressed in it. Williams Adley's report contains no recommendations.

Consistent with our responsibility under the *Inspector General Act*, we will provide copies of our report to congressional committees with oversight and appropriation responsibility over the Department of Homeland Security. We will post the report on our website for public dissemination.

Please call me with any questions at (202) 981-6100, or your staff may contact Maureen Duddy, Deputy Assistant Inspector General for Audits, at

(617) 565-(b)(6); (b)(7)(C)

Attachment



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

Appendix A Report Distribution

Department of Homeland Security

Secretary
Deputy Secretary
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Assistant Secretary for Office of Public Affairs
Assistant Secretary for Office of Legislative Affairs

U.S. Coast Guard

Commandant
Chief Financial Officer
Audit Liaison

Office of Management and Budget

Chief, Homeland Security Branch
DHS OIG Budget Examiner

Congress

Congressional Oversight and Appropriations Committees

Office of National Drug Control Policy

Associate Director for Management and Administration

Final Report Title: *Review of U.S. Coast Guard's Fiscal Year
2018 Drug Control Performance Summary Report*

PTS Workflow 523421

S1/SAR Summary

Williams, Adley & Company – DC LLP (Williams Adley), under contract with the DHS Office of Inspector General, issued an Independent Accountant's Report on U.S. Coast Guard's (USCG) fiscal year (FY) 2018 Performance Summary Report. Coast Guard management prepared the Performance Summary Report and the related disclosures in accordance with requirements of Office of National Drug Control Policy (ONDCP) Circular, *Accounting of Drug Control Funding and Performance Summary*, dated May 8, 2018 (the Circular). Based on its review, nothing came to Williams Adley's attention that caused it to believe that Coast Guard's FY 2018 Performance Summary Report is not presented in conformity with the criteria in the Circular. Williams Adley did not make any recommendations as a result of its review.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Viray, Lovella
Sent: 4 Mar 2019 17:39:37 +0000
To: OIG Audits Followup
Subject: Message Recall Failure: Final Report for Expedited Review - ONDCP - ICE
Detailed Account Summary (523418) AUD
Attachments: Message Recall Failure: Final Report for Expedited Review - ONDCP - ICE
Detailed Account Summary (523418) AUD

Sender: (b)(6); (b)(7)(C) @oig.dhs.gov
Subject: Message Recall Failure: Final Report for Expedited Review - ONDCP - ICE Detailed Account
Summary (523418) AUD
Message-Id: <9D150025B28A954FAB126D1DC8E16E52CADA5A57@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Viray, Lovella
Sent: 4 Mar 2019 12:39:37 -0500
To: OIG Audits Followup
Subject: Message Recall Failure: Final Report for Expedited Review - ONDCP - ICE
Detailed Account Summary (523418) AUD

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 4 Mar 2019 17:39:43 +0000
To: OIG Audits Followup
Subject: Message Recall Success: Final Report for Expedited Review - ONDCP -
ICE Detailed Account Summary (523418) AUD
Attachments: Message Recall Success: Final Report for Expedited Review - ONDCP -
ICE Detailed Account Summary (523418) AUD

Sender: (b)(6)@oig.dhs.gov
Subject: Message Recall Success: Final Report for Expedited Review - ONDCP - ICE Detailed Account
Summary (523418) AUD
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012924B2B7@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 4 Mar 2019 12:39:42 -0500
To: OIG Audits Followup
Subject: Message Recall Success: Final Report for Expedited Review - ONDCP -
ICE Detailed Account Summary (523418) AUD

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of OIG Audits Followup
Sent: 7 Mar 2019 16:22:59 +0000
To: OIG Audits Followup
Subject: RE: Final Report for Expedited Review - ONDCP - CBP Detailed
Accounting Submission (523436) AUD (5 of 6)
Attachments: RE: Final Report for Expedited Review - ONDCP - CBP Detailed
Accounting Submission (523436) AUD (5 of 6)

Sender: OIGAuditsFollowup@oig.dhs.gov
Subject: RE: Final Report for Expedited Review - ONDCP - CBP Detailed Accounting Submission
(523436) AUD (5 of 6)
Message-Id: <1E22E0CEE0483A41A7FE333164B8E2AB0158D8700A@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: OIG Audits Followup
Sent: 7 Mar 2019 11:22:58 -0500
To: OIG Audits Followup
Subject: RE: Final Report for Expedited Review - ONDCP - CBP Detailed Accounting Submission (523436) AUD (5 of 6)
Attachments: FY18 CBP DAS S1_SAR.docx

Here you go Sondra.

Tammy

From: OIG Audits Followup
Sent: Thursday, March 07, 2019 6:40 AM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: Final Report for Expedited Review - ONDCP - CBP Detailed Accounting Submission (523436) AUD (5 of 6)
Importance: High

Hi Sondra,

For your Expedited review, is the Final report titled “**Review of U.S. Customs and Border Protection's Fiscal Year 2018 Detailed Accounting Submission for Drug Control Funds.**” This report is usually due to ONDCP February 1st; however, ONDCP has extended the due date to 3/8/19. Thanks

DAIGA: Moe Duddy
Director: Sandra John

Tammy A. Johnson

Department of Homeland Security
Office of Inspector General
Office of Audits
Washington, DC
(202) 981 (b)(6)

Final Report Title: *Review of U.S. Customs and Border Protection's Fiscal Year 2018 Detailed Accounting Submission for Drug Control Funds*

PTS Workflow 523436

S1/SAR Summary

Williams, Adley & Company – DC, LLP (Williams Adley), under contract with DHS Office of Inspector General, issued an Independent Accountant's Report on U.S. Customs and Border Protection's (CBP) fiscal year 2018 Detailed Accounting Submission for drug control funds. CBP's management prepared the Table of FY 2018 Drug Control Obligations and related disclosures in accordance with the requirements of the Office of National Drug Control Policy's Circular, *Accounting of Drug Control Funding and Performance Summary*, dated May 8, 2018 (the Circular).

CBP's management was unable to provide supporting documentation for the drug control methodology used for estimating the percentages of obligations allocated between interdiction and intelligence. These percentages are used to derive the dollar-value of obligations reported as Drug Resources by Budget Decision Unit and Drug Control Function in the Table of FY 2018 Drug Control Obligations presented in CBP's Detailed Accounting Submission. As a result, Williams Adley was unable to complete review procedures related to assessing the reasonableness and accuracy of the methodologies used.

Except as noted above, nothing came to William Adley's attention that caused them to believe that CBP's FY 2018 Detailed Accounting Submission is not presented in conformity with the criteria set forth in the Circular.

(OIG-19-XX, March 2019)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 12 Mar 2019 12:58:40 +0000
To: OIG Audits Followup
Cc: Viray, Lovella
Subject: RE: Cancellation Memo for Review - Hurricane Florence Response and Recovery Efforts - AUD (522986)
Attachments: RE: Cancellation Memo for Review - Hurricane Florence Response and Recovery Efforts - AUD (522986)

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Cancellation Memo for Review - Hurricane Florence Response and Recovery Efforts - AUD (522986)
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012924FFE5@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: McCauley, Sondra
Sent: 12 Mar 2019 08:58:39 -0400
To: OIG Audits Followup
Cc: Viray, Lovella
Subject: RE: Cancellation Memo for Review - Hurricane Florence Response and Recovery Efforts - AUD (522986)
Attachments: Florence - Cancellation Memo - 3-12-19 AIG edits.docx

See attached. Pls drop my signature in the clean version.

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Tuesday, March 12, 2019 8:08 AM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: Cancellation Memo for Review - Hurricane Florence Response and Recovery Efforts - AUD (522986)

Hi Sondra,

For your review, is the cancellation memo for the project titled “*FEMA’s Response to Hurricane Florence in the States of North and South Carolina.*” The Cancellation change request has been approved by the Acting IG and the project is already terminated in PTS.

Tammy A. Johnson

Department of Homeland Security
Office of Inspector General
Office of Audits
Washington, DC
(202) 981 (b)(6)



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

MEMORANDUM FOR: Albert Lewis
Federal Coordinating Officer for 4393-DR-NC
Federal Emergency Management Agency

Elizabeth Turner
Federal Coordinating Officer for 4394-DR-SC
Federal Emergency Management Agency

FROM: Sondra F. McCauley
Assistant Inspector General for Audits

SUBJECT: Cancellation of OIG Audit - *FEMA's Response to Hurricane Florence in the States of North and South Carolina*

We are terminating our review of *FEMA's ~~response~~ Response to Hurricane Florence in the States of North and South Carolina*. We terminated this review due to competing priorities and other emerging issues. We may plan an audit of this area in the future.

Please call me with any questions or your staff may contact
Katherine M. Trimble, Deputy Assistant Inspector General for Audits, at (202) 981-

(b)(6)

cc: Gary McLean, Director FEMA Audit Liaison
Jim Crumpacker, Director GAO/OIG Liaison Office

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Herberger, Kelly
Sent: 4 Mar 2019 19:40:12 +0000
To: OIG Audits Followup
Subject: Meeting Forward Notification: Monthly CA meeting
Attachments: Meeting Forward Notification: Monthly CA meeting

Sender: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
On-Behalf-Of: (b)(6)@oig.dhs.gov
Subject: Meeting Forward Notification: Monthly CA meeting
Message-Id: <2D9E7A39C23A924B834D76D189463C480146CF948B@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Herberger, Kelly
Sent: 4 Mar 2019 14:40:12 -0500
To: OIG Audits Followup
Subject: Meeting Forward Notification: Monthly CA meeting

Your meeting was forwarded

[Herberger, Kelly](#) has forwarded your meeting request to additional recipients.

Meeting

Monthly CA meeting

Meeting Time

Wednesday, 06 March 2019 10:00-11:30.

Recipients

[Viray, Lovella](#)

[Johnson, Tammy](#)

All times listed are in the following time zone: (UTC-05:00) Eastern Time (US & Canada)

Sent by Microsoft Exchange Server 2010

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Trimble, Katherine
Sent: 12 Mar 2019 13:25:04 +0000
To: OIG Audits Followup
Cc: Viray, Lovella
Subject: RE: REVISED Final Alert for Review: MA - FEMA Did Not Safeguard
Disaster Survivors' Sensitive PII - AUD - (523289)
Attachments: RE: REVISED Final Alert for Review: MA - FEMA Did Not Safeguard
Disaster Survivors' Sensitive PII - AUD - (523289)

Sender: (b)(6)@oig.dhs.gov
Subject: RE: REVISED Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors'
Sensitive PII - AUD - (523289)
Message-Id: <877D7206F41D7E4789799F1638A3FDA11D26C370@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: Trimble, Katherine
Sent: 12 Mar 2019 09:25:04 -0400
To: OIG Audits Followup
Cc: Viray, Lovella
Subject: RE: REVISED Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)

Thanks, Tammy. The edit addresses my comment. Please move it forward. If it goes to Sondra next, please let her know the only changes are in the Management Comments and OIG Analysis section. Also, please let her know that FEMA's revised management response provided more detail on the steps it has taken to protect survivors' data and pushed out its estimated completion date to June 30, which we recommend they expedite.

Katherine

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Tuesday, March 12, 2019 8:59 AM
To: Trimble, Katherine (b)(6)@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: RE: REVISED Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)

Hi Katherine,

The team has made your requested changes to the PII MA. One change on page 6 of the report requires your concurrence. If you are okay with the wording, we will delete the comment and move the report forward. Yesi will be unavailable during the day today, but will check back in at close of business to address any open items, if any.

Password to the report to follow.

Tammy

From: Trimble, Katherine (b)(6)@oig.dhs.gov>
Sent: Monday, March 11, 2019 3:55 PM
To: Viray, Lovella (b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy (b)(6)@oig.dhs.gov>; Starinsky, Yesenia (b)(6)@oig.dhs.gov>
Subject: RE: REVISED Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)

Thanks, Lovella,

Just a few comments/edits across both docs. Yesi: let me know if you want to discuss, otherwise, please make the changes and send along.

Katherine

From: Viray, Lovella <(b)(6)@oig.dhs.gov>
Sent: Monday, March 11, 2019 10:18 AM
To: Trimble, Katherine <(b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy <(b)(6)@oig.dhs.gov>; Starinsky, Yesenia <(b)(6)@oig.dhs.gov>
Subject: REVISED Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)
Importance: High

Katherine, the team has revised the Final Report and SAR to reflect the revised management comments provided by FEMA on 08MAR19, per management's discussion with FEMA officials. The team will IRR the changes while the report undergoes the review process to expedite the timeline. Thank you.

The password to the file will be sent separately.

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)981-(b)(6) phone
(202)421-(b)(6) mobile

From: McCauley, Sondra <(b)(6)@oig.dhs.gov>
Sent: Friday, March 01, 2019 9:26 AM
To: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Cc: Trimble, Katherine <(b)(6)@oig.dhs.gov>; Viray, Lovella <(b)(6)@oig.dhs.gov>; Starinsky, Yesenia <(b)(6)@oig.dhs.gov>; Smith, Andrew <(b)(6)@oig.dhs.gov>
Subject: RE: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)
Importance: High

This is fine. Please carefully clean this up today and move it forward today. I believe the IG may want this published in advance of his testimony next week.

Thanks all,
Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Friday, March 01, 2019 7:48 AM
To: McCauley, Sondra (b)(6)@oig.dhs.gov
Cc: Trimble, Katherine (b)(6)@oig.dhs.gov; Viray, Lovella (b)(6)@oig.dhs.gov
Subject: RE: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)

Good Morning Sondra,

Returned for your review is the Final FOUO alert titled ***"Management Alert - FEMA Did Not Safeguard Disaster Survivors' Sensitive Personally Identifiable Information"***. The team addressed your comments and modified the redactions based on them.

The password to the file will be sent separately.

Tammy

From: McCauley, Sondra (b)(6)@oig.dhs.gov
Sent: Wednesday, February 27, 2019 3:59 PM
To: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Cc: Trimble, Katherine (b)(6)@oig.dhs.gov; Viray, Lovella (b)(6)@oig.dhs.gov
Subject: RE: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)

I suggest you limit redactions to just the corporation name.

Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, February 27, 2019 3:19 PM
To: McCauley, Sondra (b)(6)@oig.dhs.gov
Cc: Trimble, Katherine (b)(6)@oig.dhs.gov; Viray, Lovella (b)(6)@oig.dhs.gov
Subject: Final Alert for Review: MA - FEMA Did Not Safeguard Disaster Survivors' Sensitive PII - AUD - (523289)

Hi Sondra,

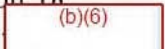
Returned for your review is the Final FOUO alert titled ***"Management Alert - FEMA Did Not Safeguard Disaster Survivors' Sensitive Personally Identifiable Information"***. The password to the file will be sent separately.

Per the team, based on discussions held between DAIGA Trimble, OC Shaw, DIG Costello, and A/IG Kelly, a decision was made to un-redact the program name, as well as other information

referring to the type of data that was not safeguarded. The revised Final Report is ready to go back up the chain for review and approval. FEMA audit liaisons have been notified verbally of the changes to the redacted information; however we will wait until the IG approves the Final Report before notifying the agency in writing.

DAIGA: Katherine Trimble
Director: Yesi Starinsky
CA: Deborah Mouton-Miller

Tammy A. Johnson

Department of Homeland Security
Office of Inspector General
Office of Audits
Washington, DC
(202) 981- (b)(6)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 7 Mar 2019 16:37:41 +0000
To: OIG Audits Followup;Johnson, Tammy
Subject: RE: Final Report for Expedited Review - ONDCP - CBP Detailed
Accounting Submission (523436) AUD (5 of 6)
Attachments: RE: Final Report for Expedited Review - ONDCP - CBP Detailed
Accounting Submission (523436) AUD (5 of 6)

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Final Report for Expedited Review - ONDCP - CBP Detailed Accounting Submission
(523436) AUD (5 of 6)
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012924D9A5@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov
To: (b)(6)@oig.dhs.gov

From: McCauley, Sondra
Sent: 7 Mar 2019 11:37:40 -0500
To: OIG Audits Followup;Johnson, Tammy
Subject: RE: Final Report for Expedited Review - ONDCP - CBP Detailed Accounting Submission (523436) AUD (5 of 6)
Attachments: FY 18 CBP -DAS Final Report HL and Memo - aig.docx, FY 18 CBP DAS Final report.pdf, FY18 CBP DAS S1_SAR - aig.docx, FY18 CBP-DAS Final Report IG Letter to ONDCPv 2.28.19 - aig.docx, FY18 CBP-DAS IG Transmittal Memov 2.22.19 - aig.docx

Here you go.

Sondra.

Tammy

From: OIG Audits Followup
Sent: Thursday, March 07, 2019 6:40 AM
To: McCauley, Sondra (b)(6) @oig.dhs.gov>
Cc: Viray, Lovella (b)(6) @oig.dhs.gov>
Subject: Final Report for Expedited Review - ONDCP - CBP Detailed Accounting Submission (523436) AUD (5 of 6)
Importance: High

Hi Sondra,

For your Expedited review, is the Final report titled **“Review of U.S. Customs and Border Protection's Fiscal Year 2018 Detailed Accounting Submission for Drug Control Funds.”** This report is usually due to ONDCP February 1st; however, ONDCP has extended the due date to 3/8/19. Thanks

DAIGA: Moe Duddy
Director: Sandra John

Tammy A. Johnson

Department of Homeland Security
Office of Inspector General
Office of Audits
Washington, DC
(202) 98 (b)(6)



DHS OIG HIGHLIGHTS

Review of U.S. Customs and Border Protection's Fiscal Year 2018 Detailed Accounting Submission for Drug Control Funds

March XX, 2019

Why We Did This Review

The Office of National Drug Control Policy's (ONDCP) Circular, *Accounting of Drug Control Funding and Performance Summary*, requires each National Drug Control Program agency to submit to the ONDCP Director a detailed accounting of all funds expended for National Drug Control Program activities during the previous fiscal year.

The Office of Inspector General (OIG) is required to conduct a review of the agency's submission and provide a conclusion about the reliability of each assertion in the report.

For Further Information:

Contact our Office of Public Affairs at (202) 981-6000, or email us at DHS-OIG.OfficePublicAffairs@oig.dhs.gov

What We Found

Williams, Adley & Company – DC, LLP (Williams Adley), under contract with the Department of Homeland Security OIG, issued an Independent Accountant's Report on U.S. Customs and Border Protection's (CBP) Detailed Accounting Submission. CBP's management prepared the Table of FY 2018 Drug Control Obligations and related disclosures in accordance with ~~the~~ requirements of ONDCP Circular, *Accounting of Drug Control Funding and Performance Summary*, dated May 8, 2018 (the Circular).

CBP's management was unable to provide supporting documentation for the drug control methodology used ~~for to~~ estimateing the percentages of obligations allocated between interdiction and intelligence. These percentages are used to derive the dollar-value of obligations reported as Drug Resources by Budget Decision Unit and Drug Control Function in the Table of FY 2018 Drug Control Obligations presented in ~~the~~ CBP's Detailed Accounting Submission. As a result, Williams Adley was unable to complete review procedures related-needed to assessing the reasonableness and accuracy of the methodologies used.

Except as noted above, nothing came to Williams Adley's attention that caused it to believe that the FY 2018 Detailed Accounting Submission is not presented in conformity with the criteria in the Circular.



OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

MEMORANDUM FOR: Henry A. Moak, Jr.
Chief Accountability Officer
U.S. Customs and Border Protection

FROM: Sondra F. McCauley
Assistant Inspector General for Audits

SUBJECT: *Review of U.S. Customs and Border Protection's Fiscal Year 2018
Detailed Accounting Submission for Drug Control Funds*

Attached for your information is our final report, *Review of U.S. Customs and Border Protection's Fiscal Year 2018 Detailed Accounting Submission for Drug Control Funds*. U.S. Customs and Border Protection's (CBP) management prepared the Table of FY 2018 Drug Control Obligations and related disclosures to comply with the requirements of the Office of National Drug Control Policy's Circular, *Accounting of Drug Control Funding and Performance Summary*, dated May 8, 2018.

We contracted with the independent public accounting firm Williams, Adley & Company – DC, LLP (Williams Adley) to review CBP's Detailed Accounting Submission. Williams Adley is responsible for the attached Independent Accountant's Report, dated February 14, 2019, and the conclusions expressed in it. Williams Adley's report contains no recommendations.

Consistent with our responsibility under the *Inspector General Act*, we will provide copies of our report to congressional committees with oversight and appropriation responsibility over the Department of Homeland Security. We will post the report on our website for public dissemination.

Please call me with any questions at (202) 981-~~6100~~6000, or your staff may contact Maureen Duddy, Deputy Assistant Inspector General for Audits, at (617) 565-(b)(6)

Attachment



OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

Appendix A
Report Distribution

Department of Homeland Security

Secretary
Deputy Secretary
Chief of Staff
General Counsel
Executive Secretary
Director, GAO/OIG Liaison Office
Assistant Secretary for Office of Policy
Assistant Secretary for Office of Public Affairs
Assistant Secretary for Office of Legislative Affairs

U.S. Customs and Border Protection

Commissioner
Chief Financial Officer
Audit Liaison

Office of Management and Budget

Chief, Homeland Security Branch
DHS OIG Budget Examiner

Congress

Congressional Oversight and Appropriations Committees

Office of National Drug Control Policy

Associate Director for Management and Administration



Independent Accountant's Report

Acting Inspector General
United States Department of Homeland Security

We have reviewed management's assertions related to the Detailed Accounting Submission (DAS) of the U.S. Department of Homeland Security's (DHS) Customs and Border Protection (CBP) for the year ended September 30, 2018. CBP management is responsible for the preparation of the DAS in conformity with the requirements of the Office of National Drug Control Policy Circular: *Accounting of Drug Control Funding and Performance Summary*, dated May 8, 2018 (the Circular). Our responsibility is to express a conclusion about management's assertions.

Our review was conducted in accordance with generally accepted government auditing standards, which incorporate the attestation standards established by the American Institute of Certified Public Accountants. Those standards require that we plan and perform the review to obtain limited assurance about whether any material modifications should be made to the DAS or DAS assertions in order for them to be in accordance with the Circular. A review is substantially less in scope than an examination, the objective of which is to obtain reasonable assurance about whether CBP's DAS and DAS assertions are in accordance with the Circular, in all material respects, in order to express an opinion. Accordingly, we do not express such an opinion. We believe that our review provides a reasonable basis for our conclusion.

ONDCP Circular: Budget Formulation requires CBP to utilize a drug control methodology for presenting their National Drug Control Budget by drug control functions. This Circular also states that the methodology must provide a reasonable basis for consistent estimation. Based on our testing, we noted that CBP Management was unable to provide supporting documentation for the drug control methodology used for estimating the percentages of obligations allocated between interdiction and intelligence. These percentages are used to derive the dollar-value of obligations reported as Drug Resources by Budget Decision Unit and Drug Control Function in the Table of FY 2018 Drug Control Obligations presented in the DAS. As a result, we were not able to assess the reasonableness and accuracy of the methodologies used.

Based on our review, except for the effects of the matter described above, we are not aware of any material modifications that should be made to the DAS or the DAS assertions for the year ended September 30, 2018, in order for them to be in conformity with the requirements set forth in the Circular.

This report is intended solely for the information and use of CBP and the Office of National Drug Control Policy, and is not intended to be, and should not be, used by anyone other than the specified parties.

Williams, Adley & Company, DC, LLP

Washington, D.C.

February 14, 2019

Requester's Name: Andrew Free

FOIA/PA NO.: 2019-IGFO-002096

8 PAGE(S)

are referred to CBP for processing
and direct response to the requester.

Final Report Title: *Review of U.S. Customs and Border Protection's Fiscal Year 2018 Detailed Accounting Submission for Drug Control Funds*

PTS Workflow 523436

S1/SAR Summary

Williams, Adley & Company – DC, LLP (Williams Adley), under contract with the DHS Office of Inspector General, issued an Independent Accountant's Report on U.S. Customs and Border Protection's (CBP) fiscal year 2018 Detailed Accounting Submission for drug control funds. CBP's management prepared the Table of FY 2018 Drug Control Obligations and related disclosures in accordance with ~~the~~ requirements of the Office of National Drug Control Policy's Circular, *Accounting of Drug Control Funding and Performance Summary*, dated May 8, 2018 (the Circular).

CBP's management was unable to provide supporting documentation for the drug control methodology used ~~for to~~ estimateing the percentages of obligations allocated between interdiction and intelligence. These percentages are used to derive the dollar-value of obligations reported as Drug Resources by Budget Decision Unit and Drug Control Function in the Table of FY 2018 Drug Control Obligations presented in CBP's Detailed Accounting Submission. As a result, Williams Adley was unable to complete review procedures ~~related-needed~~ to assessing the reasonableness and accuracy of the methodologies used.

Except as noted above, nothing came to William Adley's attention that caused them to believe that CBP's FY 2018 Detailed Accounting Submission is not presented in conformity with the criteria set forth in the Circular.

(OIG-19-XX, March 2019)



OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

The Honorable James Carroll
Director
Office of National Drug Control Policy
750 17th Street, NW
Washington, DC 20503

Dear Mr. Carroll:

The enclosed report presents the results of our independent review of the U.S. Customs and Border Protection's (CBP) fiscal year 2018 Detailed Accounting Submission for drug control funds.

The Office of National Drug Control Policy's Circular, *Accounting of Drug Control Funding and Performance Summary*, dated May 8, 2018, requires the Office of Inspector General to express a conclusion about the reliability of each assertion made in CBP's Detailed Accounting Submission. We contracted with an independent public accounting firm to conduct the review of CBP's report as an attestation engagement consistent with ~~the~~ attestation standards established by the American Institute of Certified Public Accountants and ~~the~~ standards applicable to attestation engagements contained in the *Government Auditing Standards* issued by the Comptroller General of the United States.

Please call me with any questions, or your staff may contact
Sondra F. McCauley, Assistant Inspector General for Audits, at
(202) 981-(b)(6)

Sincerely,

John V. Kelly
Acting Inspector General

Enclosure



OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

MEMORANDUM FOR: The Honorable Kevin K. McAleenan
Commissioner
U.S. Customs and Border Protection

FROM: John V. Kelly
Acting Inspector General

SUBJECT: *Review of U.S. Customs and Border Protection's Fiscal Year 2018
Detailed Accounting Submission for Drug Control Funds*

Attached for your information is our final report, *Review of U.S. Customs and Border Protection's Fiscal Year 2018 Detailed Accounting Submission for Drug Control Funds*. This report contains no recommendations.

Consistent with our responsibility under the *Inspector General Act*, we will provide copies of our report to congressional committees with oversight and appropriation responsibility over the Department of Homeland Security. We will post the report on our website for public dissemination.

Please call me with any questions, or your staff may contact
Sondra F. McCauley, Assistant Inspector General for Audits, at
(202) 981-(b)(6)

Attachment

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 7 Mar 2019 16:51:20 +0000
To: OIG Audits Followup
Cc: Viray, Lovella
Subject: RE: Draft Report For Review - AUD - (523136) Holland Tunnel
Attachments: RE: Draft Report For Review - AUD - (523136) Holland Tunnel

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Draft Report For Review - AUD - (523136) Holland Tunnel
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012924D9C7@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: McCauley, Sondra
Sent: 7 Mar 2019 11:51:18 -0500
To: OIG Audits Followup
Cc: Viray, Lovella
Subject: RE: Draft Report For Review - AUD - (523136) Holland Tunnel
Attachments: Holland Tunnel Draft Report - AIG re-review 3-7-2019.docx

Done. I don't want to see this again.

Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, March 06, 2019 11:25 AM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: RE: Draft Report For Review - AUD - (523136) Holland Tunnel

Hi Sondra,

Returned for your review, is the Holland Tunnel Draft report. The team accepted your additional edits from 2/28/19. Per the team, all comments were addressed, except those on page 6 regarding presentation of the reference - the team will defer to OC regarding this. In Moe's absence Sandra has reviewed the report and approved the changes for your review.

Tammy

From: McCauley, Sondra (b)(6)@oig.dhs.gov>
Sent: Thursday, February 28, 2019 3:51 PM
To: Duddy, Maureen (b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy (b)(6)@oig.dhs.gov>; Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: RE: Draft Report For Review - AUD - (523136) Holland Tunnel

Here are my edits—minor stuff.

Thanks,
Sondra

From: Viray, Lovella (b)(6)@oig.dhs.gov>
Sent: Tuesday, February 26, 2019 1:41 PM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>; Johnson, Tammy (b)(6)@oig.dhs.gov>
Subject: RE: Draft Report For Review - AUD - (523136) Holland Tunnel

Sondra, the team addressed your comments to the Holland Tunnel draft report. Per Moe "The report was reorganized into 2 areas, review of cost estimates & lack of doc, as a result, it would be too much to highlight all changes. We accepted AIG's edits also." Kevin reviewed and approved the report. Thanks

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)98 (b)(6) phone
(202)42 (b)(6) mobile

From: McCauley, Sondra <(b)(6)@oig.dhs.gov>
Sent: Friday, February 01, 2019 2:32 PM
To: Johnson, Tammy <(b)(6)@oig.dhs.gov>; Viray, Lovella <(b)(6)@oig.dhs.gov>;
Duddy, Maureen <(b)(6)@oig.dhs.gov>
Cc: Dolloson, Kevin <(b)(6)@oig.dhs.gov>; Herberger, Kelly <(b)(6)@oig.dhs.gov>
Subject: FW: Draft Report For Review - AUD - (523136) Holland Tunnel
Importance: High

Here is my review of the Holland Tunnel report. The delineation between condition and cause is not clear/consistent and the discussion in the report body is in confusing. This report needs a lot of work—please work together to refine. I will review the S1 when the report is cleaned up.

Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Friday, December 07, 2018 2:41 PM
To: McCauley, Sondra <(b)(6)@oig.dhs.gov>
Cc: Viray, Lovella <(b)(6)@oig.dhs.gov>
Subject: Draft Report For Review - AUD - (523136) Holland Tunnel

Hi Sondra,

For your review, is the Draft report titled *"FEMA Over-obligated \$117 Million in Public Assistance Funds Awarded to the Port Authority of New York and New Jersey."* Moe and Kevin have completed their review of the report.

DAIGA: Moe Duddy
Director: William Johnson
CA: Kevin Dolloson

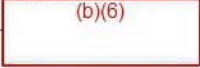
Tammy A. Johnson

Department of Homeland Security

Office of Inspector General

Office of Audits

Washington, DC

(202) 981-

(b)(6)



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DHS OIG HIGHLIGHTS

FEMA Over-obligated \$117 Million in Public Assistance Funds Awarded to the Port Authority of New York and New Jersey

March XX, 2019

Why We Did This Audit

We conducted this audit to determine to what extent FEMA properly reviewed the Port Authority of New York and New Jersey's request for Public Assistance grant funds for damages the Holland Tunnel sustained from Hurricane Sandy.

The New York Division of Homeland Security and Emergency Services, a FEMA Grantee, awarded \$306 million in Public Assistance funds to the Port Authority for Holland Tunnel repairs.

What We Recommend

We recommend that FEMA de-obligate \$117 million of ineligible costs, along with two other recommendations that, when implemented, will improve FEMA's review and obligation of Public Assistance grant funds.

For Further Information:

Contact our Office of Public Affairs at (202) 981-6000, or email us at DHS-OIG.OfficePublicAffairs@oig.dhs.gov

What We Found

The Federal Emergency Management Agency (FEMA) did not properly review the Port of Authority of New York and New Jersey's (Port Authority) request for Public Assistance funds for damages the Holland Tunnel sustained from Hurricane Sandy. FEMA did not follow Federal regulations or its own guidelines for reviewing cost estimates and documenting its determinations. FEMA Region II personnel could not provide documentation to justify changes made to the cost estimates or prove they reviewed the cost estimates adequately, resulting in a lack of assurance that the costs obligated are accurate and reasonable.

This occurred because FEMA Region II personnel conveyed that the accuracy of estimates was not important since actual costs are determined at project completion, even though this project could take many years to complete. Additionally, FEMA Region II Recovery branch management did not ensure that personnel adhered to policies and procedures required for adequate review of costs.

As a result, FEMA lacks assurance that the costs obligated are accurate and reasonable. FEMA should deobligate \$117 million of ineligible costs, and implement procedures to ensure its personnel follow Federal regulations and FEMA guidelines when reviewing Public Assistance grant requests. FEMA should also implement training to emphasize the importance of proper and appropriate obligation of Public Assistance funds.

FEMA Response

To be added in Appendix B.

Comment [MS]: Trying to avoid duplicate use of the word "because" in this sentence.

Comment [HK]: understood

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OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

MEMORANDUM FOR: Thomas Von Essen
Regional Administrator, Region II
Federal Emergency Management Agency

FROM: Sondra F. McCauley
Assistant Inspector General for Audits

SUBJECT: *FEMA Over-obligated \$117 Million in Public Assistance Funds
Awarded to the Port Authority of New York and New Jersey – For
Official Use Only*

For your action is our draft report, *FEMA Over-obligated \$117 Million in Public Assistance Funds Awarded to the Port Authority of New York and New Jersey – For Official Use Only*. The report identifies action FEMA can take to enhance the program's overall effectiveness.

Please provide formal comments on the draft report. Include specific responses to each recommendation, indicating your agreement or disagreement. As prescribed by *Department of Homeland Security Directive 077-01, Follow-Up, and Resolution for Office of Inspector General Report Recommendations*, we must receive your comments within 30 days to include them in the final report.

Please advise us, under separate cover, of any concerns you have about publicly releasing any information contained in the report. Clearly identify the information you wish us to redact, and the justification for withholding the information from the public. Additionally, the FEMA Administrator must approve all redactions.

Please email a signed PDF copy of your comments OIGAuditsFollowup@oig.dhs.gov. We will post the final report on our website, including your formal comments as an appendix to the report.

Please call me with any questions, or your staff may contact Maureen Duddy, Deputy Assistant Inspector General for Audits, at (617) 565-8723.

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OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

Background

The Port Authority of New York and New Jersey (Port Authority) operates the Holland Tunnel (Tunnel). The Port Authority is a bi-state agency created in 1921 through a congressionally approved agreement between the States of New York and New Jersey. The Port Authority promotes and protects commerce in the port district and undertakes port and regional improvements not likely to be attempted by either state alone.

The Tunnel opened to traffic on November 13, 1927, and is a twin-tube tunnel under the Hudson River connecting New York and New Jersey. The Tunnel is approximately 8,500 feet long, sits 93 feet under the river at its deepest point, and contains more than 6 million tiles (wall and ceiling). The Tunnel consists of two adjacent tunnels (north and south), four traffic lanes, one administration building, fourteen pump rooms, and four ventilation buildings. The number of vehicles that used the Tunnel in 2017 totaled 14.9 million.

Figure 1: Holland Tunnel Entrance



Source: Port Authority of New York and New Jersey

According to FEMA officials, during Hurricane Sandy, 45 percent of the Tunnel and its support facilities were severely flooded with an estimated 30 million gallons of brackish water,¹ sewerage, and industrial contaminants. The north and south tunnels were inundated by 4,200 feet and 3,400 feet of seawater, respectively, which entered through the New Jersey roadway portals and the exhaust air-duct system. Both tunnels also experienced 7-9 feet of seawater storm surge above the roadway. The floodwaters remained for up to 10 days after the hurricane, from October 27, 2012 through November 9, 2012, causing damage to structural elements and critical mechanical and electrical systems. The President declared Hurricane Sandy a major disaster (DR 4085) on October 30, 2012. Through an agreement between the two states, the State of New Jersey deferred the responsibility for repairing the Tunnel to the State of New York.

¹ Brackish water is a mixture of salt and fresh water. It does not contain the minimum salinity level to be salt water.
www.oig.dhs.gov



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OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

Figure 2: Interior of the Holland Tunnel



**Figure 3: New Jersey
North Tube**



**Figure 4: New Jersey Land
Ventilation Building**



Source: Port Authority of New York and New Jersey (Figures 2, 3, and 4)

FEMA captured the permanent repair work for the Holland Tunnel in Project Worksheet (PW) 4594. As of November 2017, PW 4594 had four versions, numbered 0 through 3, for which FEMA had obligated \$306 million to the Port Authority.

Table 1: PW 4594 - Holland Tunnel Permanent Repairs

PW 4594 Versions *	Funding Requested by Port Authority	Gross Amount Approved by FEMA	Insurance Reduction	Total Amount Obligated by PW Version
www.oig.dhs.gov		3		OIG-19-XXX

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OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

0	\$ 52,227,473	\$ 52,227,473	\$14,435,640	\$ 37,791,833
1	255,141,157	255,141,157	-	255,141,157
2	-	-	30,841,198	(30,841,198)
3	101,935,985	43,937,011	343,161	43,593,850
Totals	\$ 409,304,615	\$ 351,305,641	\$ 45,619,999	\$ 305,685,642

Source: Port Authority and OIG analysis

* Versions stand alone for separate project sites. New versions of the worksheet do not replace previous versions, but modify costs to the running total.

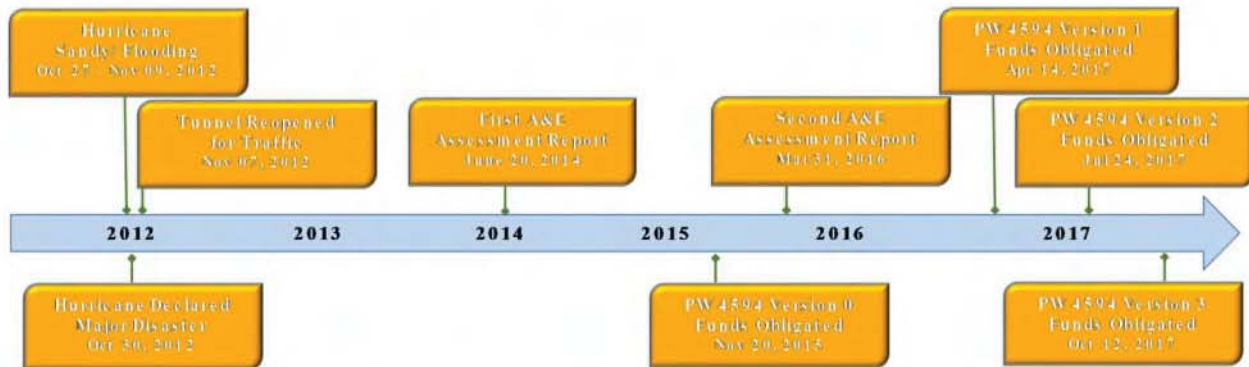
The Port Authority initially proposed 22 sites in PW Version 0 and then consolidated down to 15 sites in subsequent versions. Version 0 includes initial costs for all of the 22 proposed sites, and Versions 1 and 3 are stand-alone submissions for separate sites identifying additional damages. Version 2 shows PW costs reduced by insurance funds received.

The Port Authority contracted with two separate Architectural & Engineering (A&E) firms that provided assessment reports used as the basis for cost estimates in PW 4594. The first assessment report, issued in December 2014, was the basis for Version 0 costs. The second assessment report, issued in March 2016, was the basis costs of Versions 1 and 3 and included a more in-depth assessment of latent storm-related damages. Port Authority officials said that the significant increase in requested funding from Version 0 to Version 1 (table 1) was mainly due to items identified in the second A&E assessment, such as replacement of critical infrastructures and hazard mitigation. Following is the timeline of events:



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Figure 5: Holland Tunnel Timeline



Source: The Port Authority of New York and New Jersey and OIG Analysis

FEMA Did Not Properly Review the Port Authority's Request for Public Assistance Funds

FEMA did not follow Federal regulations or its own guidelines for reviewing cost estimates and documenting its determinations. FEMA Region II personnel could not provide documentation to justify changes made to the cost estimates or prove they reviewed the cost estimates adequately, resulting in a lack of assurance that the costs obligated are accurate and reasonable. This occurred because FEMA Region II personnel conveyed that the accuracy of estimates was not important since actual costs are determined at project completion, even though this project could take many years to complete. Additionally, FEMA Region II Recovery branch management did not ensure that personnel adhered to policies and procedures required for adequate review of costs. To the extent that FEMA does not properly review the requests for Public Assistance, it may approve PWs containing unnecessary and ineligible costs. We identified a number of issues in FEMA's review process that may be systemic and affect other Public Assistance requests.

Inadequate Review of Cost Estimates

FEMA is responsible for evaluating cost estimates for accuracy, validity, reasonableness, and scope of work eligibility. However, FEMA personnel did not adhere to policies and procedures required to ensure adequate review of the PW costs. According to the Cost Estimating Format (CEF)² for Large Projects Instructional Guide V 2.1, the Public Assistance Group Supervisor or a designee is responsible for checking the appropriateness of individual cost factors and ranges, and for application of these factors. The Public Assistance Group Supervisor or designee is also responsible for justifying in writing any warranted changes to the cost factors.

FEMA took various actions based on its reviews of different versions of the worksheet. FEMA's review of Versions 0 and 1 resulted in no changes to the Port Authority's scope of work or costs

² Cost Estimate Format is a Microsoft Excel-based template that provides uniformity in estimating costs for large projects.
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proposed. FEMA's review of Version 3 resulted in changes to the scope of work along with more than half of the proposed costs (\$58 million) removed or reduced from this version. The reduction in costs for Version 3 pertained to instances where FEMA deemed costs ineligible, or chose to repair instead of replace items.

The different results from the reviews of the PW versions demonstrate inconsistency in FEMA's process. Although FEMA determined that some PW costs were ineligible (contingency cost, finance costs, and cost for extra work) in Version 3, FEMA did not revise ineligible costs in previous versions that included the same type of costs for different sites. FEMA Region II personnel said that they do not revise previous versions of project worksheets because they are estimates of costs, and to revise them would be an administrative burden. FEMA Region II personnel also said, "The Port Authority has a history of doing this type of work, knows what it costs to repair the Tunnel, and the cost is going to be what it is going to be." Not revising the PW for ineligible costs resulted in an over-obligation of \$117 million in Public Assistance grant funds. Principles of Appropriations Law³ require the de-obligation of ineligible costs in a timely and consistent manner.

Lack of Documentation for Changes and Reviews of Cost Estimates

FEMA did not follow its own policies and procedures pertaining to documentation of the decisions made during the review process. FEMA officials changed the cost estimate factors, but did not have adequate documentation justifying a change for Version 3. They also lacked documentation of their reviews of cost estimate reasonableness for Versions 0, 1, and 3. FEMA included ineligible costs in Versions 0 and 1 of the PW, but removed these same costs from Version 3, with no justification supporting the removal.

Additionally, for estimates based on A&E reports, the CEF Guide has specific guidance on reviewing costs for reasonableness, as shown in Figure 6. FEMA officials did not provide sufficient documentation to demonstrate that they performed these checks for reasonableness.

Comment [MS]: Reference below is not properly presented, but Counsel should be able to assist with that.

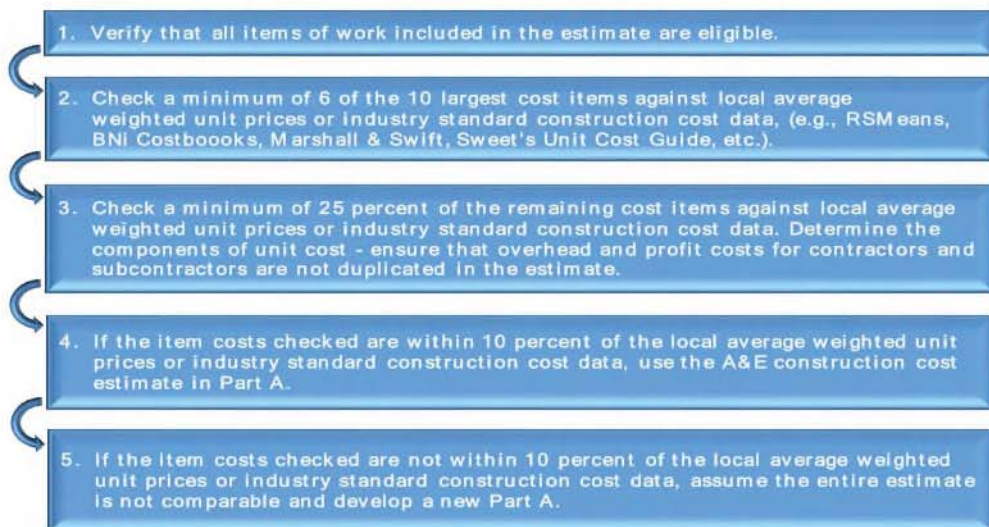
Comment [HK]: We are unsure how to correct so will defer to OC

³ U.S. Government Accountability Office (GAO), Principles of Appropriations Law, 3rd ed., vol. II, ch.7, & B, GAO-06-382SP (Washington D.C.: Feb. 2006) (Criteria for recording Obligations) (32 USC 1501)
www.oig.dhs.gov



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Figure 6: Steps to Check for Reasonableness



Source: CEF for Large Projects Instructional Guide V 2.1, dated September 2009

FEMA's documentation of its review of Version 0 (initial costs) and Version 1 (additional site damage) was limited to email correspondence. This email correspondence did not include a description of the work FEMA performed as part of its review, or any changes to the Port Authority's scope of work or costs proposed for Versions 0 and 1. FEMA Region II personnel said, "It is standard practice when reviewing a construction project not to document the review." FEMA's documentation of its review of Version 3 (additional site damage) also consisted of emails, but again contained no details on the steps FEMA took as part of its review of the PW. As previously stated, the reduction in costs for Version 3 pertained to instances in which FEMA deemed costs ineligible and other instances where FEMA chose to repair instead of replace items.

FEMA Recovery Directorate Manual — Public Assistance Program Appeal Procedures, Version 2 requires FEMA to issue a Determination Memorandum when some portion of the damage, work, or cost requested by the subrecipient is ineligible. The purpose of the Public Assistance Determination Memorandum, which must be included in EMMIE,⁴ is to document FEMA's reason for the determination. As described in the Inadequate Cost Estimates section previously indicated, FEMA determined some PW costs were ineligible; however, they but did not produce a Determination Memorandum documenting this decision. [As of May 30, 2018, FEMA did not have any Determination Memorandums for PW 4594.]

⁴ EMMIE is the FEMA Emergency Management Mission Integrated Environment that manages Public Assistance grants in an electronic environment.
www.oig.dhs.gov

Comment [MS]: Are you trying to say they have no DMs in EMMIE although we/they identified some costs as ineligible when reviewing various versions of the worksheet? Please clarify.

Comment [HK]: We added a sentence for further explanation

SFMCC: Okay, see additional edits



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In addition, FEMA Region II could not provide documentation as to why it failed to follow the Strategic Funds Management — Implementation Procedures for the Public Assistance Program⁵ (SFM) for this disaster. FEMA implemented this policy specifically for large permanent work projects that will not require funds for more than 180 days after the scope of work is determined to be eligible. SFM policy indicates that as appropriate and practicable, all FEMA personnel shall follow the SFM process to obligate Public Assistance project funding. The Port Authority plans to start permanent repair projects for the Holland Tunnel in midyear 2019. However, FEMA did not provide evidence that it followed its SFM policy for this disaster. FEMA therefore does not have assurance that funds were obligated for Holland Tunnel repair in a timely manner. Following the SFM policy would allow FEMA to rapidly review projects to identify disaster-related damage. Upon identification of qualifying projects, FEMA should approve the PW and obligate funding consistent with the Disaster Relief Fund appropriation process and with the subgrantee's readiness to carry out the project in accordance with the project schedule.

Conclusion

FEMA disregarded its own policies and procedures when reviewing the Port Authority's request for Public Assistance funds. We found limited documentation of FEMA's review of the engineering assessments, insufficient documentation explaining the reduction of funding in project worksheet costs, and no evidence that FEMA reviewed the cost factors for reasonableness. As a result, FEMA lacks assurance that the costs obligated are reasonable. This occurred because FEMA Region II personnel conveyed that the accuracy of estimates was not important since actual costs are determined at project completion, even though this project could take many years to complete. Additionally, FEMA Region II Recovery branch management did not ensure that personnel adhered to policies and procedures required for adequate review of costs. FEMA's insufficient review resulted in an over-obligation of \$117 million in Public Assistance funding for damages the Holland Tunnel sustained because of Hurricane Sandy (appendix, table 2).

Recommendations

Recommendation 1: We recommend the Regional Administrator, FEMA Region II de-obligate \$117,251,290 in ineligible costs from Holland Tunnel PW 4594.

Recommendation 2: We recommend the Regional Administrator, FEMA Region II implement controls and procedures to ensure personnel consistently perform grant reviews according to established FEMA guidelines and Federal regulations, and document the relevant discussions, decisions, and results of the reviews performed.

Recommendation 3: We recommend the Regional Administrator, FEMA Region II implement training for personnel regarding the importance of proper and appropriate obligation of Public Assistance funds.

⁵ FEMA Recovery Standard Operating Procedure, SOP 9570.24 – Strategic Funds Management – Implementation Procedures, December 21, 2012
www.oig.dhs.gov

Comment [MS]: And priorities for repair work? Is that the reason for rapid review? So state,

Comment [HK]: In the SFM policy these two sentences are only one sentence. The CA felt the sentence was too long so we separated into two. The second sentence contains the reason for the rapid review to obligate funding consistent with the DRF appropriation process.

SFMCC: That's fine.



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Management Comments and OIG Analysis

To be added once we receive.

DRAFT



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Appendix A

Objective, Scope, and Methodology

The Department of Homeland Security, Office of Inspector General was established by the *Homeland Security Act of 2002* (Public Law 107-296) by amendment to the *Inspector General Act of 1978*.

We performed this audit to determine to what extent FEMA properly approved the Port Authority of New York and New Jersey's requests for FEMA Public Assistance grant funds for damages the Holland Tunnel sustained because of Hurricane Sandy. The scope of our audit was Project Worksheet 4594, the permanent repair work for the Holland Tunnel. At the time of our audit, there were four Versions of the PW — 0 through 3. We neither placed any significant reliance on nor tested the data from the system, but deemed it sufficient to meet our audit objective.

To achieve our objective, we reviewed Versions 0, 1, and 3. We did not review Version 2, as it was an insurance reduction only. We evaluated the documentation FEMA provided to demonstrate its review of the Holland Tunnel PW versions. We performed analytical procedures in the form of recalculations and comparative analysis and identified several errors in the cost estimates, such as incorrect cost factors and formula errors in the calculations of indirect costs.

The Port Authority is responsible for the Tunnel, under the jurisdiction of FEMA; therefore, we interviewed FEMA personnel who worked on the Holland Tunnel project to gain an understanding of FEMA's policies, procedures, and processes for reviewing, documenting, and determining eligibility. Additionally, we interviewed State of New York and Port Authority personnel to evaluate the damage assessment process and other supporting documentation.

We reviewed Federal and FEMA guidance to gain an understanding of the review and approval process for the Public Assistance program. We also researched Federal regulations and the Government Accountability Office's Principles of Appropriations Law for criteria for recording obligations.



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We reviewed the Port Authority's Holland Tunnel maintenance logs for the periods 2003 through 2017. We also reviewed its 2007 through 2015 biennial Facility Condition Surveys to determine the pre-disaster and post-disaster condition, and recommended repairs of the Holland Tunnel. The Port Authority's pre-disaster condition of the Holland Tunnel, as cited in the September 2011 biennial Facility Condition Survey, indicated that the tunnels were in good condition, except for the Low Point Sump Pump Room, which was in fair condition. The post-disaster condition for the Holland Tunnel, as cited in the December 2013 Facility Condition Survey, indicated that the tunnels and chambers were in good condition except for the Low Point Sump Room, which was in fair condition.

We conducted this performance audit between December 2017 and August 2018 pursuant to the *Inspector General Act of 1978*, as amended, and according to generally accepted government auditing standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based upon our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based upon our audit objectives.

The Office of Audits contributors to this report are William Johnson, Director; Kathy Hughes, Audit Manager; William Lough, Auditor-In-Charge; Nicole Kraft, Auditor; Sharon Snedeker, Auditor; Dwight McClendon, Auditor; Kevin Dolloson, Communications Analyst; and XX, Independent Referencer.



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Appendix B
FEMA Response

To be added.

DRAFT



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Appendix C
Potential Monetary Benefits

Table 2: Versions Audited and Over Obligated Funds

PW 4594 Version	Description	Amount
0	Associated costs not removed, when ineligible sites were removed from the project	\$ 6,257,723
1	Ineligible Construction Cost	55,924,802
1	Ineligible Planning & Engineering Cost	5,012,250
1	Miscalculated Allocated Pools	1,049,748
1	Ineligible Project Contingency	4,667,392
1	Ineligible Finance Expense	44,266,392
3	Calculation Error	72,983
Total		<u>\$ 117,251,290</u>

Source: OIG analysis of Cost Estimates for PW 4594



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Appendix D

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Administrator
Chief of Staff
Chief Financial Officer
Chief Counsel
Chief Procurement Officer
Director, Risk Management and Compliance
Audit Liaison, FEMA
FEMA Audit Liaison, FEMA (Job Code 17-103-EMO-FEMA)

Office of Management and Budget

Chief, Homeland Security Branch
DHS OIG Budget Examiner

Congress

Congressional Oversight and Appropriations Committees

External

New York State Division of Homeland Security and Emergency Services
The Port Authority of New York and New Jersey

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Johnson, Sharon
Sent: 12 Mar 2019 13:41:32 +0000
To: OIG Audits Followup
Subject: Message Recall Success: Status of Recommendations for OIG Report:
OIG-12-26 (SSI)
Attachments: Message Recall Success: Status of Recommendations for OIG Report:
OIG-12-26 (SSI)

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on behalf of Dolloson, Kevin
Sent: 4 Mar 2019 19:43:45 +0000
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Attachments: Automatic reply: Monthly CA meeting

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To: OIGAuditsFollowup@oig.dhs.gov

□

From: Dolloson, Kevin
Sent: 4 Mar 2019 14:43:45 -0500
To: OIG Audits Followup
Subject: Automatic reply: Monthly CA meeting

I will be out of the office March 1 & 4, 2019 (RDO & BIRTHday). I will return to my normal telework schedule March 5, 2019.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Conf Rainier (5061)
Sent: 4 Mar 2019 19:43:46 +0000
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From: Conf Rainier (5061)
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To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting

Your request was accepted.

Sent by Microsoft Exchange Server 2010

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of DeMarines, Jane
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on behalf of Bumgardner, Donald
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on behalf of Weaver, Michelle
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on behalf of McCauley, Sondra
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on behalf of McSweeney, Ellen
Sent: 13 Mar 2019 00:15:26 +0000
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on behalf of Stewart, Alexander
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on behalf of Donahue, Kevin
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Sent: 5 Mar 2019 07:29:29 -0500
To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting

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on behalf of Duddy, Maureen
Sent: 13 Mar 2019 10:22:57 +0000
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From: Duddy, Maureen
Sent: 13 Mar 2019 06:22:57 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for NFIP Severe Repetitive Loss Audit

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 13 Mar 2019 12:31:53 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for NFIP Severe Repetitive Loss Audit
Attachments: Accepted: Message Meeting for NFIP Severe Repetitive Loss Audit

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for NFIP Severe Repetitive Loss Audit
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF01292509D9@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 13 Mar 2019 08:31:52 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for NFIP Severe Repetitive Loss Audit

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Houston, Devon
Sent: 13 Mar 2019 11:04:13 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for NFIP Severe Repetitive Loss Audit
Attachments: Accepted: Message Meeting for NFIP Severe Repetitive Loss Audit

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for NFIP Severe Repetitive Loss Audit
Message-Id: <C3B7860FF91269478CD4D637D9096A5E01474CA134@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Houston, Devon
Sent: 13 Mar 2019 07:04:12 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for NFIP Severe Repetitive Loss Audit

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Atkinson, Loretta
Sent: 13 Mar 2019 13:28:42 +0000
To: OIG Audits Followup
Subject: Meeting Forward Notification: Message meeting Unity of Effort/Drug
Technology - (18-074-AUD-DHS(a))
Attachments: Meeting Forward Notification: Message meeting Unity of Effort/Drug
Technology - (18-074-AUD-DHS(a))

Sender: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
On-Behalf-Of: (b)(6)@oig.dhs.gov
Subject: Meeting Forward Notification: Message meeting Unity of Effort/Drug Technology - (18-074-AUD-DHS(a))
Message-Id: <0B8C24E135A7EF40A4185740D075F064A2DC4113@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Atkinson, Loretta
Sent: 13 Mar 2019 09:28:42 -0400
To: OIG Audits Followup
Subject: Meeting Forward Notification: Message meeting Unity of Effort/Drug Technology - (18-074-AUD-DHS(a))

Your meeting was forwarded

[Atkinson, Loretta](#) has forwarded your meeting request to additional recipients.

Meeting

Message meeting Unity of Effort/Drug Technology - (18-074-AUD-DHS(a))

Meeting Time

Wednesday, 20 March 2019 10:00-11:00.

Recipients

[OIG Helpdesk](#)

All times listed are in the following time zone: (UTC-05:00) Eastern Time (US & Canada)

Sent by Microsoft Exchange Server 2010

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Johnson, Tammy
Sent: 13 Mar 2019 13:54:46 +0000
To: OIG Audits Followup
Subject: Automatic reply: Notification Memo and Matrix
Attachments: Automatic reply: Notification Memo and Matrix

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Notification Memo and Matrix
Message-Id: <ea80d7a5831640799cf0f1632e09f844@D2ASEVPEMHU03.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Johnson, Tammy
Sent: 13 Mar 2019 09:54:46 -0400
To: OIG Audits Followup
Subject: Automatic reply: Notification Memo and Matrix

I am currently out of the office attending training, but will be returning Monday, 18 March 2019.
For immediate assistance please contact Lovella Viray.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of (b)(6); (b)(7)(C)

Sent: 5 Mar 2019 15:36:52 +0000

To: Salmon, Thomas;Garvin, Angela;OIG Audits Followup

Cc: Crumacker, Jim;Sepehri, Reza;GAO-OIG Liaison (b)(6); (b)(7)(C)

(b)(6); (b)(7)(C)

Subject: 90-Day Status Update "Oversight Review of the Department of Homeland Security Immigration and Customs Enforcement, Office of Professional Responsibility, Investigations Division" (OIG-19-14)

Attachments: 90-Day Status Update "Oversight Review of the Department of Homeland Security Immigration and Customs Enforcement, Office of Professional Responsibility, Investigations Division" (OIG-19-14)

Sender: (b)(6); (b)(7)(C)@ice.dhs.gov

Subject: 90-Day Status Update "Oversight Review of the Department of Homeland Security Immigration and Customs Enforcement, Office of Professional Responsibility, Investigations Division" (OIG-19-14)

Message-Id:

<BN7PR09MB272440BFD381E6D09A38A61A80720@BN7PR09MB2724.namprd09.prod.outlook.com>

Recipient: (b)(6)@oig.dhs.gov

Recipient: (b)(6)@oig.dhs.gov

Recipient: OIGAuditsFollowup@oig.dhs.gov

From:

(b)(6); (b)(7)(C)

Sent:

5 Mar 2019 15:30:10 +0000

To:

Salmon, Thomas;Garvin, Angela;OIG Audits Followup

Cc:

Crumpacker, Jim;Sepehri, Reza;GAO-OIG

(b)(6); (b)(7)(C)

(b)(6); (b)(7)(C)

Subject:

90-Day Status Update "Oversight Review of the Department of Homeland Security Immigration and Customs Enforcement, Office of Professional Responsibility, Investigations Division" (OIG-19-14)

Attachments:

ICE 90 Day Update - Final Report - 20190305.pdf, ICE 90 Day Update - Final Report - 20190305.doc, Attachment 6.pdf, Attachment 2.pdf, Attachment 5.pdf, Attachment 4.pdf, Attachment 7.pdf, Attachment 1.pdf, Attachment 3.pdf

Good morning, Assistant Inspector General Salmon.

Consistent with the Department's procedures, attached is a 90-day status update for OIG report "Oversight Review of the Department of Homeland Security Immigration and Customs Enforcement, Office of Professional Responsibility, Investigations Division" (OIG-19-14).

I'm providing the signed version in pdf, as well as an MS Word version.

Also attached is documentation to support our requests to close eight of the recommendations.

Please feel free to contact me if you have any questions.

Thank you.

(b)(6); (b)(7)(C)

Audit Liaison Unit Chief

DHS | ICE | OCFO | Office of Assurance and Compliance (OAC)

Office: (202) 732- (b)(6); (b)(7)(C) Email: (b)(6); (b)(7)(C) @ice.dhs.gov

Requester's Name: Andrew Free

FOIA/PA NO.: 2019-IGFO-002096

268 PAGE(S)

are referred to ICE for processing
and direct response to the requester.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Trimble, Katherine
Sent: 13 Mar 2019 13:54:46 +0000
To: OIG Audits Followup
Subject: Automatic reply: Notification Memo and Matrix
Attachments: Automatic reply: Notification Memo and Matrix

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Notification Memo and Matrix
Message-Id: <c4cd996c51104370895a358d9f24e17e@D2ASEVPPEMHU03.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Trimble, Katherine
Sent: 13 Mar 2019 09:54:46 -0400
To: OIG Audits Followup
Subject: Automatic reply: Notification Memo and Matrix

Hello! I am out of the office for training, back on Friday. I will be checking and responding to email periodically, so thank you in advance for your patience.

Katherine

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bumgardner, Donald
Sent: 13 Mar 2019 15:19:50 +0000
To: OIG Audits Followup
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our
Agriculture and Food Act”
Attachments: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our
Agriculture and Food Act”

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our Agriculture and Food Act”
Message-Id: <07E720B58A82A64898CA5E2FBDE0D11301373255CE@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bumgardner, Donald
Sent: 13 Mar 2019 11:19:49 -0400
To: OIG Audits Followup
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS "Securing our
Agriculture and Food Act"

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Brincefield, Ebonyee
Sent: 13 Mar 2019 15:20:26 +0000
To: OIG Audits Followup
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our
Agriculture and Food Act”
Attachments: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our
Agriculture and Food Act”

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our Agriculture and Food Act”
Message-Id: <2E07EE171F329A44A90836BD388B6B176F6D35D9@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Brincefield, Ebonyee
Sent: 13 Mar 2019 11:20:26 -0400
To: OIG Audits Followup
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS "Securing our
Agriculture and Food Act"

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Stevens, Mary
Sent: 13 Mar 2019 15:21:17 +0000
To: OIG Audits Followup
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our
Agriculture and Food Act”
Attachments: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our
Agriculture and Food Act”

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our Agriculture and Food Act”
Message-Id: <C534DB08A0A7C84890AD5C00204A41327247CB26@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Stevens, Mary
Sent: 13 Mar 2019 11:21:16 -0400
To: OIG Audits Followup
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS "Securing our
Agriculture and Food Act"

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Charboneau, Peter
Sent: 13 Mar 2019 16:00:15 +0000
To: OIG Audits Followup
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our
Agriculture and Food Act”
Attachments: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our
Agriculture and Food Act”

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our Agriculture and Food Act”
Message-Id: <30F3909B38332545A5B56A5FCE6AD8AA3E6E2B81@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Charboneau, Peter
Sent: 13 Mar 2019 12:00:15 -0400
To: OIG Audits Followup
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS "Securing our
Agriculture and Food Act"

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 13 Mar 2019 15:21:33 +0000
To: OIG Audits Followup
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our
Agriculture and Food Act”
Attachments: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our
Agriculture and Food Act”

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our Agriculture and Food Act”
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF0129250C18@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 13 Mar 2019 11:21:32 -0400
To: OIG Audits Followup
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS "Securing our
Agriculture and Food Act"

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Dang, Nga
Sent: 13 Mar 2019 16:31:18 +0000
To: OIG Audits Followup
Cc: Johnson, Robert
Subject: RE: Acting IG Monthly Status Report February/March 2019
Attachments: RE: Acting IG Monthly Status Report February/March 2019

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Acting IG Monthly Status Report February/March 2019
Message-Id: <5B7D72ADD8FBCA42983A6C443693521D01289FE823@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: Dang, Nga
Sent: 13 Mar 2019 12:31:17 -0400
To: OIG Audits Followup
Cc: Johnson, Robert
Subject: RE: Acting IG Monthly Status Report February/March 2019

Hi Lovella,

I have always completed that field with projected incoming staff that are onboarding in the next 30 days. Since Kevin Zou already started, we took him off and added him to our overall numbers. Let us know if Sondra wants to capture employees who have onboarded in the past 30 days or will be onboarding within the next 30 days or perhaps both? CCing Bobby in case it's something he prefers to talk to Sondra and get a response on.

Thanks so much,
Nga

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, March 13, 2019 12:27 PM
To: Dang, Nga <(b)(6)@oig.dhs.gov>
Subject: FW: Acting IG Monthly Status Report February/March 2019

Hi Nga, for the 30 day onboard, Sondra thinks that should have 1 in there for Kevin Zou something... ITA Data Architect, he started last week?

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)987-(b)(6) phone
(202)427-(b)(6) mobile

From: Dang, Nga <(b)(6)@oig.dhs.gov>
Sent: Monday, March 11, 2019 2:30 PM
To: Viray, Lovella <(b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy <(b)(6)@oig.dhs.gov>; Manduzio, James <(b)(6)@oig.dhs.gov>; Johnson, Robert <(b)(6)@oig.dhs.gov>; Milbert, Jillian <(b)(6)@oig.dhs.gov>
Subject: RE: Acting IG Monthly Status Report February/March 2019

Hi Lovella,

Please see attached in red for edits.

Thanks so much,

Nga

From: Viray, Lovella (b)(6)@oig.dhs.gov>
Sent: Monday, March 11, 2019 10:18 AM
To: Dang, Nga (b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy (b)(6)@oig.dhs.gov>; Manduzio, James (b)(6)@oig.dhs.gov>
Subject: RE: Acting IG Monthly Status Report February/March 2019

No problem, thanks Nga!

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)981 (b)(6) phone
(202)421 (b)(6) mobile

From: Dang, Nga (b)(6)@oig.dhs.gov>
Sent: Monday, March 11, 2019 10:17 AM
To: Viray, Lovella (b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy (b)(6)@oig.dhs.gov>; Manduzio, James (b)(6)@oig.dhs.gov>
Subject: RE: Acting IG Monthly Status Report February/March 2019

Thanks Lovella! I'll have edits but may not get them to you until after my 1:30 meeting with HR. Will definitely get it to you by COB though.

From: Viray, Lovella (b)(6)@oig.dhs.gov>
Sent: Monday, March 11, 2019 9:17 AM
To: Ferrara, Robert (b)(6)@oig.dhs.gov>; Johnson, Robert (b)(6)@oig.dhs.gov>; Fasehun, Olukemi (b)(6)@oig.dhs.gov>; Milbert, Jillian (b)(6)@oig.dhs.gov>; Dang, Nga (b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy (b)(6)@oig.dhs.gov>; Manduzio, James (b)(6)@oig.dhs.gov>
Subject: RE: Acting IG Monthly Status Report February/March 2019
Importance: High

Good morning all, the monthly IG meeting was moved to this coming Thursday the 14th from the 7th. Attached is what you submitted. Please let us know if there are any changes and send it back to us by COB today, thank you so much.

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)981 (b)(6) phone

(202)427-(b)(6) mobile

From: Viray, Lovella

Sent: Monday, February 25, 2019 4:06 PM

To: Ferrara, Robert (b)(6) [\(b\)\(6\)@oig.dhs.gov">@oig.dhs.gov](mailto:@oig.dhs.gov](mailto:@oig.dhs.gov](mailto:@oig.dhs.gov](mailto:@oig.dhs.gov](mailto:@oig.dhs.gov](mailto:@oig.dhs.gov](mailto:<span style=)

Cc: Johnson, Tammy (b)(6) [\(b\)\(6\)@oig.dhs.gov">@oig.dhs.gov](mailto:@oig.dhs.gov](mailto:@oig.dhs.gov](mailto:<span style=)

Subject: Acting IG Monthly Status Report February/March 2019

Hi All, the February IG monthly report is due by this Friday, March 1st. Please send your updates back to me and Tammy. Mark your changes in **RED**, so they are easily identifiable. A copy of last month's submission is attached.

NOTE: All updates provided should be for the current month.

Training – Bob Ferrara
Budget – Kemi Fasehun
Personnel and Manpower – Nga Dang
FOIA – Jillian Milbert

Please let us know you if have any questions. Thank you!

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)98-(b)(6) phone
(202)42-(b)(6) mobile

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Johnson, Robert
Sent: 13 Mar 2019 17:00:10 +0000
To: Dang, Nga;OIG Audits Followup
Subject: RE: Acting IG Monthly Status Report February/March 2019
Attachments: RE: Acting IG Monthly Status Report February/March 2019

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Acting IG Monthly Status Report February/March 2019
Message-Id: <6CE924F6B96EB44DAEB58B1902BC07B6014797B8E1@D2ASEPREA009>
To: (b)(6)@oig.dhs.gov
To: OIGAuditsFollowup@oig.dhs.gov

From: Johnson, Robert
Sent: 13 Mar 2019 13:00:09 -0400
To: Dang, Nga;OIG Audits Followup
Subject: RE: Acting IG Monthly Status Report February/March 2019

Hi ladies,

I just spoke with Sondra on this and made her aware that Kevin Zou is include in the "FTEs on board" number of 359. This information can stay as is and does not need to be changed.

Thanks,

Bobby

From: Dang, Nga (b)(6)@oig.dhs.gov>
Sent: Wednesday, March 13, 2019 12:31 PM
To: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Cc: Johnson, Robert (b)(6)@oig.dhs.gov>
Subject: RE: Acting IG Monthly Status Report February/March 2019

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Thanks so much,
Nga

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, March 13, 2019 12:27 PM
To: Dang, Nga (b)(6)@oig.dhs.gov>
Subject: FW: Acting IG Monthly Status Report February/March 2019

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Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)981 (b)(6) phone
(202)427 (b)(6) mobile

From: Dang, Nga <(b)(6)@oig.dhs.gov>
Sent: Monday, March 11, 2019 2:30 PM
To: Viray, Lovella <(b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy <(b)(6)@oig.dhs.gov>; Manduzio, James <(b)(6)@oig.dhs.gov>; Johnson, Robert <(b)(6)@oig.dhs.gov>; Milbert, Jillian <(b)(6)@oig.dhs.gov>
Subject: RE: Acting IG Monthly Status Report February/March 2019

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Nga

From: Viray, Lovella <(b)(6)@oig.dhs.gov>
Sent: Monday, March 11, 2019 10:18 AM
To: Dang, Nga <(b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy <(b)(6)@oig.dhs.gov>; Manduzio, James <(b)(6)@oig.dhs.gov>
Subject: RE: Acting IG Monthly Status Report February/March 2019

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DHS-OIG Audits
Washington, DC
(202)981-(b)(6) phone
(202)421-(b)(6) mobile

From: Dang, Nga <(b)(6)@oig.dhs.gov>
Sent: Monday, March 11, 2019 10:17 AM
To: Viray, Lovella <(b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy <(b)(6)@oig.dhs.gov>; Manduzio, James <(b)(6)@oig.dhs.gov>
Subject: RE: Acting IG Monthly Status Report February/March 2019

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From: Viray, Lovella <(b)(6)@oig.dhs.gov>
Sent: Monday, March 11, 2019 9:17 AM
To: Ferrara, Robert <(b)(6)@oig.dhs.gov>; Johnson, Robert <(b)(6)@oig.dhs.gov>; Fasehun, Olukemi <(b)(6)@oig.dhs.gov>; Milbert, Jillian <(b)(6)@oig.dhs.gov>;

Dang, Nga (b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy (b)(6)@oig.dhs.gov>; Manduzio, James
(b)(6)@oig.dhs.gov>
Subject: RE: Acting IG Monthly Status Report February/March 2019
Importance: High

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Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)98 (b)(6) phone
(202)42 (b)(6) mobile

From: Viray, Lovella
Sent: Monday, February 25, 2019 4:06 PM
To: Ferrara, Robert (b)(6)@oig.dhs.gov; (b)(6)@oig.dhs.gov>; Johnson, Robert
(b)(6)@oig.dhs.gov>; Fasehun, Olukemi (b)(6)@oig.dhs.gov>; Mosher, Bradley
(b)(6)@oig.dhs.gov>; Milbert, Jillian (b)(6)@oig.dhs.gov>; Dang, Nga
(b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy (b)(6)@oig.dhs.gov; (b)(6)@oig.dhs.gov>; Manduzio,
James (b)(6)@oig.dhs.gov>
Subject: Acting IG Monthly Status Report February/March 2019

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Budget – Kemi Fasehun
Personnel and Manpower – Nga Dang
FOIA – Jillian Milbert

Please let us know you if have any questions. Thank you!

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)98 (b)(6) phone

(202)42 (b)(6) mobile

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Dang, Nga
Sent: 13 Mar 2019 17:01:47 +0000
To: Johnson, Robert;OIG Audits Followup
Subject: RE: Acting IG Monthly Status Report February/March 2019
Attachments: RE: Acting IG Monthly Status Report February/March 2019

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Acting IG Monthly Status Report February/March 2019
Message-Id: <5B7D72ADD8FBCA42983A6C443693521D01289FE8C5@D2ASEPREA009>
To: (b)(6)@oig.dhs.gov
To: OIGAuditsFollowup@oig.dhs.gov

From: Dang, Nga
Sent: 13 Mar 2019 13:01:46 -0400
To: Johnson, Robert;OIG Audits Followup
Subject: RE: Acting IG Monthly Status Report February/March 2019

Thanks Bobby. Going forward, did Sondra share what she may prefer the numbers to illustrate?

From: Johnson, Robert (b)(6)@oig.dhs.gov>
Sent: Wednesday, March 13, 2019 1:00 PM
To: Dang, Nga (b)(6)@oig.dhs.gov>; OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Subject: RE: Acting IG Monthly Status Report February/March 2019

Hi ladies,

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Thanks,

Bobby

From: Dang, Nga (b)(6)@oig.dhs.gov>
Sent: Wednesday, March 13, 2019 12:31 PM
To: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Cc: Johnson, Robert (b)(6)@oig.dhs.gov>
Subject: RE: Acting IG Monthly Status Report February/March 2019

Hi Lovella,

I have always completed that field with projected incoming staff that are onboarding in the next 30 days. Since Kevin Zou already started, we took him off and added him to our overall numbers. Let us know if Sondra wants to capture employees who have onboarded in the past 30 days or will be onboarding within the next 30 days or perhaps both? CCing Bobby in case it's something he prefers to talk to Sondra and get a response on.

Thanks so much,
Nga

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, March 13, 2019 12:27 PM
To: Dang, Nga (b)(6)@oig.dhs.gov>
Subject: FW: Acting IG Monthly Status Report February/March 2019

Hi Nga, for the 30 day onboard, Sondra thinks that should have 1 in there for Kevin Zou something... ITA Data Architect, he started last week?

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)981 (b)(6) phone
(202)427 (b)(6) mobile

From: Dang, Nga (b)(6) @oig.dhs.gov>
Sent: Monday, March 11, 2019 2:30 PM
To: Viray, Lovella (b)(6) @oig.dhs.gov>
Cc: Johnson, Tammy (b)(6) @oig.dhs.gov>; Manduzio, James (b)(6) @oig.dhs.gov>; Johnson, Robert (b)(6) @oig.dhs.gov>; Milbert, Jillian (b)(6) @oig.dhs.gov>
Subject: RE: Acting IG Monthly Status Report February/March 2019

Hi Lovella,

Please see attached in red for edits.

Thanks so much,
Nga

From: Viray, Lovella (b)(6) @oig.dhs.gov>
Sent: Monday, March 11, 2019 10:18 AM
To: Dang, Nga (b)(6) @oig.dhs.gov>
Cc: Johnson, Tammy (b)(6) @oig.dhs.gov>; Manduzio, James (b)(6) @oig.dhs.gov>
Subject: RE: Acting IG Monthly Status Report February/March 2019

No problem, thanks Nga!

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)981 (b)(6) phone
(202)427 (b)(6) mobile

From: Dang, Nga (b)(6) @oig.dhs.gov>
Sent: Monday, March 11, 2019 10:17 AM
To: Viray, Lovella (b)(6) @oig.dhs.gov>
Cc: Johnson, Tammy (b)(6) @oig.dhs.gov>; Manduzio, James (b)(6) @oig.dhs.gov>
Subject: RE: Acting IG Monthly Status Report February/March 2019

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From: Viray, Lovella (b)(6)@oig.dhs.gov>
Sent: Monday, March 11, 2019 9:17 AM
To: Ferrara, Robert (b)(6)@oig.dhs.gov>; Johnson, Robert (b)(6)@oig.dhs.gov>; Fasehun, Olukemi (b)(6)@oig.dhs.gov>; Milbert, Jillian (b)(6)@oig.dhs.gov>; Dang, Nga (b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy (b)(6)@oig.dhs.gov>; Manduzio, James (b)(6)@oig.dhs.gov>
Subject: RE: Acting IG Monthly Status Report February/March 2019
Importance: High

Good morning all, the monthly IG meeting was moved to this coming Thursday the 14th from the 7th. Attached is what you submitted. Please let us know if there are any changes and send it back to us by COB today, thank you so much.

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)981 (b)(6) phone
(202)427 (b)(6) mobile

From: Viray, Lovella
Sent: Monday, February 25, 2019 4:06 PM
To: Ferrara, Robert (b)(6)@oig.dhs.gov> (b)(6)@oig.dhs.gov>; Johnson, Robert (b)(6)@oig.dhs.gov>; Fasehun, Olukemi (b)(6)@oig.dhs.gov>; Mosher, Bradley (b)(6)@oig.dhs.gov>; Milbert, Jillian (b)(6)@oig.dhs.gov>; Dang, Nga (b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy (b)(6)@oig.dhs.gov> (b)(6)@oig.dhs.gov>; Manduzio, James (b)(6)@oig.dhs.gov>
Subject: Acting IG Monthly Status Report February/March 2019

Hi All, the February IG monthly report is due by this Friday, March 1st. Please send your updates back to me and Tammy. Mark your changes in **RED**, so they are easily identifiable. A copy of last month's submission is attached.

NOTE: All updates provided should be for the current month.

Training – Bob Ferrara
Budget – Kemi Fasehun
Personnel and Manpower – Nga Dang
FOIA – Jillian Milbert

Please let us know you if have any questions. Thank you!

Lovella L. Viray

DHS-OIG Audits

Washington, DC

(202)981 (b)(6) phone

(202)427 [redacted] mobile

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of OIG Audits Followup
Sent: 13 Mar 2019 17:43:31 +0000
To: Johnson, Robert;Dang, Nga;OIG Audits Followup
Subject: RE: Acting IG Monthly Status Report February/March 2019
Attachments: RE: Acting IG Monthly Status Report February/March 2019

Sender: OIGAuditsFollowup@oig.dhs.gov

Subject: RE: Acting IG Monthly Status Report February/March 2019

Message-Id: <9D150025B28A954FAB126D1DC8E16E52CADAEE18@D2ASEPREA009>

To: (b)(6)@oig.dhs.gov

To: (b)(6)@oig.dhs.gov

To: OIGAuditsFollowup@oig.dhs.gov

From: OIG Audits Followup
Sent: 13 Mar 2019 13:43:30 -0400
To: Johnson, Robert; Dang, Nga; OIG Audits Followup
Subject: RE: Acting IG Monthly Status Report February/March 2019

Thanks all.

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)981 (b)(6) phone
(202)427 (b)(6) mobile

From: Johnson, Robert (b)(6)@oig.dhs.gov>
Sent: Wednesday, March 13, 2019 1:00 PM
To: Dang, Nga (b)(6)@oig.dhs.gov>; OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Subject: RE: Acting IG Monthly Status Report February/March 2019

Hi ladies,

I just spoke with Sondra on this and made her aware that Kevin Zou is include in the "FTEs on board" number of 359. This information can stay as is and does not need to be changed.

Thanks,

Bobby

From: Dang, Nga (b)(6)@oig.dhs.gov>
Sent: Wednesday, March 13, 2019 12:31 PM
To: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Cc: Johnson, Robert (b)(6)@oig.dhs.gov>
Subject: RE: Acting IG Monthly Status Report February/March 2019

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NOTE: All updates provided should be for the current month.

Training – Bob Ferrara

Budget – Kemi Fasehun

Personnel and Manpower – Nga Dang

FOIA – Jillian Milbert

Please let us know you if have any questions. Thank you!

Lovella L. Viray

DHS-OIG Audits

Washington, DC

(202)98 (b)(6) phone

(202)42 (b)(6) mobile

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Ogunduyile, Modupe
Sent: 13 Mar 2019 19:05:09 +0000
To: OIG Audits Followup
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our
Agriculture and Food Act”
Attachments: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our
Agriculture and Food Act”

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our Agriculture and Food Act”
Message-Id: <EF92A5FC77C1EF408BBDB1BD27247A2F01285FF007@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Ogunduyile, Modupe
Sent: 13 Mar 2019 15:05:08 -0400
To: OIG Audits Followup
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS "Securing our
Agriculture and Food Act"

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Trimble, Katherine
Sent: 13 Mar 2019 23:43:18 +0000
To: OIG Audits Followup
Subject: Message Recall Failure: Notification Memo and Matrix
Attachments: Message Recall Failure: Notification Memo and Matrix

Sender: (b)(6)@oig.dhs.gov
Subject: Message Recall Failure: Notification Memo and Matrix
Message-Id: <877D7206F41D7E4789799F1638A3FDA11D26E812@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Trimble, Katherine
Sent: 13 Mar 2019 19:43:17 -0400
To: OIG Audits Followup
Subject: Message Recall Failure: Notification Memo and Matrix

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Veppumthara, Abe
Sent: 14 Mar 2019 13:01:13 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for NFIP Severe Repetitive Loss Audit
Attachments: Accepted: Message Meeting for NFIP Severe Repetitive Loss Audit

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for NFIP Severe Repetitive Loss Audit
Message-Id: <5C4F65EFE6A1E345A7EEC9D1A92D56D1CB302F7E@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Veppumthara, Abe
Sent: 14 Mar 2019 09:01:13 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for NFIP Severe Repetitive Loss Audit

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Veppumthara, Abe
Sent: 14 Mar 2019 13:02:23 +0000
To: OIG Audits Followup
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our
Agriculture and Food Act”
Attachments: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our
Agriculture and Food Act”

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our Agriculture and Food Act”
Message-Id: <5C4F65EFE6A1E345A7EEC9D1A92D56D1CB302FCD@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Veppumthara, Abe
Sent: 14 Mar 2019 09:02:22 -0400
To: OIG Audits Followup
Subject: Accepted: Mid-Point Meeting for 18-107-AUD-DHS "Securing our Agriculture and Food Act"

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Trimble, Katherine
Sent: 13 Mar 2019 23:54:12 +0000
To: OIG Audits Followup
Cc: Bebow, Brooke;Ochoa, Louis
Subject: RE: Notification Memo and Matrix
Attachments: RE: Notification Memo and Matrix

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Notification Memo and Matrix
Message-Id: <877D7206F41D7E4789799F1638A3FDA11D26E840@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: Trimble, Katherine
Sent: 13 Mar 2019 19:54:11 -0400
To: OIG Audits Followup
Cc: Bebow, Brooke;Ochoa, Louis
Subject: RE: Notification Memo and Matrix
Attachments: Gone Act Design Matrix KT review 3-13-19.docx

Thanks, Lovella!

I approve the matrix and sending forward the notification letter.

Katherine

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, March 13, 2019 9:55 AM
To: Trimble, Katherine <(b)(6)@oig.dhs.gov>; Johnson, Tammy <(b)(6)@oig.dhs.gov>
Subject: RE: Notification Memo and Matrix

Hi Katherine, please see revised Design Matrix. I also attached the memo for reference. Thanks.

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)981-(b)(6) phone
(202)421-(b)(6) mobile

From: Trimble, Katherine <(b)(6)@oig.dhs.gov>
Sent: Monday, March 11, 2019 4:34 PM
To: Viray, Lovella <(b)(6)@oig.dhs.gov>; Johnson, Tammy <(b)(6)@oig.dhs.gov>
Subject: FW: Notification Memo and Matrix

Here's the email chain on the GONE Act (grant closeout process).

Thanks and I'll do a better job keeping you two in the loop in the future (including making sure my teams continue to send products through you).

Katherine

From: Trimble, Katherine
Sent: Saturday, March 09, 2019 4:16 PM
To: Ochoa, Louis <(b)(6)@oig.dhs.gov>
Cc: Bebow, Brooke <(b)(6)@oig.dhs.gov>
Subject: RE: Notification Memo and Matrix

Sounds good, Louis. Thanks!

Just send it back when you're ready and I'll sign off. I've already reviewed the notification memo, which looks fine.

Katherine

From: Ochoa, Louis (b)(6)@oig.dhs.gov>
Sent: Friday, March 08, 2019 3:46 PM
To: Trimble, Katherine (b)(6)@oig.dhs.gov>
Cc: Bebow, Brooke (b)(6)@oig.dhs.gov>; Ochoa, Louis (b)(6)@oig.dhs.gov>
Subject: RE: Notification Memo and Matrix

Hi Katherine,

The GONE Act only requires the IG to conduct a "risk assessment" to determine whether the agency's practices for closing out grants warrants a full audit. However, the Act does not set criteria for risk factors that will trigger a full audit. Therefore, to determine if we recommend a full audit/review, the audit team will establish criteria based on the results of the assessment, lessons learned from other IG's issued risk assessment reports, and other risk factors including but not limited to:

- Complete and accurate reporting
- Assessing the written policies and procedures
- Whether close-outs were pushed through quickly to comply with the GONE Act
- Potential improper deobligations

Per your recommendation, we will revise the matrix to include more detail.

Thanks

From: Trimble, Katherine (b)(6)@oig.dhs.gov>
Sent: Friday, March 08, 2019 8:10 AM
To: Bebow, Brooke (b)(6)@oig.dhs.gov>
Cc: Ochoa, Louis (b)(6)@oig.dhs.gov>
Subject: RE: Notification Memo and Matrix

Thanks, Brooke and Louis,

Just one question: do we know yet what would trigger a full audit or review of DHS's grant closeout process? Is it based on whether we determine DHS/FEMA GONE Act reporting is complete and accurate, or other factors?

If possible, I'd like a little more information about that in the design matrix. Or, if that is criteria we will be defining through our work, please say so in the matrix.

Hope that makes sense. I'm happy to discuss.

Katherine

From: Bebow, Brooke (b)(6)@oig.dhs.gov>
Sent: Thursday, March 7, 2019 3:01 PM
To: Trimble, Katherine (b)(6)@oig.dhs.gov>
Cc: Ochoa, Louis (b)(6)@oig.dhs.gov>
Subject: FW: Notification Memo and Matrix

Katherine,

Attached are the notification memo and initial design matrix for the GONE Act project. They are also in PTS, but am not sure how you are handling getting the workflows moved forward at HQ.

Thanks
Brooke

Brooke P Bebow, Director

DHS/OIG, Office of Audits

Denver, Colorado

303.23 (b)(6)

From: Ochoa, Louis (b)(6)@oig.dhs.gov>
Sent: Thursday, March 07, 2019 9:05 AM
To: Bebow, Brooke (b)(6)@oig.dhs.gov>
Cc: Gradin, Renee (b)(6)@oig.dhs.gov>; Ochoa, Louis (b)(6)@oig.dhs.gov>
Subject: RE: Notification Memo and Matrix

See attached.

From: Bebow, Brooke (b)(6)@oig.dhs.gov>
Sent: Thursday, March 07, 2019 10:52 AM
To: Ochoa, Louis (b)(6)@oig.dhs.gov>; Gradin, Renee (b)(6)@oig.dhs.gov>
Subject: Notification Memo and Matrix

Good Morning,

Can one of you please pull the latest versions of the memo and matrix into an email and forward it to me? Katherine does not know PTS very well and she wants to review them outside of PTS. I am not able to access PTS this morning for some reason.

Thanks,
Brooke



Brooke P Bebow

**Director, Office of Audits
DHS Office of Inspector General
Denver, Colorado**

(b)(6) [y@oig.dhs.gov](mailto:(b)(6)@oig.dhs.gov)

 303.236 (b)(6) | 📱 303.587 (b)(6) |  303.236 (b)(6)

Design Matrix¹

for

Risk Assessment of FEMA's Grant Close Out Process

Job Code: 19-026-AUD-FEMA

DHS OIG Audit Office:

Disaster Management and Infrastructure Protection

Approved by²

AIG, Deputy AIG, or Designee:

Katherine Trimble //signed//

Date: 3/13/19

Submitted by (when not Designee)

Director:

Date: _____

Reviewed by

Audit Manager/Supervisory Auditor:

Date: _____

Issue/Problem: To assess whether a full audit or review of FEMA's grant close out process is warranted.

Background: The Grants Oversight and New Efficiency Act (GONE Act) - PL 114-117 is in response to a 2013 Washington Post article that found the Federal government spent at least \$890,000 on service fees to maintain empty bank accounts of expired grants. On January 28, 2016, President Obama signed the GONE Act into law. Congress designed the GONE Act to hold Federal awarding agencies accountable for timely close out of grant awards. The purpose of the law is to reduce costs associated with maintaining bank accounts of expired grants that contain undisbursed funds or a zero balance.

Scope and Methodology: As required by P.L. 114-117, we would review information submitted by FEMA on or before December 31, 2018. We will validate that information and then conduct a risk assessment to determine whether a full audit of FEMA's grant closeout process is warranted. This assessment would focus on grants expired one year or longer. We would identify key data for each grant and determine possible reasons for expired grants remaining on DHS' records.

Key Project Stakeholders: U.S. Congress (PRIMARY)

Team Members: Brooke Bebow, Louis Ochoa, Renee Gradin, Shawn Cosman, Darrel Francis and Curtis Watkins

Staff Hour Requirements: N/A

Key Audit / (Survey) Milestones: Review required to be completed by November 2019.

¹ Refer to OIG Audit Manual section sections: (a) 1.2.3 Assistance Inspector General (AIG), fourth bullet; (b) 1.2.4, Deputy AIG, third bullet; (c) 1.2.5 Directors, first and third bullets; (d) 2.6.1 Policy, A, #2, #5, and #6; (e) 2.6.1.2 Guidance, Auditors, "c", "f" and "j", Design Matrix; and (f) Glossary, definition of Design Matrix.

² The design matrix may be signed off manually or by using TeamMate's sign-off feature.

Audit Objective(s) or Researchable Question(s)	Information Required	Information Source(s)	Data Collection Methods	Data Analysis Methods	Limitations	What the analysis will allow you to say
<u>WHAT DO THEY WANT TO KNOW?</u>	<u>WHAT DO YOU NEED TO ANSWER THE QUESTION?</u>	<u>WHERE ARE YOU GOING TO GET IT?</u>	<u>HOW ARE YOU GOING TO GET THE INFORMATION?</u>	<u>WHAT WILL YOU DO WITH IT ONCE YOU GET IT?</u>	<u>WHAT CAN'T YOU DO (CAVEATS)?</u>	<u>WHAT CAN YOU SAY?</u>
<ul style="list-style-type: none"> What DHS entities exceed the GONE Act report requirement threshold of \$500 million in annually awarded grants? What did DHS/FEMA report to Congress to fulfill the GONE Act requirements? How does DHS/FEMA ensure the completeness and accuracy of its GONE Act reporting? Was DHS/FEMA GONE Act reporting complete and accurate? If the GONE Act reporting was not complete and/or accurate, why? How does FEMA ensure grants are closed out timely? Are there indications FEMA did not comply with DHS/FEMA and Federal criteria when closing out grants such as improper deobligations? Are there significant risk factors to indicate a full audit or review should be recommended? 	<p>DHS FY2017 and 2018 AFRs</p> <p>DHS GONE Act reporting submitted to the MAX website as required by OMB MPM 2016-04</p> <p>DHS/FEMA financial reports</p> <p>DHS/FEMA policies and procedures for financial reporting</p> <p>DHS/FEMA grant program policies and procedures</p> <p>2 CFR, part 200</p> <p>44 CFR, part 206</p> <p>OMB memo 2016-04</p> <p>Official interviews</p> <p>Analytical review of FEMA financial reports to FEMA operation reports</p>	<p>DHS/FEMA officials</p> <p>Program participants</p> <p>DHS/FEMA records</p> <p>FEMA information systems</p> <p>Laws and regulations</p> <p>DHS/FEMA website</p> <p>Previous OIG/GAO issued audit reports</p>	<p>Collect DHS/FEMA financial reports</p> <p>Collect DHS/FEMA policies and procedures for financial reporting</p> <p>Collect FEMA grant program policies and procedures for grant close out</p> <p>Structured and unstructured interviews</p> <p>Interview DHS/FEMA personnel involved in compiling and reporting the data required under the GONE Act</p> <p>Interview FEMA personnel involved in grant tracking, reporting and close-out</p> <p>Review files and test samples</p>	<p>Analyze the data provided in the GONE Act reports FEMA submitted to DHS</p> <p>Compare the reported data to supporting documentation.</p> <p>Review and draw conclusions from:</p> <p>(1) qualitative analysis of interviews and data</p> <p>(2) quantitative analysis from data testing</p> <p>Identify controls within DHS/FEMA processes and assess their adequacy to ensure accuracy and completeness</p> <p>Assess for accuracy and completeness the data system FEMA used to report data to DHS</p>	<p>Data quality and reliability</p> <p>Access to records</p> <p>Limited staff knowledge and experience of programs</p> <p>Multiple FEMA grant program policy and procedure requirements</p> <p>Measurable means for DHS/FEMA's performance</p>	<p>Whether we recommend that a full audit or review of FEMA/DHS grant close out process is warranted based on factors such as:</p> <ul style="list-style-type: none"> Did DHS/FEMA comply with Federal requirements when closing out grants Was the data FEMA submitted to DHS under the GONE Act complete and accurate If the data FEMA submitted to DHS under the GONE Act was incomplete and/or inaccurate, why If there is a potential for improper deobligations

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Haynes, Christine
Sent: 14 Mar 2019 14:25:21 +0000
To: OIG Audits Followup
Subject: Accepted: Message meeting Unity of Effort/Drug Technology - (18-074-AUD-DHS(a))
Attachments: Accepted: Message meeting Unity of Effort/Drug Technology - (18-074-AUD-DHS(a))

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message meeting Unity of Effort/Drug Technology - (18-074-AUD-DHS(a))
Message-Id: <86A4395FCEB73D49A8AB8F6A769EE34E0158F84F10@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Haynes, Christine
Sent: 14 Mar 2019 10:25:21 -0400
To: OIG Audits Followup
Subject: Accepted: Message meeting Unity of Effort/Drug Technology - (18-074-AUD-DHS(a))

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Atkinson, Loretta
Sent: 15 Mar 2019 14:54:16 +0000
To: OIG Audits Followup
Subject: Meeting Forward Notification: Message meeting Unity of Effort/Drug
Technology - (18-074-AUD-DHS(a))
Attachments: Meeting Forward Notification: Message meeting Unity of Effort/Drug
Technology - (18-074-AUD-DHS(a))

Sender: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
On-Behalf-Of (b)(6)@oig.dhs.gov
Subject: Meeting Forward Notification: Message meeting Unity of Effort/Drug Technology - (18-074-
AUD-DHS(a))
Message-Id: <0B8C24E135A7EF40A4185740D075F064A2DC6BD0@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Atkinson, Loretta
Sent: 15 Mar 2019 10:54:15 -0400
To: OIG Audits Followup
Subject: Meeting Forward Notification: Message meeting Unity of Effort/Drug Technology - (18-074-AUD-DHS(a))

Your meeting was forwarded

[Atkinson, Loretta](#) has forwarded your meeting request to additional recipients.

Meeting

Message meeting Unity of Effort/Drug Technology - (18-074-AUD-DHS(a))

Meeting Time

Wednesday, 20 March 2019 10:00-11:00.

Recipients

[Williams, Severa](#)

[Leal, Enrique](#)

[Taylor, Matthew](#)

[Brown, Clarence](#)

All times listed are in the following time zone: (UTC-05:00) Eastern Time (US & Canada)

Sent by Microsoft Exchange Server 2010

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Baxter, Kent

Sent: 14 Mar 2019 21:51:17 +0000

To: OIG Audits Followup

Cc: (b)(6)
(b)(6) Arnold, Larry;Skrmetti, John;James, Mary;FEMA-GAO-OIG-
LIAISON;GAO-OIG Liaison (b)(6)

Subject: R6 Technical Comments: LA OCD Audit, Job Code G-16-064

Attachments: R6 Technical Comments: LA OCD Audit, Job Code G-16-064

Sender (b)(6)@fema.dhs.gov

Subject: R6 Technical Comments: LA OCD Audit, Job Code G-16-064

Message-Id:

<MN2PR09MB3487D320092428FEA6CF5112D64B0@MN2PR09MB3487.namprd09.prod.outlook.com
>

Recipient: OIGAuditsFollowup@oig.dhs.gov

Recipient (b)(6)@oig.dhs.gov

Recipient i@oig.dhs.gov

Recipient @oig.dhs.gov

From:

(b)(6)

Sent:

14 Mar 2019 21:51:11 +0000

To:

OIG Audits Followup

Cc:

(b)(6)

(b)(6) Arnold, Larry;Skrmetti, John;James, Mary;FEMA-GAO-OIG-LIAISON;GAO-OIG Liaison

(b)(6)

(b)(6)

Subject:

R6 Technical Comments: LA OCD Audit, Job Code G-16-064

Attachments:

G16064 OIG TechComments DRAFT Report 031319.docx

OIG:

FEMA Region 6 provides the attached technical comments for the DRAFT Audit Report: "**Louisiana Did Not Properly Oversee a \$706.6 Million Hazard Mitigation Grant Program Award for Work on Louisiana Homes**" (Job Code: G-16-064), dated 2/21/19.

If you have any questions, please contact me at your convenience.

Thanks,

(b)(6)

Management Analyst - Office of Regional Administrator

DHS-FEMA R6 | 800 North Loop 288 | Denton, TX 76201

(b)(6)

(b)(6)

Email (b)(6)@fema.dhs.gov

Requester's Name: Andrew Free

FOIA/PA NO.: 2019-IGFO-002096

2 PAGE(S)

are referred to FEMA for processing
and direct response to the requester.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Brown, Clarence
Sent: 15 Mar 2019 14:54:43 +0000
To: OIG Audits Followup
Subject: Accepted: FW: Message meeting Unity of Effort/Drug Technology - (18-074-AUD-DHS(a))
Attachments: Accepted: FW: Message meeting Unity of Effort/Drug Technology - (18-074-AUD-DHS(a))

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: FW: Message meeting Unity of Effort/Drug Technology - (18-074-AUD-DHS(a))
Message-Id: <704B204230497F4C9B035EBBEA4333253DF5F9D1@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Brown, Clarence
Sent: 15 Mar 2019 10:54:43 -0400
To: OIG Audits Followup
Subject: Accepted: FW: Message meeting Unity of Effort/Drug Technology - (18-074-AUD-DHS(a))

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Trimble, Katherine
Sent: 17 Mar 2019 21:28:30 +0000
To: OIG Audits Followup
Cc: Viray, Lovella;Arnold, Larry
Subject: RE: A - PTS - 520884 - Recommendation Close-out Memo for OIG-19-05
Attachments: RE: A - PTS - 520884 - Recommendation Close-out Memo for OIG-19-05

Sender: (b)(6)@oig.dhs.gov
Subject: RE: A - PTS - 520884 - Recommendation Close-out Memo for OIG-19-05
Message-Id: <877D7206F41D7E4789799F1638A3FDA11D2704CC@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: Trimble, Katherine
Sent: 17 Mar 2019 17:28:29 -0400
To: OIG Audits Followup
Cc: Viray, Lovella;Arnold, Larry
Subject: RE: A - PTS - 520884 - Recommendation Close-out Memo for OIG-19-05

Thank you. I approve closing recommendations 1 through 3.

Katherine

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, March 06, 2019 11:59 AM
To: Trimble, Katherine (b)(6)@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: A - PTS - 520884 - Recommendation Close-out Memo for OIG-19-05

Hi Katherine,

Attached for your review, is a recommendation close-out memo requesting the closure of recommendations 1 through 3 from OIG-19-05, *FEMA Should Disallow \$9.1 Million in Public Assistance Grant Funds Awarded to Ascension Parish School Board, Louisiana*. The component's submission for support of this request are located under the Attachments tab as attachments 8-11 in the PTS workflow.

Director: Larry Arnold
Audit Manager: Kathy Hughes

http://dc1pts01:800/iq/workflow_edit.aspx?from_docid=341339&cid=520884 G template for OIG-19-05

Tammy A. Johnson

Department of Homeland Security
Office of Inspector General
Office of Audits
Washington, DC
(202) 981 (b)(6)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Trimble, Katherine
Sent: 17 Mar 2019 21:34:05 +0000
To: OIG Audits Followup
Cc: Viray, Lovella;Gardner, Nigel
Subject: RE: A - PTS - 516144 - Recommendation Close-out Memo for OIG-16-01-D
Attachments: RE: A - PTS - 516144 - Recommendation Close-out Memo for OIG-16-01-D

Sender: (b)(6)@oig.dhs.gov
Subject: RE: A - PTS - 516144 - Recommendation Close-out Memo for OIG-16-01-D
Message-Id: <877D7206F41D7E4789799F1638A3FDA11D2704DB@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: Trimble, Katherine
Sent: 17 Mar 2019 17:34:04 -0400
To: OIG Audits Followup
Cc: Viray, Lovella;Gardner, Nigel
Subject: RE: A - PTS - 516144 - Recommendation Close-out Memo for OIG-16-01-D

Tammy and Lovella,

I approve closing recommendation 1 for the subject report.

Thanks,

Katherine

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Monday, February 25, 2019 12:51 PM
To: Trimble, Katherine (b)(6)@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: A - PTS - 516144 - Recommendation Close-out Memo for OIG-16-01-D

Hi Katherine,

Attached for your review, is a recommendation close-out memo requesting the closure of the remaining open recommendation (#1) for OIG-16-01-D, *FEMA Faces Challenges in Verifying Applicants' Insurance Policies for the Individuals and Households Program*. The component's submission for support of this request are attachments 7-10 in the PTS workflow.

Audit Manager: Nigel Gardner

http://dc1pts01:800/iq/workflow_edit.aspx?from_docid=341157&cid=516144 A template for OIG-16-01-D

Tammy A. Johnson

Department of Homeland Security
Office of Inspector General
Office of Audits
Washington, DC
(202) 981 (b)(6)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of (b)(6)
Sent: 17 Mar 2019 13:58:17 +0000
To: OIGAuditsFollowup@oig.dhs.gov.
Subject:
Attachments:

Sender (b)(6)
Subject:
Message-Id: <8E9F9FA6-5BA7-431F-B01F-D6C302901BB9 (b)(6)>
Recipient: oigauditsfollowup@oig.dhs.gov

Requester's Name: Andrew Free
2019-IGFO-00209

3 PAGE(S) OF DOCUMENT(S)

WITHHELD IN FULL (WIF)

EXEMPTIONS CITED

(b)(6)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Trimble, Katherine
Sent: 17 Mar 2019 21:43:55 +0000
To: OIG Audits Followup
Cc: Johnson, Tammy;Gardner, Nigel
Subject: RE: For Review Recommendation Status memo for OIG-15-06
Attachments: RE: For Review Recommendation Status memo for OIG-15-06

Sender: (b)(6)@oig.dhs.gov
Subject: RE: For Review Recommendation Status memo for OIG-15-06
Message-Id: <877D7206F41D7E4789799F1638A3FDA11D2704F8@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: Trimble, Katherine
Sent: 17 Mar 2019 17:43:54 -0400
To: OIG Audits Followup
Cc: Johnson, Tammy; Gardner, Nigel
Subject: RE: For Review Recommendation Status memo for OIG-15-06

Tammy and Lovella,

I approve the memo.

Thanks,

Katherine

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, March 06, 2019 2:52 PM
To: Trimble, Katherine (b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy (b)(6)@oig.dhs.gov>
Subject: For Review Recommendation Status memo for OIG-15-06

Hi Katherine,

Attached for your review is a recommendation status memo for OIG-15-06, *FEMA Needs To Track Performance Data and Develop Policies, Procedures, and Performance Measures for Long Term Recovery Offices.* The component's Corrective Action Plans (CAP) are Attachments 13-17 in the PTS workflow.

Audit Manager: Nigel Gardner

http://dc1pts01:800/iq/workflow_edit.aspx?from_docid=341158&cid=511829 A template for OIG-15-06, memo is doc#5

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)98 (b)(6) phone
(202)42 (b)(6) mobile

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Trimble, Katherine
Sent: 17 Mar 2019 21:46:23 +0000
To: OIG Audits Followup;Ochoa, Louis;Melara, Humberto
Cc: Johnson, Tammy
Subject: RE: OIG-18-08 (Cal Recycle) - CAP Update & ECD Extension Request
Attachments: RE: OIG-18-08 (Cal Recycle) - CAP Update & ECD Extension Request

Sender: katherine.trimble@oig.dhs.gov
Subject: RE: OIG-18-08 (Cal Recycle) - CAP Update & ECD Extension Request
Message-Id: <877D7206F41D7E4789799F1638A3FDA11D270507@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov
To: (b)(6)@oig.dhs.gov
To: (b)(6)@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: Trimble, Katherine
Sent: 17 Mar 2019 17:46:22 -0400
To: OIG Audits Followup;Ochoa, Louis;Melara, Humberto
Cc: Johnson, Tammy
Subject: RE: OIG-18-08 (Cal Recycle) - CAP Update & ECD Extension Request

Sorry, I don't recall if we discussed. Humberto and Louis: have/will you provide me input?

Thanks,

Katherine

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Thursday, March 07, 2019 12:50 PM
To: Ochoa, Louis (b)(6)@oig.dhs.gov>; Melara, Humberto (b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy (b)(6)@oig.dhs.gov>; Trimble, Katherine (b)(6)@oig.dhs.gov>
Subject: FW: OIG-18-08 (Cal Recycle) - CAP Update & ECD Extension Request

For your Action.

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)981 (b)(6) phone
(202)427 (b)(6) mobile

From: (b)(6)@fema.dhs.gov>
Sent: Wednesday, March 06, 2019 11:44 AM
To: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Cc: (b)(6)@fema.dhs.gov>; (b)(6)@fema.dhs.gov>;
Li (b)(6)@fema.dhs.gov>; (b)(6)
(b)(6)@fema.dhs.gov>; (b)(6)@fema.dhs.gov>;
(b)(6)@fema.dhs.gov>; (b)(6)@fema.dhs.gov>;
(b)(6)@fema.dhs.gov>; (b)(6)@fema.dhs.gov>; (b)(6)
(b)(6)@fema.dhs.gov>; (b)(6)@fema.dhs.gov>; (b)(6)
(b)(6)@fema.dhs.gov>; FEMA-GAO-OIG-LIAISON <FEMA-GAO-OIG-LIAISON@fema.dhs.gov>;
Melara, Humberto (b)(6)@oig.dhs.gov>
Subject: OIG-18-08 (Cal Recycle) - CAP Update & ECD Extension Request

Good morning,

Please find the attached memorandum from FEMA Region IX Regional Administrator, Robert Fenton, providing a status update and ECD extension request for OIG report OIG-18-08 (*FEMA and California Need to Assist CalRecycle, a California State Agency, to Improve Its Accounting of \$230 Million in Disaster Costs*) attached.

Thank you,

(b)(6)

Office of the Regional Administrator
FEMA Region IX

Cell: (202) 322 (b)(6)

(b)(6) [@fema.dhs.gov](mailto:(b)(6)@fema.dhs.gov)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Melara, Humberto
Sent: 17 Mar 2019 23:56:41 +0000
To: Trimble, Katherine;OIG Audits Followup;Ochoa, Louis
Cc: Johnson, Tammy
Subject: RE: OIG-18-08 (Cal Recycle) - CAP Update & ECD Extension Request
Attachments: RE: OIG-18-08 (Cal Recycle) - CAP Update & ECD Extension Request

Sender: (b)(6)@oig.dhs.gov
Subject: RE: OIG-18-08 (Cal Recycle) - CAP Update & ECD Extension Request
Message-Id: <27D1E3D0DFA14149A1F937184984A3930129009CA8@D2ASEPREA009>
To: (b)(6)@oig.dhs.gov
To: OIGAuditsFollowup@oig.dhs.gov
To: (b)(6)@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: Melara, Humberto
Sent: 17 Mar 2019 19:56:40 -0400
To: Trimble, Katherine;OIG Audits Followup;Ochoa, Louis
Cc: Johnson, Tammy
Subject: RE: OIG-18-08 (Cal Recycle) - CAP Update & ECD Extension Request

Not yet – this is Louis' next priority.

*Humberto Melara, CPA
Director, Financial Acquisition and Emerging Threats
DHS-Office of Inspector General
510-637-(b)(6)*

From: Trimble, Katherine (b)(6)@oig.dhs.gov>
Sent: Sunday, March 17, 2019 2:46 PM
To: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>; Ochoa, Louis (b)(6)@oig.dhs.gov>; Melara, Humberto (b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy (b)(6)@oig.dhs.gov>
Subject: RE: OIG-18-08 (Cal Recycle) - CAP Update & ECD Extension Request

Sorry, I don't recall if we discussed. Humberto and Louis: have/will you provide me input?

Thanks,

Katherine

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Thursday, March 07, 2019 12:50 PM
To: Ochoa, Louis (b)(6)@oig.dhs.gov>; Melara, Humberto (b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy (b)(6)@oig.dhs.gov>; Trimble, Katherine (b)(6)@oig.dhs.gov>
Subject: FW: OIG-18-08 (Cal Recycle) - CAP Update & ECD Extension Request

For your Action.

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)981-(b)(6) phone
(202)427-(b)(6) mobile

From: (b)(6)@fema.dhs.gov>
Sent: Wednesday, March 06, 2019 11:44 AM
To: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Cc: (b)(6)@fema.dhs.gov>; (b)(6)@fema.dhs.gov>; (b)(6)@fema.dhs.gov>

(b)(6)@fema.dhs.gov>(b)(6)@fema.dhs.gov>;
(b)(6)@fema.dhs.gov>(b)(6)@fema.dhs.gov>;
(b)(6)@fema.dhs.gov>(b)(6)@fema.dhs.gov>(b)(6)
(b)(6)@fema.dhs.gov>(b)(6)@fema.dhs.gov>(b)(6)
(b)(6)@fema.dhs.gov>; FEMA-GAO-OIG-LIAISON <FEMA-GAO-OIG-LIAISON@fema.dhs.gov>;

Melara, Humberto (b)(6)@oig.dhs.gov>

Subject: OIG-18-08 (Cal Recycle) - CAP Update & ECD Extension Request

Good morning,

Please find the attached memorandum from FEMA Region IX Regional Administrator, Robert Fenton, providing a status update and ECD extension request for OIG report OIG-18-08 (*FEMA and California Need to Assist CalRecycle, a California State Agency, to Improve Its Accounting of \$230 Million in Disaster Costs*) attached.

Thank you,

(b)(6)
Office of the Regional Administrator
FEMA Region IX
Cell: (202) 32 (b)(6)
(b)(6)@fema.dhs.gov

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Conf Rainier (5061)
Sent: 18 Mar 2019 11:57:45 +0000
To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting
Attachments: Accepted: Monthly CA meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Monthly CA meeting
Message-Id: <CA70288242F3F2418B37EC055233BEF12AB552D7@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Conf Rainier (5061)
Sent: 18 Mar 2019 07:57:44 -0400
To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting

Your request was accepted.

Sent by Microsoft Exchange Server 2010

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Hamlin, Thomas
Sent: 18 Mar 2019 11:58:06 +0000
To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting
Attachments: Accepted: Monthly CA meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Monthly CA meeting
Message-Id: <0643AFC75F113B42BE67CEBE615AA39C0146BE04DD@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Hamlin, Thomas
Sent: 18 Mar 2019 07:58:05 -0400
To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Koch, Lindsey
Sent: 18 Mar 2019 12:00:14 +0000
To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting
Attachments: Accepted: Monthly CA meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Monthly CA meeting
Message-Id: <1E0D55278B77FF4A95CDB3FED77E21F10158B5C5E4@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Koch, Lindsey
Sent: 18 Mar 2019 08:00:13 -0400
To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Dolloson, Kevin
Sent: 18 Mar 2019 12:01:17 +0000
To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting
Attachments: Accepted: Monthly CA meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Monthly CA meeting
Message-Id: <6386C710-9405-4F74-9201-7AA37F040936@oig.dhs.gov>
To: OIGAuditsFollowup@oig.dhs.gov

From: Dolloson, Kevin
Sent: 18 Mar 2019 08:01:16 -0400
To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Mouton-Miller, Deborah
Sent: 18 Mar 2019 12:01:48 +0000
To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting
Attachments: Accepted: Monthly CA meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Monthly CA meeting
Message-Id: <4AFE6A2448DAF04C8ED3CF1544525A7E4EE76722@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Mouton-Miller, Deborah
Sent: 18 Mar 2019 08:01:47 -0400
To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of DeMarines, Jane
Sent: 18 Mar 2019 12:46:10 +0000
To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting
Attachments: Accepted: Monthly CA meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Monthly CA meeting
Message-Id: <DA3FF73C9AE89F41BD971324E423F7313F30907A@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: DeMarines, Jane
Sent: 18 Mar 2019 08:46:09 -0400
To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Mouton-Miller, Deborah
Sent: 18 Mar 2019 14:30:21 +0000
To: OIG Audits Followup
Cc: Herberger, Kelly
Subject: RE: Monthly CA meeting
Attachments: RE: Monthly CA meeting

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Monthly CA meeting
Message-Id: <4AFE6A2448DAF04C8ED3CF1544525A7E4EE76847@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov



From: Mouton-Miller, Deborah
Sent: 18 Mar 2019 10:30:20 -0400
To: OIG Audits Followup
Cc: Herberger, Kelly
Subject: RE: Monthly CA meeting

Hi Kelly,

I have a message meeting scheduled during this time.

Deborah Mouton-Miller, MAcc, MBA, CRMA, CFE, CICA

Email: (b)(6) [er@oig.dhs.gov](mailto:(b)(6)@oig.dhs.gov)

Atlanta Field Office - Mobile: 281-906 (b)(6);
(b)(7)(C)



What lies behind us and what lies ahead of us are tiny matters compared to what lies within us – Ralph Waldo Emerson

-----Original Appointment-----

From: OIG Audits Followup

Sent: Tuesday, November 06, 2018 12:22 PM

To: OIG Audits Followup; Dolloson, Kevin; Hamlin, Thomas; Johnson, Tammy; Herberger, Kelly; Koch, Lindsey; Viray, Lovella; DeMarines, Jane; Mouton-Miller, Deborah

Subject: Monthly CA meeting

When: Wednesday, March 20, 2019 10:00 AM-11:30 AM (UTC-05:00) Eastern Time (US & Canada).

Where: Conf Rainier (5061)

1-866-676

(b)(6)

Passcode:

(b)(6)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 18 Mar 2019 14:35:34 +0000
To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting
Attachments: Accepted: Monthly CA meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Monthly CA meeting
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF0129253BD3@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 18 Mar 2019 10:35:33 -0400
To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Dolloson, Kevin
Sent: 18 Mar 2019 17:39:18 +0000
To: OIG Audits Followup
Subject: Automatic reply: Monthly CA meeting
Attachments: Automatic reply: Monthly CA meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Monthly CA meeting
Message-Id: <67af665cd0ce4a72b1199ceeb0806687@D2ASEVPEMHU08.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Dolloson, Kevin
Sent: 18 Mar 2019 13:39:18 -0400
To: OIG Audits Followup
Subject: Automatic reply: Monthly CA meeting

I will be out of the office the afternoon of March 18, 2019 (Optometrist). As Acting Supervisory Communications Analyst (In Kelly Herberger's absence), I will check email periodically and respond as needed. I will return to my normal telework schedule after 4 p.m.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Conf Rainier (5061)
Sent: 18 Mar 2019 17:39:19 +0000
To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting
Attachments: Accepted: Monthly CA meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Monthly CA meeting
Message-Id: <CA70288242F3F2418B37EC055233BEF12AB55312@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Conf Rainier (5061)
Sent: 18 Mar 2019 13:39:18 -0400
To: OIG Audits Followup
Subject: Accepted: Monthly CA meeting

Your request was accepted.

Sent by Microsoft Exchange Server 2010

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 18 Mar 2019 19:47:04 +0000
To: OIG Audits Followup
Cc: Viray, Lovella; Bumgardner, Donald
Subject: RE: Announcement Memo for - DHS' Joint Task Forces (523420) -
Mandate - AUD
Attachments: RE: Announcement Memo for - DHS' Joint Task Forces (523420) -
Mandate - AUD

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Announcement Memo for - DHS' Joint Task Forces (523420) - Mandate - AUD
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012925403A@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: McCauley, Sondra
Sent: 18 Mar 2019 15:47:03 -0400
To: OIG Audits Followup
Cc: Viray, Lovella; Bumgardner, Donald
Subject: RE: Announcement Memo for - DHS' Joint Task Forces (523420) - Mandate - AUD
Attachments: DHS JTF Initiation memo - aig edits.docx

One edit.

S

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Monday, March 18, 2019 11:15 AM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: Announcement Memo for - DHS' Joint Task Forces (523420) - Mandate - AUD

Hi Sondra,

Attached for your review, is the announcement memo and design matrix for “*Assessing the Effectiveness of DHS' Joint Task Forces.*” Don approved both documents.

DAIGA: Don Bumgardner
Director: Shelley Howes

Tammy A. Johnson

Department of Homeland Security
Office of Inspector General
Office of Audits
Washington, DC
(202) 98 (b)(6)



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

MEMORANDUM FOR: Chad F. Wolf
Senior Official Performing the
Duties of Under Secretary
Office of Strategy, Policy, and Plans
Department of Homeland Security

FROM: John V. Kelly
Acting Inspector General

SUBJECT: *Assessing the Effectiveness of DHS' Joint Task Forces*

We are initiating an audit of the Department of Homeland Security's Joint Task Forces (JTF) in support of the Southern Border and Approaches Campaign Plan. This audit is a legislative mandate established by the *National Defense Authorization Act for Fiscal Year 2017* (Public Law 114-328), which was subsequently codified in 6 USC 348. Our objective is to determine the effectiveness of the JTFs and whether DHS has effectively managed and coordinated Department resources.

We will contact your office to arrange an entrance conference. During the meeting, we will provide further details on our objective and scope. We have tentatively scheduled fieldwork to begin in June 2019, and will conduct fieldwork in Washington DC; Portsmouth, VA; San Antonio, TX; and other locations as necessary.

Please call me with any questions, or your staff may contact Sondra McCauley, Assistant Inspector General for Audits at (202) 981-6000.

cc: Jim Crumpacker, Director, GAO/OIG Liaison Office
DHS Audit Liaison
CBP Audit Liaison
ICE Audit Liaison
USCG Audit Liaison

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Hicks, Carolyn
Sent: 19 Mar 2019 18:25:53 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)
Attachments: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <402060862D837648A2C6422376B1A9686481F203@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Hicks, Carolyn
Sent: 19 Mar 2019 14:25:52 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Jones, Cheryl
Sent: 19 Mar 2019 18:26:47 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)
Attachments: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <3F9046F3B4615E42B106E088FCA87C310158CCFB24@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Jones, Cheryl
Sent: 19 Mar 2019 14:26:46 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Kumunduros, Areti
Sent: 19 Mar 2019 18:27:59 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)
Attachments: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <BFD0A572FA66344FAE59A0A0D0CD7954B00A9437@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Kumunduros, Areti
Sent: 19 Mar 2019 14:27:58 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Cruz, Marc
Sent: 19 Mar 2019 18:30:46 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)
Attachments: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <AB47B4D7EDFC64408663DE4D1EFE4AF66E3F6F9C@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Cruz, Marc
Sent: 19 Mar 2019 14:30:46 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Koch, Lindsey
Sent: 19 Mar 2019 18:31:25 +0000
To: OIG Audits Followup
Subject: Declined: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)
Attachments: Declined: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Declined: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <1E0D55278B77FF4A95CDB3FED77E21F10158B5D453@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Koch, Lindsey
Sent: 19 Mar 2019 14:31:24 -0400
To: OIG Audits Followup
Subject: Declined: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Brewington, Quentien
Sent: 19 Mar 2019 18:40:07 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)
Attachments: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <06B3CED5-24B3-429B-B106-2AF56FC960D8@oig.dhs.gov>
To: OIGAuditsFollowup@oig.dhs.gov

From: Brewington, Quentien
Sent: 19 Mar 2019 14:40:06 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Duddy, Maureen
Sent: 19 Mar 2019 19:12:48 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)
Attachments: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <50D89E38EC43C74DBDD53DFCE5E901740129609A18@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Duddy, Maureen
Sent: 19 Mar 2019 15:12:47 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Albert, Duane
Sent: 19 Mar 2019 18:49:12 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)
Attachments: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <F381264DA7BE74449E3114DF849F126C0159330E3D@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Albert, Duane
Sent: 19 Mar 2019 14:49:10 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 19 Mar 2019 19:50:48 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)
Attachments: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF0129254FC0@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 19 Mar 2019 15:50:48 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Veppumthara, Abe
Sent: 19 Mar 2019 20:08:31 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)
Attachments: Accepted: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <5C4F65EFE6A1E345A7EEC9D1A92D56D1CB309992@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Veppumthara, Abe
Sent: 19 Mar 2019 16:08:31 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Adelman, Craig
Sent: 19 Mar 2019 20:14:04 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <EC76FDDB755FAD45A1DF85F10DE406F5016757D240@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Adelman, Craig
Sent: 19 Mar 2019 16:14:04 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of DeMarines, Jane
Sent: 19 Mar 2019 20:14:14 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <DA3FF73C9AE89F41BD971324E423F7313F30F25A@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: DeMarines, Jane
Sent: 19 Mar 2019 16:14:13 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Paul, Saajan
Sent: 19 Mar 2019 20:14:22 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <1454710F3BAEC948A4F8A453C57FE8AE4EFA03E2@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Paul, Saajan
Sent: 19 Mar 2019 16:14:21 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS's Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 19 Mar 2019 20:14:24 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF0129255063@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 19 Mar 2019 16:14:23 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Veppumthara, Abe
Sent: 19 Mar 2019 20:15:35 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <5C4F65EFE6A1E345A7EEC9D1A92D56D1CB309ED8@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Veppumthara, Abe
Sent: 19 Mar 2019 16:15:34 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Hamlin, Anna
Sent: 19 Mar 2019 20:17:09 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <75FC2A5BE971484AA2CA21A1D4883D8F0146E4D3D6@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Hamlin, Anna
Sent: 19 Mar 2019 16:17:08 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS's Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Lowell, Theresa
Sent: 19 Mar 2019 20:22:55 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <3EDC5800-C619-407F-82EF-4399299AA81E@oig.dhs.gov>
To: OIGAuditsFollowup@oig.dhs.gov

From: Lowell, Theresa
Sent: 19 Mar 2019 16:22:55 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS's Tracking of Detainees Audit
(18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of (b)(6)
Sent: 19 Mar 2019 20:55:22 +0000
To: Shiffer, John; Martell, Tatyana; OIG Audits Followup
Subject: 3-19-19 CBP Update to Rec 4 of OIG-16-51 – CBP Needs to Better Plan its Implementation of the DHS Prison Rape Elimination Act Regulations (PREA)
Attachments: 3-19-19 CBP Update to Rec 4 of OIG-16-51 – CBP Needs to Better Plan its Implementation of the DHS Prison Rape Elimination Act Regulations (PREA)

Sender: (b)(6)@CBP.DHS.GOV
Subject: 3-19-19 CBP Update to Rec 4 of OIG-16-51 – CBP Needs to Better Plan its Implementation of the DHS Prison Rape Elimination Act Regulations (PREA)
Message-Id:
<MN2PR09MB34690CF6F767270A6401A757BD400@MN2PR09MB3469.namprd09.prod.outlook.com>
Recipient: (b)(6)@oig.dhs.gov
Recipient: (b)(6)@oig.dhs.gov
Recipient: OIGAuditsFollowup@oig.dhs.gov

Requester's Name: Andrew Free

FOIA/PA NO.: 2019-IGFO-002096

3 PAGE(S)

are referred to CBP for processing
and direct response to the requester.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bernard, Kristen
Sent: 19 Mar 2019 21:35:03 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <282C1EEC5E52ED45BD0FDCAF40924BAC013E6375BC@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bernard, Kristen
Sent: 19 Mar 2019 17:35:02 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS's Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Herberger, Kelly
Sent: 20 Mar 2019 01:58:54 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <2D9E7A39C23A924B834D76D189463C480146D04C81@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Herberger, Kelly
Sent: 19 Mar 2019 21:58:53 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS's Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Herberger, Kelly
Sent: 20 Mar 2019 00:55:24 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for NFIP Severe Repetitive Loss Audit
Attachments: Accepted: Message Meeting for NFIP Severe Repetitive Loss Audit

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for NFIP Severe Repetitive Loss Audit
Message-Id: <2D9E7A39C23A924B834D76D189463C480146D04624@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Herberger, Kelly
Sent: 19 Mar 2019 20:55:23 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for NFIP Severe Repetitive Loss Audit

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Chaine, Washington
Sent: 20 Mar 2019 00:18:51 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <28EDA7BC-84EA-4F98-9999-067C340A32E3@oig.dhs.gov>
To: OIGAuditsFollowup@oig.dhs.gov

From: Chaine, Washington
Sent: 19 Mar 2019 20:18:50 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS's Tracking of Detainees Audit
(18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Browning, Christopher
Sent: 20 Mar 2019 10:33:16 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <4F03D4E613C5424F8E4881012070CEB40137378DFB@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Browning, Christopher
Sent: 20 Mar 2019 06:33:16 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bumgardner, Donald
Sent: 20 Mar 2019 13:59:15 +0000
To: OIG Audits Followup
Subject: Accepted: Message meeting Unity of Effort/Drug Technology - (18-074-AUD-DHS(a))
Attachments: Accepted: Message meeting Unity of Effort/Drug Technology - (18-074-AUD-DHS(a))

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message meeting Unity of Effort/Drug Technology - (18-074-AUD-DHS(a))
Message-Id: <07E720B58A82A64898CA5E2FBDE0D1130137343982@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bumgardner, Donald
Sent: 20 Mar 2019 09:59:15 -0400
To: OIG Audits Followup
Subject: Accepted: Message meeting Unity of Effort/Drug Technology - (18-074-AUD-DHS(a))

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 20 Mar 2019 17:29:01 +0000
To: OIG Audits Followup
Cc: Viray, Lovella;Duddy, Maureen
Subject: RE: For Review Draft Report PREPA with Cobra (AUD) 523053
addressing IG edits
Attachments: RE: For Review Draft Report PREPA with Cobra (AUD) 523053
addressing IG edits

Sender: (b)(6)@oig.dhs.gov
Subject: RE: For Review Draft Report PREPA with Cobra (AUD) 523053 addressing IG edits
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF0129256764@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: McCauley, Sondra
Sent: 20 Mar 2019 13:29:00 -0400
To: OIG Audits Followup
Cc: Viray, Lovella;Duddy, Maureen
Subject: RE: For Review Draft Report PREPA with Cobra (AUD) 523053 addressing IG edits
Attachments: PREPA Draft Report 3.20.19.docx

Discussed one minor edit with Carolyn. Otherwise, good to go forward for publication.

Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, March 20, 2019 9:37 AM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: RE: For Review Draft Report PREPA with Cobra (AUD) 523053 addressing IG edits

Hi Sondra,

For review is the draft short report *“FEMA’s Cost Eligibility Determination of Puerto Rico Electric Power Authority’s Contract with Cobra Acquisitions LLC.”* The team addressed the Acting IG's follow up comments and he has already approved the revisions via hardcopy in the red folder. The areas changed are highlighted. Thanks.

DAIGA: Moe Duddy
Director: Carolyn Hicks

Tammy

From: McCauley, Sondra (b)(6)@oig.dhs.gov>
Sent: Wednesday, March 13, 2019 11:36 AM
To: Duddy, Maureen (b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy (b)(6)@oig.dhs.gov>; Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: FW: For Review Draft Report PREPA with Cobra (AUD) 523053 addressing IG edits
Importance: High

I reviewed the edits and they look fine. The team can send this forward to the Acting IG and hopefully this will address his comments.

Thanks,
Sondra

From: Viray, Lovella <(b)(6)@oig.dhs.gov>
Sent: Wednesday, March 13, 2019 11:06 AM
To: McCauley, Sondra <(b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy <(b)(6)@oig.dhs.gov>
Subject: For Review Draft Report PREPA with Cobra (AUD) 523053 addressing IG edits
Importance: High

Hi Sondra, for review is the draft short report ***"FEMA's Cost Eligibility Determination of Puerto Rico Electric Power Authority's Contract with Cobra Acquisitions LLC."*** The team addressed the Acting IG's comments. Thanks.

DAIGA: Moe Duddy
Director: Carolyn Hicks



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DHS OIG HIGHLIGHTS

FEMA's Cost Eligibility Determination of Puerto Rico Electric Power Authority's Contract with Cobra Acquisitions LLC

March XX, 2019

Why We Did This Audit

This interim report is part of an ongoing audit to determine whether FEMA's PA grants to Puerto Rico Electric Power Authority (PREPA) and PREPA's contracts with Whitefish Energy Holdings LLC and Cobra comply with Federal laws and regulations and PA program guidelines. This report is limited to our review of FEMA's determination of whether Cobra contract costs were eligible for a PA grant.

What We Recommend

We made one recommendation to address issues specific to FEMA's cost eligibility determination of Cobra contract costs.

For Further Information:

Contact our Office of Public Affairs at (202) 981-6000, or email us at DHS-OIG.OfficePublicAffairs@oig.dhs.gov

What We Found

The Federal Emergency Management Agency's (FEMA) cost eligibility determination of Cobra Acquisitions LLC (Cobra) contract costs was not sound and lacked supporting documentation. Following Hurricane Maria in 2017, Puerto Rico Electric Power Authority (PREPA) entered into a 12-month contract with Cobra to provide storm restoration services. According to FEMA's *Public Assistance Program and Policy Guide*, one of the four key elements of eligibility for Public Assistance (PA) funding is the cost claimed by an applicant. To be eligible for PA funding, costs must be necessary and reasonable to accomplish the work properly and efficiently. FEMA's cost eligibility determination was not comprehensive because it did not evaluate the reasonableness of the actual time and materials costs and because its analysis of the contract rates for labor, equipment, and other costs was not always logical, complete, and supported. In addition, FEMA did not consider compliance with contract terms in its review of Cobra contract costs to transport equipment and people to Puerto Rico. As a result, FEMA approved a PA grant and reimbursed millions of dollars for Cobra contract costs based on an unsound cost eligibility determination.

FEMA Response

To be added.



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OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

MEMORANDUM FOR: Michael Byrne
Federal Coordinating Officer/Federal Disaster Recovery
Coordinator
FEMA DR-4339-PR
Federal Emergency Management Agency

FROM: Sondra F. McCauley
Assistant Inspector General for Audits

SUBJECT: *FEMA's Cost Eligibility Determination of Puerto Rico Electric
Power Authority's Contract with Cobra Acquisitions LLC – For
Official Use Only*

For your action is our draft interim report, *FEMA's Cost Eligibility Determination of Puerto Rico Electric Power Authority's Contract with Cobra Acquisitions LLC – For Official Use Only*. As part of our ongoing audit, this interim report notifies you of issues specific to FEMA's determination of the eligibility of Cobra contract costs for the Public Assistance grant program.

Please provide formal comments on the draft report. Include specific responses to each recommendation, indicating your agreement or disagreement. As prescribed by *Department of Homeland Security Directive 077-01, Follow-Up and Resolution for Office of Inspector General Report Recommendations*, we must receive your comments within 30 days to include them in the final report.

Please advise us of any concerns you have about publicly releasing any information contained in the report. Clearly identify the information you wish us to redact, and the justification for withholding the information from the public. Additionally, you must approve all redactions.

Please email a signed PDF copy of your comments to OIGITAuditsFollowup@oig.dhs.gov. We will post the final report on our website, including your formal comments as an appendix to the report.

Please call me with any questions at (202) 981-6000, or your staff may contact Maureen Duddy, Deputy Assistant Inspector General for Audits, at (617) 565-8723.



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Department of Homeland Security

Background

Hurricane Maria made landfall in Puerto Rico on September 20, 2017. The storm cut off all electricity across the island. On the same day, the President declared a major disaster and made Federal assistance available to Puerto Rico.

Puerto Rico Electric Power Authority (PREPA) is the sole provider of electricity in Puerto Rico. PREPA entered into a 12-month contract with Cobra Acquisitions LLC (Cobra) on October 19, 2017, to provide storm restoration services. The parties agreed that Cobra would perform work on a time and materials basis at the rates Cobra quoted and the total amount paid would not exceed \$200 million.

The Cobra contract included the following rates:

- A 250-lineman crew, including labor and equipment, at a daily blended rate¹ of \$4,000 per skilled lineman per day;
- A 550-person camp, including meals, lodging, power, and laundry, at a daily rate of \$155,000;
- A 104-person security team at \$2,000 per person per day;
- A 50-person logistics team at \$2,500 per person per day; and
- A 30-person management, operations, and safety team at \$2,500 per person per day.

In addition to the blended daily rate of \$4,000 per skilled lineman, Cobra allocated the costs of its 550-person camp, as well as its security, logistics, and management teams, to the daily rate. These costs vary from \$1,200 to \$1,600 per lineman per day, based on the number of personnel Cobra has on its roster.

PREPA submitted an application in December 2017 for Federal assistance under the Public Assistance (PA) grant program to pay for Cobra contract costs. PREPA also requested the Federal Emergency Management Agency (FEMA) to review the Cobra contract and rates.

According to FEMA, under the exigent circumstances after Hurricane Maria, PREPA awarded the Cobra contract in compliance with the emergency procurement provisions of the Commonwealth of Puerto Rico and Executive Orders issued because of the disaster. FEMA conducted an analysis of Cobra contract rates and determined the costs under the contract to be reasonable and eligible for funding under the PA program. In its analysis, FEMA compared the labor and equipment rates in the Cobra contract to the rates in two other proposals PREPA considered before selecting Cobra. In a December 2017 Eligibility Determination Memorandum, FEMA concluded that Cobra contract costs were reasonable and eligible for PA funding. FEMA approved a project worksheet, PW 251, on December 29, 2017, to fully reimburse PREPA for the Cobra contract of \$200 million.

Comment [HC]: Revision to add context to the actions FEMA took in conducting analysis of cost reasonableness. Note: There was no High Risk Applicant declaration for PR/PREPA. Information added here is from a letter from FCO to GAR PR. (SEE MEMO in red folder.)

¹ Cobra quoted a daily blended rate per lineman for labor and equipment unlike two other proposals PREPA considered before selecting Cobra that quoted hourly labor rates by position and hourly equipment rates by asset.
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PREPA and Cobra amended the Cobra contract on January 28, 2018, to raise the contract amount from \$200 million to \$445 million. The parties amended the contract again on February 27, 2018, further raising the contract amount to \$945 million. FEMA subsequently amended PW 251 to approve \$945 million in PA funds for the Cobra contract. As of October 2018, FEMA had reimbursed PREPA more than \$800 million for Cobra costs.

FEMA's Cost Eligibility Determination Was Unsound and Unsupported

FEMA's cost eligibility determination was not sound for several reasons. First, FEMA did not evaluate the reasonableness of the actual time and materials (T&M) costs incurred under the contract. Second, FEMA improperly analyzed the cost reasonableness of the contract rates for labor. Third, FEMA's cost-reasonableness analysis of Cobra's equipment rates was incomplete and unsupported. Fourth, FEMA did not fully analyze the reasonableness of additional costs, such as Cobra's costs for helicopters, fuel, security and logistics. Finally, FEMA did not consider compliance with contract terms in its review of Cobra's costs to transport equipment and people to Puerto Rico.

According to FEMA's *Public Assistance Program and Policy Guide*, one of the four key elements of eligibility for PA funding is the cost claimed by an applicant. To be eligible for PA funding, costs must be necessary and reasonable to accomplish the work properly and efficiently. The guide further states that "[a] cost is reasonable if, in its nature and amount, it does not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the [a]pplicant makes the decision to incur the cost."² Factors FEMA considers in a cost-reasonableness determination include:

- whether the cost is a type recognized as ordinary and necessary for the type of work;
- current market pricing based on historical documentation, average costs in the area, or published costs from national cost estimating databases;
- factors causing escalation of costs, such as shortages or project-specific complexities;
- exigent circumstances; and
- compliance with procurement requirements.

The applicant—PREPA, in this case—is responsible for providing documentation showing that claimed costs are reasonable.

FEMA Did Not Assess the Reasonableness of the Actual Time and Materials Costs

FEMA determined that Cobra contract costs were eligible for PA grant without assessing the reasonableness of the actual T&M costs incurred. The Cobra contract is a T&M contract with a blended daily rate. Although more than \$170 million in costs had been incurred under the contract at the time of its cost eligibility determination, FEMA did not assess the actual costs for reasonableness before determining the eligibility.

² FEMA, *FEMA Public Assistance Program and Policy Guide*, FP-104-009-2, January 2018, p. 23.
www.oig.dhs.gov



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FEMA generally discourages the use of T&M contracts and requires applicants to provide a high degree of oversight over T&M contracts to ensure efficient methods and effective cost controls. However, FEMA did not assess the level of oversight the applicant provided over the Cobra contract prior to determining cost reasonableness.

FEMA's Analysis of Contract Labor Rates Was Unsound

FEMA's cost-reasonableness analysis of Cobra labor rates was not sound. FEMA extracted an average hourly labor rate from the Cobra blended rate and calculated an average hourly labor rate for the other two proposals to perform a direct comparison of the rates. This calculation and comparison of hourly labor rates was unsound for the following reasons:

1. FEMA did not use any documented support to verify the accuracy of the daily labor cost or hours used to calculate Cobra's hourly rate.
2. FEMA may have underestimated Cobra's labor rate. When calculating Cobra's labor rate from the daily rate, FEMA assumed that Cobra's linemen would work 2 hours more than what Cobra and PREPA may have agreed to in the contract.
3. FEMA's calculation of the hourly labor rates for the two other proposals may not be accurate. FEMA averaged the hourly rates for 13 different job positions in the proposals, ranging from general foreman to step one apprentice. These positions are likely not represented equally on the ground, and the labor rates vary among the positions. Straight averages of the rates without considering the likely number and mix of positions on the ground may not reflect the actual average labor rates.
4. FEMA added a 15 percent increase to the hourly labor rates for the other two proposals for cost associated with doing business in Puerto Rico, but did not do the same to Cobra's rates.

FEMA's Analysis of Equipment Rates Was Incomplete and Unsupported

FEMA's cost-reasonableness analysis of Cobra's equipment rates was incomplete and unsupported. FEMA attempted to calculate hourly costs of Cobra's equipment and compare them with rates of similar equipment in one of the other two proposals. We identified the following issues:

1. Cobra's daily rate included 17 types of equipment listed in its contract. FEMA compared only 6 of the 17 types of equipment with the other proposals before concluding that the Cobra rates were significantly lower.
2. FEMA did not assess the accuracy or reliability of the equipment cost data Cobra provided for the calculation of hourly rates.

FEMA's Analysis of Additional Costs Was Incomplete



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FEMA did not analyze part of the daily blended rate, which includes costs for helicopters, fuel, office space, and gross margin. Additionally, FEMA did not assess the reasonableness of additional costs Cobra charges for the 550-person camp, as well as for the security, logistics, and management teams. Such costs varied from \$1,200 to \$1,600 per lineman per day, in addition to the \$4,000 blended daily rate.

FEMA Did Not Consider Compliance with Contract Terms

In its review of Cobra's mobilization costs (costs of transporting equipment and people to Puerto Rico), FEMA did not consider compliance with contract terms. Consistent with relevant provisions of the Code of Federal Regulations³, the contract required Cobra to invoice mobilization costs on a cost reimbursement basis (i.e., based on actual costs) rather than at the daily blended rate. However, Cobra charged PREPA a daily rate of \$1.5 million, which represents the blended daily rate for 250 linemen and logistics, and totaled more than \$14 million. FEMA fully reimbursed PREPA for these mobilization costs.

Conclusion

FEMA determined that Cobra contract costs were reasonable and eligible for PA grant without assessing the actual costs incurred under the contract. Additionally, FEMA used PA funds to reimburse PREPA millions of dollars for Cobra contract costs based on an unsound cost eligibility determination. Using the same methodology when analyzing future contracts could lead to more such reimbursements. FEMA's approval of blended daily rates in the Cobra contract may also lead to future requests for proposal and contracts that use blended rates instead of a more transparent rate structure.

Recommendation

Recommendation 1: We recommend the Federal Coordinating Officer for FEMA-4339-PR conduct a comprehensive analysis of Cobra contract costs in accordance with PA grant guidelines and disallow any costs that are not reasonable.

Management Comments and OIG Analysis

To be added.

Comment [HC]: As you and I discussed, added sentence here that cost reasonableness not based on actual costs.

³ See 2 C.F.R. § 200.473 and 200.474(a).
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Objective, Scope, and Methodology

This interim report is part of an ongoing audit to determine whether FEMA's PA grants to PREPA and PREPA's contracts with Whitefish Energy Holdings LLC and Cobra complied with Federal laws and regulations and PA program guidelines. This report is limited to our review of FEMA's determination of whether Cobra contract costs were eligible for a PA grant.

We reviewed FEMA's efforts to determine the cost eligibility of Cobra contract for PW 251. To assess FEMA's methodology, we reviewed FEMA's Eligibility Determination Memorandum, supporting analyses and documents, Federal laws concerning the PA program, and FEMA's *Public Assistance Policy and Program Guide*. We also interviewed FEMA officials who conducted the analyses and approved the Cost Eligibility Determination Memorandum. We did not assess the Cobra contract rates for reasonableness.

We reviewed FEMA's cost eligibility determination for its PA grant to PREPA, PW 251, between January 2018 and November 2018 pursuant to the *Inspector General Act of 1978*, as amended, and according to generally accepted government auditing standards, except for fully developing all elements of a finding necessary to address the original audit objective. Government auditing standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based upon our audit objectives. We will issue an audit report addressing the audit objective at the conclusion of the audit.

The Office of Audits major contributors to this report include Carolyn Hicks, Director; Johnson Joseph, Audit Manager; Eddie Jones, Lead Auditor; David Porter, Auditor; Victor Leung, Program Analyst; Jose Torres, Program Analyst; Lindsey Koch, Communications Analyst; and Corinn King, Independent Reference Reviewer.



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Appendix A
FEMA Comments to the Draft Report

To be added.

DRAFT



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Appendix B
Report Distribution

Department of Homeland Security

Secretary
Deputy Secretary
Chief of Staff
General Counsel
Executive Secretary
Director, GAO/OIG Liaison Office
Assistant Secretary for Office of Policy
Assistant Secretary for Office of Public Affairs
Assistant Secretary for Office of Legislative Affairs

Federal Emergency Management Agency

Administrator
Chief of Staff
Audit Liaison, FEMA

Office of Management and Budget

Chief, Homeland Security Branch
DHS OIG Budget Examiner

Congress

Congressional Oversight and Appropriations Committees

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 20 Mar 2019 17:06:04 +0000
To: OIG Audits Followup
Cc: Viray, Lovella; Trimble, Katherine
Subject: RE: Final Report for Review - FEMA TSA Program (523340)
Attachments: RE: Final Report for Review - FEMA TSA Program (523340)

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Final Report for Review - FEMA TSA Program (523340)
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012925673B@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: McCauley, Sondra
Sent: 20 Mar 2019 13:06:02 -0400
To: OIG Audits Followup
Cc: Viray, Lovella; Trimble, Katherine
Subject: RE: Final Report for Review - FEMA TSA Program (523340)
Attachments: FEMA's Transitional Sheltering Assistance Program FINAL Report - AIG edits 3-20-19.docx
Importance: High

I will discuss my revision with Katherine within the hour. Once we are agreed, we can send this to the team for cleanup.

Thanks,
Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, March 20, 2019 8:40 AM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov>; Trimble, Katherine (b)(6)@oig.dhs.gov>
Subject: RE: Final Report for Review - FEMA TSA Program (523340)

Hi Sondra,

Returned for your review, is the Final report titled “*Additional Controls Needed to Better Manage FEMA’s Transitional Sheltering Assistance Program.*” The team together with Katherine have accepted your edits and addressed your comment to the Final report.

Tammy

From: McCauley, Sondra (b)(6)@oig.dhs.gov>
Sent: Friday, March 15, 2019 12:09 PM
To: Viray, Lovella (b)(6)@oig.dhs.gov>; Trimble, Katherine (b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy (b)(6)@oig.dhs.gov>
Subject: RE: Final Report for Review - FEMA TSA Program (523340)

Here ya go.

S

From: Viray, Lovella <(b)(6)@oig.dhs.gov>
Sent: Monday, March 11, 2019 9:34 AM
To: McCauley, Sondra <(b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy <(b)(6)@oig.dhs.gov>
Subject: Final Report for Review - FEMA TSA Program (523340)

Hi Sondra, attached for your review is the Final report titled “*Additional Controls Needed to Better Manage FEMA’s Transitional Sheltering Assistance Program.*” Deborah & Katherine reviewed and cleared the report. Thank you.

Director: Yesi Starinsky
Audit Manager: Drew Smith
CA: Deborah Mouton-Miller

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)981-(b)(6) phone
(202)427-(b)(6) mobile



OIG HIGHLIGHTS

Controls Needed to Better Manage Transitional Sheltering Assistance Program

March XX, 2019

Why We Did This Audit

This interim report is part of an ongoing audit to determine the extent FEMA is meeting disaster survivors' transitional shelter needs after the California wildfires and Hurricanes Harvey, Irma, and Maria in 2017.

What We Recommend

This report contains no recommendations.

For Further Information:

Contact our Office of Public Affairs at (202) 981-6000, or email us at DHS-OIG.OfficePublicAffairs@oig.dhs.gov

What We Found

During our ongoing audit of the Federal Emergency Management Agency (FEMA) Transitional Sheltering Assistance (TSA) program, we determined that FEMA does not require disaster survivors to notify the agency when they vacate hotels participating in the TSA program, thus allowing the hotels to continue to bill FEMA for unoccupied rooms. Because FEMA is unaware when disaster survivors vacate the hotels, the agency does not know the magnitude of unnecessary hotel charges. Consequently, FEMA could not account for associated TSA payments it may have paid since August 2017, related to the 2017 hurricane season and California wildfires.

Additionally, FEMA does not include its *Office of the Chief Security Officer Tip Line* information on the *TSA Terms and Conditions* form used by hotels and disaster survivors; nor does it require hotels to provide disaster survivors with copies of the completed forms.

Unless FEMA requires notification of hotel departures, it increases its risks of paying for unoccupied hotel rooms. These issues may affect FEMA's ability to detect potential fraud, waste, and abuse of TSA program funds, as well as survivors' ability to comply with TSA requirements.

FEMA Response

FEMA agreed with our initial findings and has initiated actions to improve the TSA program.



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

MEMORANDUM FOR: Keith Turi
Assistant Administrator, Recovery
Federal Emergency Management Agency

FROM: Sondra F. McCauley
Assistant Inspector General for Audits

SUBJECT: *Additional Controls Needed to Better Manage FEMA's
Transitional Sheltering Assistance Program*

For your action is our interim report, *Additional Controls Needed to Better Manage FEMA's Transitional Sheltering Assistance Program*. Because our full audit remains ongoing, we are not making recommendations in this interim report, but we identify actions the Federal Emergency Management Agency (FEMA) can take to strengthen internal controls to prevent and better detect potential fraud, waste, and abuse. We considered FEMA's technical comments and incorporated the formal comments in this final interim report.

Consistent with our responsibility under the *Inspector General Act*, we will provide copies of our interim report to congressional committees with oversight and appropriation responsibility over the Department of Homeland Security. We will post this final interim report on our website for public dissemination.

Please call me with any questions, or your staff may contact Katherine Trimble, Deputy Assistant Inspector General for Audits, at (202) 981-6000.



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Background

Under the Transitional Shelter Assistance (TSA) program, the Federal Emergency Management Agency (FEMA) provides transitional sheltering in hotels for individuals displaced by emergencies or major disasters. Providing hotel lodging reduces the number of disaster survivors housed in congregate shelters.¹ Once FEMA notifies disaster survivors of their eligibility for the TSA program, the survivors are responsible for locating available rooms at participating hotels.

To verify applicant eligibility, FEMA requires hotel personnel and disaster survivors to complete *TSA Terms and Conditions* forms with the survivors' information, FEMA registration number, check-in date, signature, and date acknowledging acceptance of TSA program requirements. Appendix B contains an example of a *TSA Terms and Conditions* form.

After Hurricanes Harvey, Irma, Maria, and the California wildfires in 2017, FEMA activated TSA programs in Texas, Florida, Puerto Rico, and California. Table 1 shows the number of households served through TSA programs by state or territory and associated costs.

Table 1. TSA Households and Total Expenditures from August 2017 to September 2018

State (Disaster Declaration Number)	Households	Total Estimated Expenditures
California (4344)	646	\$3,667,865
Florida (4337)	27,332	\$119,058,404
Puerto Rico (4336 & 4339)	7,024	\$105,586,586
Texas (4332)	54,640	\$414,181,611
Total	89,642	\$642,494,466

Source: FEMA TSA Expense Summary Sheets for listed disasters

FEMA Paid TSA Hotels for Unoccupied Rooms

Since August 2017, FEMA has paid hotels in the TSA program for an unknown number of unoccupied rooms. Internal controls over financial reporting should help safeguard assets from waste, loss, unauthorized use, or misappropriation, as well as ensure compliance with laws and regulations pertaining to financial reporting.² Although FEMA requires disaster survivors to sign and date *TSA Terms and Conditions* forms upon check-in, it does not require them to document or notify FEMA or hotel personnel when they check out of their rooms. Consequently, FEMA officials indicated it is difficult to know when disaster survivors leave the hotels and the dates of occupancy that are billable.

¹FEMA defines congregate shelters as any private or public facility that provides contingency congregate refuge to evacuees, but which day-to-day serves a non-refuge function, such as schools, stadiums, and churches (or church-sponsored residences or facilities).

²OMB Circular A-123, *Management's Responsibility for Enterprise Risk Management and Internal Control* (2016) – Reporting on Internal Controls, VI – Definition of Material Weakness, 41.



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FEMA provided five examples of disaster survivors leaving the TSA program since August 2017 without notifying hotel personnel or FEMA. Although the disaster survivors were no longer at the hotels, the hotels continued to bill FEMA for the rooms in all five cases. Our analysis showed that FEMA paid about \$4,700 in payments for 41 nights to TSA hotels for these unoccupied rooms. FEMA is uncertain about the magnitude of unnecessary payments related to the 2017 hurricane season and across all disasters since August 2017.

FEMA Does Not Provide Tip Line Information on its *TSA Terms and Conditions* Form

Our review of FEMA's *TSA Terms and Conditions* form revealed that FEMA did not provide disaster survivors and TSA hotels with the *Office of the Chief Security Officer Tip Line*³ information for reporting allegations of fraud, waste, and abuse. According to one TSA hotel manager in Kansas, local police used a warrant to execute a search of a disaster survivor's hotel room and uncovered evidence of fraud against FEMA and the TSA program. However, the hotel manager did not report the incident to FEMA because he was not aware of the requirement or the existence of the *Office of the Chief Security Officer Tip Line*. Further, FEMA does not require hotel personnel to provide disaster survivors with copies of their completed *TSA Terms and Conditions* forms, which may affect survivors' ability to comply with TSA requirements or timely report fraud, waste, and abuse.

According to the Government Accountability Office's *Fraud Framework*, reporting mechanisms such as hotlines can help managers detect instances of potential fraud and deter individuals from engaging in fraudulent behavior. FEMA's *Office of the Chief Security Officer Tip Line* is the primary mechanism for directly reporting allegations of fraud, waste, and abuse related to FEMA programs. DHS Management Directive 810.1 requires FEMA to promptly advise DHS-OIG of allegations of misconduct.

Until FEMA ensures disaster survivors receive copies of the *TSA Terms and Conditions* form with the FEMA information, disaster survivors may lack awareness on how to report potential fraud in FEMA programs. Unreported fraud can limit FEMA and the OIG's ability to prevent, detect, and deter fraud, waste, and abuse of Federal programs and funds.

Conclusion

FEMA could benefit by requiring, as a condition of the TSA program, that disaster survivors and hotel personnel immediately document or notify FEMA when disaster survivors vacate hotels. Inclusion of FEMA's *Office of the Chief Security Officer Tip Line* information on the *TSA Terms and Conditions* form could also ensure disaster survivors and hotels have the information needed to report allegations of waste, fraud, and abuse in the TSA program. Additionally, hotels should provide disaster survivors copies of their completed *TSA Terms and Conditions* forms.

³FEMA officials sometimes refer to the *Office of the Chief Security Officer Tip Line* as the FEMA Disaster Fraud Hotline.



OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

We are continuing our audit work in this area. We will include formal recommendations on these issues in our full audit ~~report~~.

Management Comments and OIG Analysis

FEMA provided written comments to our draft report. We included a copy of FEMA's management comments in their entirety in appendix A. We also received technical comments and revised our report as appropriate.

FEMA Management Comment: FEMA management noted that it has initiated changes to improve FEMA's ability to detect potential fraud, waste, and abuse of TSA funds. The changes include adding a fraud hotline number to the TSA Terms & Condition form and working with its contractor to encourage lodging providers to give a copy of the form disaster survivors at check in. Additionally, FEMA acknowledged that while it currently has no requirement for disaster survivors to notify FEMA when they vacate lodging, it monitors hotel check-in and check-out data provided by the lodging contractor.

OIG Response: We commend FEMA for adding a fraud hotline number to the Terms & Condition form to address one issue identified in this report ~~initiating actions to address the issues identified in this report~~. We also appreciate FEMA's efforts to monitor survivors' hotel check-in and check-out data provided by the lodging contractor to ensure they fall within TSA eligibility windows. However, monitoring the data alone will not suffice to alert FEMA of instances where survivors leave their rooms unoccupied before their eligibility periods expire, but do not check out with the hotels. As noted in our report, requiring that survivors notify FEMA of their departures may help ensure ~~FEMA is not alerted about unoccupied rooms. Unless FEMA requires disaster survivors in the TSA program to notify FEMA when they vacate hotel rooms,~~ FEMA does not continue to pay hotels for unoccupied rooms ~~(unnecessarily)~~. We will address in our full audit report FEMA's corrective actions in response to this interim report.

Comment [MS]: Part of sentence moved to below.

Comment [MS]: Revised for tone.

Comment [MS]: Are FEMA's actions to monitor hotel check-in check-out date adequate? We need to say so definitively. Otherwise, this sounds like a cautionary conclusion requiring a recommendation.

Comment [SY]: No, we do not believe FEMA's actions described in their response will satisfy the concern raised in this interim report. However, since this was an "interim" report we (DAIGA Omalley, AIGA, DIR) had decided not to issue a recommendations at this time. We plan to have formal recommendations on this matter in the final report at the conclusion of this audit.

Nonetheless, we have edited the OIG response to better explain the unresolved issue.

Objective, Scope, and Methodology

We are issuing this interim report as part of an ongoing audit to determine the extent to which FEMA is meeting transitional sheltering needs of disaster survivors of Hurricanes Harvey, Irma, and Maria and the California wildfires in 2017. To report on FEMA's TSA program we interviewed officials from FEMA's Fraud Prevention and Investigations Branch, Individual Assistance Division, Finance Center, and Program Support Branch. We interviewed executives from the TSA contract vendor, CLC Lodging. We reviewed and analyzed key internal FEMA documentation, including *Individuals and Households Program Unified Guidance* (September 2016), Fraud Prevention & Investigations Branch's *Draft 2016 TSA Program Review*, FEMA's operational draft *Transitional Sheltering Assistance Playbook/SOP* (2017), and FEMA's *TSA Terms and Conditions* forms used during 2017 for the TSA program.



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

The DHS Office of Inspector General (OIG) was established by the *Homeland Security Act of 2002* (Public Law 107-296) by amendment to the *Inspector General Act of 1978*. We performed this audit work in accordance with OIG policies and procedures and under the authority of the *Inspector General Act of 1978*. This report does not contain recommendations.

Office of Audits major contributors to this interim report are Yesi Starinsky, Director; Andrew Smith, Audit Manager; James Lloyd, Auditor-in-Charge; Lauren Bullis, Auditor; Stefanie Holloway, Auditor; Corinn King, Auditor; Jessica Makowski, Program Analyst; James Townsend, Program Analyst; Deborah Mouton-Miller, Communications Analyst; and John Skrmetti, Independent Referencer.



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

Appendix A FEMA Com

U.S. Department of Homeland Security
Washington, DC 20472



FEMA

January 31, 2019

MEMORANDUM FOR: John V. Kelly
Senior Official Performing the
Duties of the Inspector General

FROM: Joel Doolin *Joel A. Doolin*
Associate Administrator
Office of Policy and Program Analysis

SUBJECT: Management Response to OIG Draft Report: "Additional
Controls Needed to Better Manage FEMA's Transitional
Sheltering Assistance Program"
(Project No. OIG-18-078-AUD-FEMA)

Thank you for the opportunity to review and comment on this draft report. The Federal Emergency Management Agency (FEMA) appreciates the work of the Office of Inspector General (OIG) in planning and conducting its review and issuing this report.

When appropriate, FEMA provides Transitional Shelter Assistance (TSA) for a limited period of time to disaster survivors who are unable to return to their pre-disaster primary residence because their home is either uninhabitable or inaccessible due to a Presidentially-declared disaster. TSA is intended to reduce the number of survivors in congregate shelters by transitioning them into short-term accommodations through direct payments to lodging providers (i.e., hotels and motels). TSA is funded under Section 403 of the Stafford Act and is subject to a state cost-share. FEMA bases the amount of TSA on the maximum lodging rate plus taxes for a given locality, as determined by the General Services Administration. Survivors are responsible for all other costs associated with lodging and amenities, including, but not limited to incidental room charges or amenities, such as telephone, room service, or food.

We are pleased that the OIG is reviewing this important program and has shared initial conclusions related to its ongoing audit work with FEMA leadership, who has already begun to implement changes to improve FEMA's ability to detect potential fraud, waste, and abuse of TSA funds.

It is important to note that while no requirement currently exists for disaster survivors to notify FEMA when they vacate lodging, survivors are notified at time of initial eligibility

www.fema.gov



of a date until which they may be eligible for TSA. Survivors are also notified that any lodging costs beyond that date will be solely their responsibility. To monitor survivor participation in TSA, FEMA regularly receives updated checked in/checked out status information of each participating survivor from the lodging contractor. This information originates at point of lodging and is entered and updated into a mechanized system by personnel at participating lodging providers.

In addition, FEMA has updated the TSA Terms & Conditions (T&C) form to include Disaster Fraud Hotline information so participants more readily have the information available needed to report allegations of fraud, waste, and abuse in TSA. While presently there is no contractual requirement for lodging providers to provide a copy of the T&C form to disaster survivors, FEMA's Office of Response and Recovery has been working with CLC Lodging (the current TSA contract vendor) staff since Hurricane Florence in September 2018 to encourage lodging providers to provide a copy of the T&C document to the TSA survivors at check in and is in the process of determining how best to incorporate this requirement into future contracts.

FEMA is committed to supporting our citizens and first responders to ensure that as a nation we work together to build, sustain, and improve our capability to prepare for, protect against, respond to, recover from, and mitigate all hazards. We look forward to the receipt of OIG's final report and any formal recommendations on these issues once the ongoing audit is completed.

Again, thank you for the opportunity to review and comment on this draft report. Technical comments were previously provided under separate cover. Please feel free to contact me if you have any questions.

Appendix B

FEMA Terms and Conditions Form Example



OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

U.S. Department of Homeland Security FEDERAL EMERGENCY MANAGEMENT AGENCY Transitional Sheltering Assistance Terms and Conditions	
THIS PORTION SHOULD BE FILLED OUT BY THE GUEST & INITIALED BY LODGING PROVIDER (LP)	
Period of Assistance Ends: (November 29 2017) Checkout (November 30, 2017)	
<input type="checkbox"/> Initial Check-in:	Date: _____ LP INITIAL _____
OR	
<input type="checkbox"/> Extension Acknowledgement:	Date: _____ LP INITIAL _____
THIS PORTION SHOULD BE FILLED OUT BY THE LODGING PROVIDER HEAD OF HOUSEHOLD/APPLICANT INFORMATION	
Last Name: _____ First Name: _____	
Last 4 Digits of SSN: _____ FEMA Registration ID: _____ Date of Birth: _____	
Terms and Conditions for FEMA's Direct Payment of Hotel Lodging	
<ul style="list-style-type: none">• I understand that this Period of Assistance ends on November 29 2017.• I understand if I have been deemed eligible for rent from IHP, I will only be allowed to remain in Transitional Sheltering through the end of the period of assistance.• I understand that if I stay beyond checkout time on November 30, 2017, I will be responsible for any further costs.• FEMA will pay the nightly room rate and taxes for your hotel stay up to the approved GSA lodging rate for your lodging location. You will be responsible for any expense above the FEMA approved amount for your stay.• I understand that FEMA may terminate my hotel assistance prior to the date in this agreement without further notice if any of the following applies to me:<ul style="list-style-type: none">○ I have been informed that my home has been inspected and found safe to occupy;○ I advised FEMA that my home was not damaged as a result of the disaster;○ I have insurance to cover my additional living expenses for my temporary emergency shelter / housing needs;○ I advised FEMA that I am no longer in need of housing assistance;○ I have rejected all rental resources for temporary housing offered by FEMA;○ I advised FEMA that I do not intend to seek alternate housing;○ I have not responded to FEMA's attempts to contact me to discuss my case.• I understand that the Hotel/Motel is for my household's use only.• I understand that FEMA will only pay for the cost of the room and tax. All other amenities, including but not limited to, restaurant, club, room service, telephone, movie rental, Internet, laundry, dry cleaning, parking, and any other additional services or charges are my responsibility.• I understand that I will be notified by FEMA if my FEMA Direct Payment of Hotel Lodging is extended.	
If you have questions regarding this notice or about temporary housing assistance, please call the FEMA Helpline at 1-800-621-FEMA (3362); for people who are deaf, hard of hearing or with speech disabilities, the TTY is 1-800-462-7585. If FEMA has determined that you are not eligible for any further housing assistance, you should have already received a letter explaining why. You may appeal that decision in writing, following instructions in the letter.	
If you have any other disaster related questions, visit www.disasterassistance.gov and click on Apply for Assistance for more information or call the FEMA Helpline.	
*Applicant (GUEST) Signature _____	*Date _____

Updated 10/31/2017 DR-4339-PR

Appendix C

Report Distribution



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

Department of Homeland Security

Secretary
Deputy Secretary
Chief of Staff
General Counsel
Executive Secretary
Director, GAO/OIG Liaison Office
Assistant Secretary for Office of Policy
Assistant Secretary for Office of Public Affairs
Assistant Secretary for Office of Legislative Affairs
FEMA Audit Liaison

Office of Management and Budget

Chief, Homeland Security Branch
DHS OIG Budget Examiner

Congress

Congressional Oversight and Appropriations Committees

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Lowell, Theresa
Sent: 20 Mar 2019 19:47:59 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <1E7C2B137D952846975F326F9BD5DA7464B47868@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Lowell, Theresa
Sent: 20 Mar 2019 15:47:58 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Adelman, Craig
Sent: 20 Mar 2019 19:47:38 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <EC76FDDB755FAD45A1DF85F10DE406F5016758B31C@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Adelman, Craig
Sent: 20 Mar 2019 15:47:37 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS's Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of DeMarines, Jane
Sent: 20 Mar 2019 19:51:17 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <DA3FF73C9AE89F41BD971324E423F7313F312F54@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: DeMarines, Jane
Sent: 20 Mar 2019 15:51:17 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS's Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Hamlin, Anna
Sent: 20 Mar 2019 19:56:10 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <75FC2A5BE971484AA2CA21A1D4883D8F0146E50714@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Hamlin, Anna
Sent: 20 Mar 2019 15:56:09 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS's Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Browning, Christopher
Sent: 20 Mar 2019 19:54:58 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: [REDACTED]@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <E21D1C91-1BF0-46E7-B465-371210D49EE8@oig.dhs.gov>
To: OIGAuditsFollowup@oig.dhs.gov

From: Browning, Christopher
Sent: 20 Mar 2019 15:54:57 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS's Tracking of Detainees Audit
(18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bernard, Kristen
Sent: 20 Mar 2019 19:56:39 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6) d@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <282C1EEC5E52ED45BD0FDCAF40924BAC013E63C554@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bernard, Kristen
Sent: 20 Mar 2019 15:56:38 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Paul, Saajan
Sent: 20 Mar 2019 19:56:49 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

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Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <1454710F3BAEC948A4F8A453C57FE8AE4EFA1461@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Paul, Saajan
Sent: 20 Mar 2019 15:56:49 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS's Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Herberger, Kelly
Sent: 20 Mar 2019 19:58:17 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
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To: OIGAuditsFollowup@oig.dhs.gov

From: Herberger, Kelly
Sent: 20 Mar 2019 15:58:16 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Chaine, Washington
Sent: 20 Mar 2019 20:49:14 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sende (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <5915710E8FD33148981AD57A63B8713C3EBC58C5@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Chaine, Washington
Sent: 20 Mar 2019 16:49:13 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 20 Mar 2019 20:55:43 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF0129256B3B@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 20 Mar 2019 16:55:42 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Veppumthara, Abe
Sent: 21 Mar 2019 12:44:00 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <5C4F65EFE6A1E345A7EEC9D1A92D56D1CB30DC0E@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Veppumthara, Abe
Sent: 21 Mar 2019 08:43:59 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS's Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 21 Mar 2019 14:39:37 +0000
To: OIG Audits Followup
Cc: Viray, Lovella; Trimble, Katherine
Subject: RE: Final Report for Review - FEMA TSA Program (523340)
Attachments: RE: Final Report for Review - FEMA TSA Program (523340)

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Final Report for Review - FEMA TSA Program (523340)
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF0129257141@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: McCauley, Sondra
Sent: 21 Mar 2019 10:39:35 -0400
To: OIG Audits Followup
Cc: Viray, Lovella; Trimble, Katherine
Subject: RE: Final Report for Review - FEMA TSA Program (523340)
Attachments: FEMA's Transitional Sheltering Assistance Program FINAL Report - AIG edits 3-20-19.docx

Just discussed this with Katherine. Good to go. Have the team clean this up and move it forward.

Thx
S

From: McCauley, Sondra
Sent: Wednesday, March 20, 2019 1:06 PM
To: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov; Trimble, Katherine (b)(6)@oig.dhs.gov
Subject: RE: Final Report for Review - FEMA TSA Program (523340)
Importance: High

I will discuss my revision with Katherine within the hour. Once we are agreed, we can send this to the team for cleanup.

Thanks,
Sondra

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Wednesday, March 20, 2019 8:40 AM
To: McCauley, Sondra (b)(6)@oig.dhs.gov
Cc: Viray, Lovella (b)(6)@oig.dhs.gov; Trimble, Katherine (b)(6)@oig.dhs.gov
Subject: RE: Final Report for Review - FEMA TSA Program (523340)

Hi Sondra,

Returned for your review, is the Final report titled “*Additional Controls Needed to Better Manage FEMA’s Transitional Sheltering Assistance Program.*” The team together with Katherine have accepted your edits and addressed your comment to the Final report.

Tammy

From: McCauley, Sondra (b)(6)@oig.dhs.gov>
Sent: Friday, March 15, 2019 12:09 PM
To: Viray, Lovella (b)(6)@oig.dhs.gov>; Trimble, Katherine (b)(6)@oig.dhs.gov>
Cc: Johnson, Tammy <(b)(6)@oig.dhs.gov>
Subject: RE: Final Report for Review - FEMA TSA Program (523340)

Here ya go.

S

From: Viray, Lovella (b)(6)@oig.dhs.gov>
Sent: Monday, March 11, 2019 9:34 AM
To: McCauley, Sondra (b)(6)y@oig.dhs.gov>
Cc: Johnson, Tammy <(b)(6)@oig.dhs.gov>
Subject: Final Report for Review - FEMA TSA Program (523340)

Hi Sondra, attached for your review is the Final report titled “*Additional Controls Needed to Better Manage FEMA’s Transitional Sheltering Assistance Program.*” Deborah & Katherine reviewed and cleared the report. Thank you.

Director: Yesi Starinsky
Audit Manager: Drew Smith
CA: Deborah Mouton-Miller

Lovella L. Viray
DHS-OIG Audits
Washington, DC
(202)98 (b)(6) hone
(202)42 (b)(6) nobile



OIG HIGHLIGHTS

Controls Needed to Better Manage Transitional Sheltering Assistance Program

March XX, 2019

Why We Did This Audit

This interim report is part of an ongoing audit to determine the extent FEMA is meeting disaster survivors' transitional shelter needs after the California wildfires and Hurricanes Harvey, Irma, and Maria in 2017.

What We Recommend

This report contains no recommendations.

For Further Information:

Contact our Office of Public Affairs at (202) 981-6000, or email us at DHS-OIG.OfficePublicAffairs@oig.dhs.gov

What We Found

During our ongoing audit of the Federal Emergency Management Agency (FEMA) Transitional Sheltering Assistance (TSA) program, we determined that FEMA does not require disaster survivors to notify the agency when they vacate hotels participating in the TSA program, thus allowing the hotels to continue to bill FEMA for unoccupied rooms. Because FEMA is unaware when disaster survivors vacate the hotels, the agency does not know the magnitude of unnecessary hotel charges. Consequently, FEMA could not account for associated TSA payments it may have paid since August 2017, related to the 2017 hurricane season and California wildfires.

Additionally, FEMA does not include its *Office of the Chief Security Officer Tip Line* information on the *TSA Terms and Conditions* form used by hotels and disaster survivors; nor does it require hotels to provide disaster survivors with copies of the completed forms.

Unless FEMA requires notification of hotel departures, it increases its risks of paying for unoccupied hotel rooms. These issues may affect FEMA's ability to detect potential fraud, waste, and abuse of TSA program funds, as well as survivors' ability to comply with TSA requirements.

FEMA Response

FEMA agreed with our initial findings and has initiated actions to improve the TSA program.



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

MEMORANDUM FOR: Keith Turi
Assistant Administrator, Recovery
Federal Emergency Management Agency

FROM: Sondra F. McCauley
Assistant Inspector General for Audits

SUBJECT: *Additional Controls Needed to Better Manage FEMA's
Transitional Sheltering Assistance Program*

For your action is our interim report, *Additional Controls Needed to Better Manage FEMA's Transitional Sheltering Assistance Program*. Because our full audit remains ongoing, we are not making recommendations in this interim report, but we identify actions the Federal Emergency Management Agency (FEMA) can take to strengthen internal controls to prevent and better detect potential fraud, waste, and abuse. We considered FEMA's technical comments and incorporated the formal comments in this final interim report.

Consistent with our responsibility under the *Inspector General Act*, we will provide copies of our interim report to congressional committees with oversight and appropriation responsibility over the Department of Homeland Security. We will post this final interim report on our website for public dissemination.

Please call me with any questions, or your staff may contact Katherine Trimble, Deputy Assistant Inspector General for Audits, at (202) 981-6000.



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Department of Homeland Security

Background

Under the Transitional Shelter Assistance (TSA) program, the Federal Emergency Management Agency (FEMA) provides transitional sheltering in hotels for individuals displaced by emergencies or major disasters. Providing hotel lodging reduces the number of disaster survivors housed in congregate shelters.¹ Once FEMA notifies disaster survivors of their eligibility for the TSA program, the survivors are responsible for locating available rooms at participating hotels.

To verify applicant eligibility, FEMA requires hotel personnel and disaster survivors to complete *TSA Terms and Conditions* forms with the survivors' information, FEMA registration number, check-in date, signature, and date acknowledging acceptance of TSA program requirements. Appendix B contains an example of a *TSA Terms and Conditions* form.

After Hurricanes Harvey, Irma, Maria, and the California wildfires in 2017, FEMA activated TSA programs in Texas, Florida, Puerto Rico, and California. Table 1 shows the number of households served through TSA programs by state or territory and associated costs.

Table 1. TSA Households and Total Expenditures from August 2017 to September 2018

State (Disaster Declaration Number)	Households	Total Estimated Expenditures
California (4344)	646	\$3,667,865
Florida (4337)	27,332	\$119,058,404
Puerto Rico (4336 & 4339)	7,024	\$105,586,586
Texas (4332)	54,640	\$414,181,611
Total	89,642	\$642,494,466

Source: FEMA TSA Expense Summary Sheets for listed disasters

FEMA Paid TSA Hotels for Unoccupied Rooms

Since August 2017, FEMA has paid hotels in the TSA program for an unknown number of unoccupied rooms. Internal controls over financial reporting should help safeguard assets from waste, loss, unauthorized use, or misappropriation, as well as ensure compliance with laws and regulations pertaining to financial reporting.² Although FEMA requires disaster survivors to sign and date *TSA Terms and Conditions* forms upon check-in, it does not require them to document or notify FEMA or hotel personnel when they check out of their rooms. Consequently, FEMA officials indicated it is difficult to know when disaster survivors leave the hotels and the dates of occupancy that are billable.

¹FEMA defines congregate shelters as any private or public facility that provides contingency congregate refuge to evacuees, but which day-to-day serves a non-refuge function, such as schools, stadiums, and churches (or church-sponsored residences or facilities).

²OMB Circular A-123, *Management's Responsibility for Enterprise Risk Management and Internal Control* (2016) – Reporting on Internal Controls, VI – Definition of Material Weakness, 41.



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

FEMA provided five examples of disaster survivors leaving the TSA program since August 2017 without notifying hotel personnel or FEMA. Although the disaster survivors were no longer at the hotels, the hotels continued to bill FEMA for the rooms in all five cases. Our analysis showed that FEMA paid about \$4,700 in payments for 41 nights to TSA hotels for these unoccupied rooms. FEMA is uncertain about the magnitude of unnecessary payments related to the 2017 hurricane season and across all disasters since August 2017.

FEMA Does Not Provide Tip Line Information on its *TSA Terms and Conditions* Form

Our review of FEMA's *TSA Terms and Conditions* form revealed that FEMA did not provide disaster survivors and TSA hotels with the *Office of the Chief Security Officer Tip Line*³ information for reporting allegations of fraud, waste, and abuse. According to one TSA hotel manager in Kansas, local police used a warrant to execute a search of a disaster survivor's hotel room and uncovered evidence of fraud against FEMA and the TSA program. However, the hotel manager did not report the incident to FEMA because he was not aware of the requirement or the existence of the *Office of the Chief Security Officer Tip Line*. Further, FEMA does not require hotel personnel to provide disaster survivors with copies of their completed *TSA Terms and Conditions* forms, which may affect survivors' ability to comply with TSA requirements or timely report fraud, waste, and abuse.

According to the Government Accountability Office's *Fraud Framework*, reporting mechanisms such as hotlines can help managers detect instances of potential fraud and deter individuals from engaging in fraudulent behavior. FEMA's *Office of the Chief Security Officer Tip Line* is the primary mechanism for directly reporting allegations of fraud, waste, and abuse related to FEMA programs. DHS Management Directive 810.1 requires FEMA to promptly advise DHS-OIG of allegations of misconduct.

Until FEMA ensures disaster survivors receive copies of the *TSA Terms and Conditions* form with the FEMA information, disaster survivors may lack awareness on how to report potential fraud in FEMA programs. Unreported fraud can limit FEMA and the OIG's ability to prevent, detect, and deter fraud, waste, and abuse of Federal programs and funds.

Conclusion

FEMA could benefit by requiring, as a condition of the TSA program, that disaster survivors and hotel personnel immediately document or notify FEMA when disaster survivors vacate hotels. Inclusion of FEMA's *Office of the Chief Security Officer Tip Line* information on the *TSA Terms and Conditions* form could also ensure disaster survivors and hotels have the information needed to report allegations of waste, fraud, and abuse in the TSA program. Additionally, hotels should provide disaster survivors copies of their completed *TSA Terms and Conditions* forms.

³FEMA officials sometimes refer to the *Office of the Chief Security Officer Tip Line* as the FEMA Disaster Fraud Hotline.



OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

We are continuing our audit work in this area. We will include formal recommendations on these issues in our full audit **report**.

Management Comments and OIG Analysis

FEMA provided written comments to our draft report. We included a copy of FEMA's management comments in their entirety in appendix A. We also received technical comments and revised our report as appropriate.

FEMA Management Comment: FEMA management noted that it has initiated changes to improve FEMA's ability to detect potential fraud, waste, and abuse of TSA funds. The changes include adding a fraud hotline number to the TSA Terms & Condition form and working with its contractor to encourage lodging providers to give a copy of the form disaster survivors at check in. Additionally, FEMA acknowledged that while it currently has no requirement for disaster survivors to notify FEMA when they vacate lodging, it monitors hotel check-in and check-out data provided by the lodging contractor.

OIG Response: We commend FEMA for adding a fraud hotline number to the Terms & Condition form to address one issue we identified in this report. We also appreciate FEMA's efforts to monitor survivors' hotel check-in and check-out data provided by the lodging contractor to ensure they fall within TSA eligibility timeframes. However, monitoring the data alone will not suffice to alert FEMA of instances where survivors leave their rooms unoccupied before their eligibility periods expire, but do not check out with the hotels. As noted in our report, requiring that survivors notify FEMA of their departures may help ensure FEMA is not alerted about unoccupied rooms. Unless FEMA requires disaster survivors in the TSA program to notify FEMA when they vacate hotel rooms, FEMA does not continue to pay hotels for unoccupied rooms unnecessarily. We will address in our full audit report FEMA's corrective actions in response to this interim report.

Objective, Scope, and Methodology

We are issuing this interim report as part of an ongoing audit to determine the extent to which FEMA is meeting transitional sheltering needs of disaster survivors of Hurricanes Harvey, Irma, and Maria and the California wildfires in 2017. To report on FEMA's TSA program we interviewed officials from FEMA's Fraud Prevention and Investigations Branch, Individual Assistance Division, Finance Center, and Program Support Branch. We interviewed executives from the TSA contract vendor, CLC Lodging. We reviewed and analyzed key internal FEMA documentation, including *Individuals and Households Program Unified Guidance* (September 2016), Fraud Prevention & Investigations Branch's *Draft 2016 TSA Program Review*, FEMA's operational draft *Transitional Sheltering Assistance Playbook/SOP* (2017), and FEMA's *TSA Terms and Conditions* forms used during 2017 for the TSA program.

Comment [MS]: Part of sentence moved to below.

Comment [MS]: Revised for tone.

Comment [MS]: Are FEMA's actions to monitor hotel check-in check-out date adequate? We need to say so definitively. Otherwise, this sounds like a cautionary conclusion requiring a recommendation.

Comment [SY]: No, we do not believe FEMA's actions described in their response will satisfy the concern raised in this interim report. However, since this was an "interim" report we (DAIGA Omalley, AIGA, DIR) had decided not to issue a recommendations at this time. We plan to have formal recommendations on this matter in the final report at the conclusion of this audit.

Nonetheless, we have edited the OIG response to better explain the unresolved issue.



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

The DHS Office of Inspector General (OIG) was established by the *Homeland Security Act of 2002* (Public Law 107-296) by amendment to the *Inspector General Act of 1978*. We performed this audit work in accordance with OIG policies and procedures and under the authority of the *Inspector General Act of 1978*. This report does not contain recommendations.

Office of Audits major contributors to this interim report are Yesi Starinsky, Director; Andrew Smith, Audit Manager; James Lloyd, Auditor-in-Charge; Lauren Bullis, Auditor; Stefanie Holloway, Auditor; Corinn King, Auditor; Jessica Makowski, Program Analyst; James Townsend, Program Analyst; Deborah Mouton-Miller, Communications Analyst; and John Skrmetti, Independent Referencer.



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

Appendix A FEMA Com

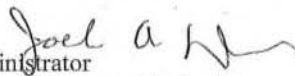
U.S. Department of Homeland Security
Washington, DC 20472



FEMA

January 31, 2019

MEMORANDUM FOR: John V. Kelly
Senior Official Performing the
Duties of the Inspector General

FROM: Joel Doolin 
Associate Administrator
Office of Policy and Program Analysis

SUBJECT: Management Response to OIG Draft Report: "Additional
Controls Needed to Better Manage FEMA's Transitional
Sheltering Assistance Program"
(Project No. OIG-18-078-AUD-FEMA)

Thank you for the opportunity to review and comment on this draft report. The Federal Emergency Management Agency (FEMA) appreciates the work of the Office of Inspector General (OIG) in planning and conducting its review and issuing this report.

When appropriate, FEMA provides Transitional Shelter Assistance (TSA) for a limited period of time to disaster survivors who are unable to return to their pre-disaster primary residence because their home is either uninhabitable or inaccessible due to a Presidentially-declared disaster. TSA is intended to reduce the number of survivors in congregate shelters by transitioning them into short-term accommodations through direct payments to lodging providers (i.e., hotels and motels). TSA is funded under Section 403 of the Stafford Act and is subject to a state cost-share. FEMA bases the amount of TSA on the maximum lodging rate plus taxes for a given locality, as determined by the General Services Administration. Survivors are responsible for all other costs associated with lodging and amenities, including, but not limited to incidental room charges or amenities, such as telephone, room service, or food.

We are pleased that the OIG is reviewing this important program and has shared initial conclusions related to its ongoing audit work with FEMA leadership, who has already begun to implement changes to improve FEMA's ability to detect potential fraud, waste, and abuse of TSA funds.

It is important to note that while no requirement currently exists for disaster survivors to notify FEMA when they vacate lodging, survivors are notified at time of initial eligibility

www.fema.gov



of a date until which they may be eligible for TSA. Survivors are also notified that any lodging costs beyond that date will be solely their responsibility. To monitor survivor participation in TSA, FEMA regularly receives updated checked in/checked out status information of each participating survivor from the lodging contractor. This information originates at point of lodging and is entered and updated into a mechanized system by personnel at participating lodging providers.

In addition, FEMA has updated the TSA Terms & Conditions (T&C) form to include Disaster Fraud Hotline information so participants more readily have the information available needed to report allegations of fraud, waste, and abuse in TSA. While presently there is no contractual requirement for lodging providers to provide a copy of the T&C form to disaster survivors, FEMA's Office of Response and Recovery has been working with CLC Lodging (the current TSA contract vendor) staff since Hurricane Florence in September 2018 to encourage lodging providers to provide a copy of the T&C document to the TSA survivors at check in and is in the process of determining how best to incorporate this requirement into future contracts.

FEMA is committed to supporting our citizens and first responders to ensure that as a nation we work together to build, sustain, and improve our capability to prepare for, protect against, respond to, recover from, and mitigate all hazards. We look forward to the receipt of OIG's final report and any formal recommendations on these issues once the ongoing audit is completed.

Again, thank you for the opportunity to review and comment on this draft report. Technical comments were previously provided under separate cover. Please feel free to contact me if you have any questions.

Appendix B

FEMA *Terms and Conditions* Form Example



OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

U.S. Department of Homeland Security FEDERAL EMERGENCY MANAGEMENT AGENCY Transitional Sheltering Assistance Terms and Conditions	
THIS PORTION SHOULD BE FILLED OUT BY THE GUEST & INITIALED BY LODGING PROVIDER (LP)	
Period of Assistance Ends: (November 29 2017) Checkout (November 30, 2017)	
<input type="checkbox"/> Initial Check-in:	Date: _____ LP INITIAL _____
OR	
<input type="checkbox"/> Extension Acknowledgement:	Date: _____ LP INITIAL _____
THIS PORTION SHOULD BE FILLED OUT BY THE LODGING PROVIDER HEAD OF HOUSEHOLD/APPLICANT INFORMATION	
Last Name: _____ First Name: _____	
Last 4 Digits of SSN: _____ FEMA Registration ID: _____ Date of Birth: _____	
Terms and Conditions for FEMA's Direct Payment of Hotel Lodging	
<ul style="list-style-type: none">• I understand that this Period of Assistance ends on November 29 2017.• I understand if I have been deemed eligible for rent from IHP, I will only be allowed to remain in Transitional Sheltering through the end of the period of assistance.• I understand that if I stay beyond checkout time on November 30, 2017, I will be responsible for any further costs.• FEMA will pay the nightly room rate and taxes for your hotel stay up to the approved GSA lodging rate for your lodging location. You will be responsible for any expense above the FEMA approved amount for your stay.• I understand that FEMA may terminate my hotel assistance prior to the date in this agreement without further notice if any of the following applies to me:<ul style="list-style-type: none">○ I have been informed that my home has been inspected and found safe to occupy;○ I advised FEMA that my home was not damaged as a result of the disaster;○ I have insurance to cover my additional living expenses for my temporary emergency shelter / housing needs;○ I advised FEMA that I am no longer in need of housing assistance;○ I have rejected all rental resources for temporary housing offered by FEMA;○ I advised FEMA that I do not intend to seek alternate housing;○ I have not responded to FEMA's attempts to contact me to discuss my case.• I understand that the Hotel/Motel is for my household's use only.• I understand that FEMA will only pay for the cost of the room and tax. All other amenities, including but not limited to, restaurant, club, room service, telephone, movie rental, Internet, laundry, dry cleaning, parking, and any other additional services or charges are my responsibility.• I understand that I will be notified by FEMA if my FEMA Direct Payment of Hotel Lodging is extended.	
If you have questions regarding this notice or about temporary housing assistance, please call the FEMA Helpline at 1-800-621-FEMA (3362); for people who are deaf, hard of hearing or with speech disabilities, the TTY is 1-800-462-7585. If FEMA has determined that you are not eligible for any further housing assistance, you should have already received a letter explaining why. You may appeal that decision in writing, following instructions in the letter.	
If you have any other disaster related questions, visit www.disasterassistance.gov and click on Apply for Assistance for more information or call the FEMA Helpline.	
*Applicant (GUEST) Signature _____	*Date _____

Updated 10/31/2017 DR-4339-PR

Appendix C

Report Distribution



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

Department of Homeland Security

Secretary
Deputy Secretary
Chief of Staff
General Counsel
Executive Secretary
Director, GAO/OIG Liaison Office
Assistant Secretary for Office of Policy
Assistant Secretary for Office of Public Affairs
Assistant Secretary for Office of Legislative Affairs
FEMA Audit Liaison

Office of Management and Budget

Chief, Homeland Security Branch
DHS OIG Budget Examiner

Congress

Congressional Oversight and Appropriations Committees

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Stewart, Alexander
Sent: 21 Mar 2019 14:48:55 +0000
To: OIG Audits Followup
Subject: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart
Attachments: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart
Message-Id: <FAEFD9FBA7EAFD4D93A8BD6D25A9123C29AFAACD@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Stewart, Alexander
Sent: 21 Mar 2019 10:48:55 -0400
To: OIG Audits Followup
Subject: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 21 Mar 2019 14:50:16 +0000
To: OIG Audits Followup
Subject: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart
Attachments: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart

Sender: (b)(6)@oig.dhs.gov

Subject: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart

Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012925716B@D2ASEPREA009>

To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 21 Mar 2019 10:50:15 -0400
To: OIG Audits Followup
Subject: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Jones, Cheryl
Sent: 21 Mar 2019 15:19:44 +0000
To: OIG Audits Followup
Subject: Meeting Forward Notification: Message Meeting for the FEMA's
Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Attachments: Meeting Forward Notification: Message Meeting for the FEMA's
Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

Sender: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
On-Behalf-Of: (b)(6)@oig.dhs.gov
Subject: Meeting Forward Notification: Message Meeting for the FEMA's Advance Contract Strategy for
Disasters audit (18-076-AUD-FEMA)
Message-Id: <3F9046F3B4615E42B106E088FCA87C310158CDB720@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Jones, Cheryl
Sent: 21 Mar 2019 11:19:44 -0400
To: OIG Audits Followup
Subject: Meeting Forward Notification: Message Meeting for the FEMA's
Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

Your meeting was forwarded

[Jones, Cheryl](#) has forwarded your meeting request to additional recipients.

Meeting

Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

Meeting Time

Tuesday, 26 March 2019 15:00-16:00.

Recipients

[Herberger, Kelly](#)

All times listed are in the following time zone: (UTC-05:00) Eastern Time (US & Canada)

Sent by Microsoft Exchange Server 2010

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Herberger, Kelly
Sent: 21 Mar 2019 15:25:00 +0000
To: OIG Audits Followup
Subject: Accepted: FW: Message Meeting for the FEMA's Advance Contract
Strategy for Disasters audit (18-076-AUD-FEMA)
Attachments: Accepted: FW: Message Meeting for the FEMA's Advance Contract
Strategy for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: FW: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit
(18-076-AUD-FEMA)
Message-Id: <2D9E7A39C23A924B834D76D189463C480146D08082@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Herberger, Kelly
Sent: 21 Mar 2019 11:24:59 -0400
To: OIG Audits Followup
Subject: Accepted: FW: Message Meeting for the FEMA's Advance Contract Strategy
for Disasters audit (18-076-AUD-FEMA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Trimble, Katherine
Sent: 21 Mar 2019 21:44:44 +0000
To: OIG Audits Followup
Subject: RE: Frasier Meadow DRAFT - Trimble review 1-2-19 - AUD 520633
Attachments: RE: Frasier Meadow DRAFT - Trimble review 1-2-19 - AUD 520633

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Frasier Meadow DRAFT - Trimble review 1-2-19 - AUD 520633
Message-Id: <877D7206F41D7E4789799F1638A3FDA11D280F39@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Trimble, Katherine
Sent: 21 Mar 2019 17:44:43 -0400
To: OIG Audits Followup
Subject: RE: Frasier Meadow DRAFT - Trimble review 1-2-19 - AUD 520633

Thanks, Tammy, for the reminder. I'm checking with the team since we've been having some discussions. Just want to make sure it's really ready for me.

Katherine

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Thursday, March 21, 2019 2:55 PM
To: Trimble, Katherine <(b)(6)@oig.dhs.gov>
Subject: FW: Frasier Meadow DRAFT - Trimble review 1-2-19 - AUD 520633

Hi Katherine,

Just following up on the status for this review.

Tammy

From: Johnson, Tammy
Sent: Monday, March 11, 2019 12:47 PM
To: Trimble, Katherine <(b)(6)@oig.dhs.gov>
Cc: Viray, Lovella <(b)(6)@oig.dhs.gov>
Subject: RE: Frasier Meadow DRAFT - Trimble review 1-2-19 - AUD 520633

Hi Katherine,

Returned for your review, is the attached Frasier Meadows Draft report. The team addressed your comments. This was initially sent to you for review of the team's responses to Sondra's comments back in December. Tracked changes are in the document.

http://dc1pts01:800/iq/workflow_edit.aspx?cid=520633&tabid= (G Template)

Tammy

From: Johnson, Tammy
Sent: Monday, January 28, 2019 1:33 PM
To: Trimble, Katherine <(b)(6)>@oig.dhs.gov>
Cc: Viray, Lovella <(b)(6)>@oig.dhs.gov>
Subject: RE: Frasier Meadow DRAFT - Trimble review 1-2-19

Got it, thanks.

You don't have to contact them separately. When this is sent back to the team and CA tomorrow, I will also note that you would like to meet with them.

Tammy

From: Trimble, Katherine <(b)(6)>@oig.dhs.gov>
Sent: Monday, January 28, 2019 1:11 PM
To: Johnson, Tammy <(b)(6)>@oig.dhs.gov>; Viray, Lovella <(b)(6)>@oig.dhs.gov>
Subject: Frasier Meadow DRAFT - Trimble review 1-2-19

Also, here is Frasier Meadows. I'd like to meet with the team and CA (Deborah Mouton-Miller) to discuss. Should I contact them separately?

Thanks! Katherine

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Johnson, Tammy
Sent: 22 Mar 2019 20:36:26 +0000
To: OIG Audits Followup
Subject: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Attachments: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <49725614a20e43f4a11e04ccfd5650e9@D2ASEVPEMHU02.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Johnson, Tammy
Sent: 22 Mar 2019 16:36:26 -0400
To: OIG Audits Followup
Subject: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

I am currently out of the office, but will be returning Monday, 25 March 2019. For immediate assistance please contact Lovella Viray.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Koch, Lindsey
Sent: 22 Mar 2019 20:36:28 +0000
To: OIG Audits Followup
Subject: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Attachments: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <9f0b78e291944babbba890c470611eac@D2ASEVPEMHU02.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Koch, Lindsey
Sent: 22 Mar 2019 16:36:28 -0400
To: OIG Audits Followup
Subject: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

I am currently out of the office until Monday March 25, 2019. I will respond to your message upon my return.

Lindsey Koch
Communications Analyst
Department of Homeland Security
Office of Inspector General
Boston Field Office

(d) 617-565 (b)(6)

(c) 617-892

(b)(6)

@oig.dhs.gov

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Hicks, Carolyn
Sent: 22 Mar 2019 20:36:28 +0000
To: OIG Audits Followup
Subject: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Attachments: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)
Message-Id: <1e5e4b5b320449ffa43e255a66aa0fca@D2ASEVPEMHU02.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Hicks, Carolyn
Sent: 22 Mar 2019 16:36:28 -0400
To: OIG Audits Followup
Subject: Automatic reply: Message Meeting for the FEMA's Advance Contract Strategy for Disasters audit (18-076-AUD-FEMA)

Thank you for your email. I am out of the office returning on March 25. I will respond to your email when I return. Thanks!

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of (b)(6)
Sent: 24 Mar 2019 20:02:08 +0000
To: OIG Audits Followup
Subject: Concerns about breach in survivor information
Attachments: Concerns about breach in survivor information

Sender: (b)(6)
Subject: Concerns about breach in survivor information
Message-Id: <(b)(6)>
Recipient: oigauditsfollowup@oig.dhs.gov

From: (b)(6)
Sent: 24 Mar 2019 16:02:03 -0400
To: OIG Audits Followup
Subject: Concerns about breach in survivor information

I am interested in how to report concerns.

Sent from my iPhone

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of (b)(6)
Sent: 24 Mar 2019 16:45:28 +0000
To: OIG Audits Followup
Subject: Management Alert
Attachments: Management Alert

Sender: (b)(6)@fema.dhs.gov
Subject: Management Alert
Message-Id:
<BYAPR09MB2631BD4E05999492DCE15398995D0@BYAPR09MB2631.namprd09.prod.outlook.com
>
Recipient: OIGAuditsFollowup@oig.dhs.gov

Requester's Name: Andrew Free
2019-IGFO-00209

1 PAGE(S) OF DOCUMENT(S)

WITHHELD IN FULL (WIF)

EXEMPTIONS CITED

(b)(6)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Viray, Lovella
Sent: 25 Mar 2019 14:47:11 +0000
To: OIG Audits Followup
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-19-11
Attachments: Automatic reply: Status of Recommendations for OIG Report OIG-19-11

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-19-11
Message-Id: <bb3e3d8709be4fe68ce9574b72cf0855@D2ASEVPEMHU11.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Viray, Lovella
Sent: 25 Mar 2019 10:47:11 -0400
To: OIG Audits Followup
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-19-11

I am currently out of the office and will return on Tuesday, March 26, 2019. If you need immediate assistance, please contact Ms. Tammy Johnson. Thank you.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of (b)(6)
Sent: 25 Mar 2019 14:47:32 +0000
To: OIG Audits Followup
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-19-11
Attachments: Automatic reply: Status of Recommendations for OIG Report OIG-19-11

Sender: (b)(6)@cbp.dhs.gov
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-19-11
Message-Id: <fa7fb55e243a4365a31d8378e0277e7d@BN8PR09MB3348.namprd09.prod.outlook.com>
Recipient: OIGAuditsFollowup@oig.dhs.gov

From: (b)(6)
Sent: 25 Mar 2019 14:47:30 +0000
To: OIG Audits Followup
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-19-11

I am out of the office, please contact (b)(6) for assistance at (202) 604-

(b)(6)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of (b)(6)
Sent: 25 Mar 2019 14:47:33 +0000
To: OIG Audits Followup
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-19-11
Attachments: Automatic reply: Status of Recommendations for OIG Report OIG-19-11

Sender: (b)(6)@cbp.dhs.gov
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-19-11
Message-Id: <d6a256d7368d4d94a5629d9436c79e01@MN2PR09MB3453.namprd09.prod.outlook.com>
Recipient: OIGAuditsFollowup@oig.dhs.gov

From: (b)(6)
Sent: 25 Mar 2019 14:47:31 +0000
To: OIG Audits Followup
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-19-11

I am currently out of the office. If this is an urgent request, please contact (b)(6) at (b)(6)@cbp.dhs.gov.

Thanks!

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Howes, Shelley
Sent: 25 Mar 2019 15:32:14 +0000
To: OIG Audits Followup
Subject: Message Recall Success: Status of Recommendations for OIG Report
OIG-17-09
Attachments: Message Recall Success: Status of Recommendations for OIG Report
OIG-17-09

Sender: (b)(6)@oig.dhs.gov
Subject: Message Recall Success: Status of Recommendations for OIG Report OIG-17-09
Message-Id: <7EFADD514224AB45A19B11AB04A9A09D014774A28B@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Howes, Shelley
Sent: 25 Mar 2019 11:32:14 -0400
To: OIG Audits Followup
Subject: Message Recall Success: Status of Recommendations for OIG Report
OIG-17-09

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Mosher, Bradley
Sent: 25 Mar 2019 15:32:24 +0000
To: OIG Audits Followup
Subject: Message Recall Success: Status of Recommendations for OIG Report
OIG-17-09
Attachments: Message Recall Success: Status of Recommendations for OIG Report
OIG-17-09

Sender: (b)(6)@oig.dhs.gov
Subject: Message Recall Success: Status of Recommendations for OIG Report OIG-17-09
Message-Id: <C370AF74D04206459804C1968B687D1B0147447D58@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Mosher, Bradley
Sent: 25 Mar 2019 11:32:23 -0400
To: OIG Audits Followup
Subject: Message Recall Success: Status of Recommendations for OIG Report
OIG-17-09

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bumgardner, Donald
Sent: 25 Mar 2019 15:33:03 +0000
To: OIG Audits Followup
Subject: Message Recall Failure: Status of Recommendations for OIG Report
OIG-17-09
Attachments: Message Recall Failure: Status of Recommendations for OIG Report
OIG-17-09

Sender: (b)(6)@oig.dhs.gov
Subject: Message Recall Failure: Status of Recommendations for OIG Report OIG-17-09
Message-Id: <07E720B58A82A64898CA5E2FBDE0D1130137356D1F@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bumgardner, Donald
Sent: 25 Mar 2019 11:33:03 -0400
To: OIG Audits Followup
Subject: Message Recall Failure: Status of Recommendations for OIG Report
OIG-17-09

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 25 Mar 2019 16:42:27 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF0129279835@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 25 Mar 2019 12:42:26 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Hamlin, Anna
Sent: 25 Mar 2019 16:42:41 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <75FC2A5BE971484AA2CA21A1D4883D8F0146EB4493@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Hamlin, Anna
Sent: 25 Mar 2019 12:42:40 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of DeMarines, Jane
Sent: 25 Mar 2019 16:43:05 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <DA3FF73C9AE89F41BD971324E423F7313F31ED7B@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: DeMarines, Jane
Sent: 25 Mar 2019 12:43:05 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 25 Mar 2019 16:44:35 +0000
To: OIG Audits Followup
Cc: Viray, Lovella
Subject: RE: Final Report for Review - Missouri SHSP & UASI (523019)
Attachments: RE: Final Report for Review - Missouri SHSP & UASI (523019)

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Final Report for Review - Missouri SHSP & UASI (523019)
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012927986A@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov
Cc: (b)(6)@oig.dhs.gov

From: McCauley, Sondra
Sent: 25 Mar 2019 12:44:34 -0400
To: OIG Audits Followup
Cc: Viray, Lovella
Subject: RE: Final Report for Review - Missouri SHSP & UASI (523019)

As long as they addressed all edits, I am good to go.

Thx
S

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Monday, March 25, 2019 12:41 PM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: RE: Final Report for Review - Missouri SHSP & UASI (523019)

Hi Sondra,

This report came back, your edits were minor. Did you want to see this again?

Tammy

From: McCauley, Sondra (b)(6)@oig.dhs.gov>
Sent: Friday, March 22, 2019 3:14 PM
To: Viray, Lovella (b)(6)@oig.dhs.gov>; Johnson, Tammy (b)(6)@oig.dhs.gov>
Cc: Duddy, Maureen (b)(6)@oig.dhs.gov>
Subject: RE: Final Report for Review - Missouri SHSP & UASI (523019)

Minor edits. Thanks!

Sondra

From: Viray, Lovella (b)(6)@oig.dhs.gov>
Sent: Wednesday, March 20, 2019 1:23 PM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>; Johnson, Tammy (b)(6)@oig.dhs.gov>
Subject: Final Report for Review - Missouri SHSP & UASI (523019)

Hi Sondra, for review is the Final report titled “***Missouri’s Management of State Homeland Security Program and Urban Areas Security Initiative Grants Awarded During Fiscal Years 2012 through 2015.***” This has been updated and revised to mirror the Oregon Final report. Reviewed and approved by Moe today. Thank you.

DAIGA: Moe Duddy

Director: Maryann Pereira

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Lowell, Theresa
Sent: 25 Mar 2019 16:44:58 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <1E7C2B137D952846975F326F9BD5DA7464BA5DDD@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Lowell, Theresa
Sent: 25 Mar 2019 12:44:58 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Paul, Saajan
Sent: 25 Mar 2019 16:46:11 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <1454710F3BAEC948A4F8A453C57FE8AE4EFC2EDF@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Paul, Saajan
Sent: 25 Mar 2019 12:46:10 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Young, Eric
Sent: 25 Mar 2019 17:37:48 +0000
To: OIG Audits Followup
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-13-80
Attachments: Automatic reply: Status of Recommendations for OIG Report OIG-13-80

Sender (b)(6)@oig.dhs.gov
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-13-80
Message-Id: <7703c601f90d4ca8854b61a7a4d4c65e@D2ASEVPPEMHU12.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Young, Eric
Sent: 25 Mar 2019 13:37:48 -0400
To: OIG Audits Followup
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-13-80

I will be out of the office until Wednesday morning. If you need immediate assistance, email (b)(6)@oig.dhs.gov or call me at 202.497 (b)(6)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bernard, Kristen
Sent: 25 Mar 2019 17:04:27 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <282C1EEC5E52ED45BD0FDCAF40924BAC013E6546A2@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bernard, Kristen
Sent: 25 Mar 2019 13:04:27 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS's Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of John, Sandra
Sent: 25 Mar 2019 17:37:49 +0000
To: OIG Audits Followup
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-13-80
Attachments: Automatic reply: Status of Recommendations for OIG Report OIG-13-80

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-13-80
Message-Id: <151d9646c3094a068060fe3e73bc7c41@D2ASEVPPEMHU12.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: John, Sandra
Sent: 25 Mar 2019 13:37:48 -0400
To: OIG Audits Followup
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-13-80

Hello,

I am of the office this afternoon and will return on 3/26. If you need immediate assistnce, please contact Hope Franklin (b)(6)@oig.dhs.gov).

Regards,

Sandra

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Veppumthara, Abe
Sent: 25 Mar 2019 18:08:55 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <5C4F65EFE6A1E345A7EEC9D1A92D56D1CB33821B@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Veppumthara, Abe
Sent: 25 Mar 2019 14:08:54 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Poirier, Barbara
Sent: 25 Mar 2019 17:38:14 +0000
To: OIG Audits Followup
Subject: Message Recall Failure: Status of Recommendations for OIG Report
OIG-17-09
Attachments: Message Recall Failure: Status of Recommendations for OIG Report
OIG-17-09

Sender: (b)(6)@oig.dhs.gov
Subject: Message Recall Failure: Status of Recommendations for OIG Report OIG-17-09
Message-Id: <85C6114F096DB04EBC1D7C15728F914501478FABE8@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Poirier, Barbara
Sent: 25 Mar 2019 13:38:13 -0400
To: OIG Audits Followup
Subject: Message Recall Failure: Status of Recommendations for OIG Report
OIG-17-09

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Herberger, Kelly
Sent: 25 Mar 2019 18:33:42 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <2D9E7A39C23A924B834D76D189463C480146D6B0C0@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Herberger, Kelly
Sent: 25 Mar 2019 14:33:42 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of (b)(6)
Sent: 25 Mar 2019 18:43:28 +0000
To: OIG Audits Followup
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-19-13
Attachments: Automatic reply: Status of Recommendations for OIG Report OIG-19-13

Sender: (b)(6)@CBP.DHS.GOV
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-19-13
Message-Id: <aafef13e7525433b9d8f9bda5dac7789@MN2PR09MB3469.namprd09.prod.outlook.com>
Recipient: OIGAuditsFollowup@oig.dhs.gov

From: (b)(6)
Sent: 25 Mar 2019 18:43:26 +0000
To: OIG Audits Followup
Subject: Automatic reply: Status of Recommendations for OIG Report OIG-19-13

I am out of the office and return on Tuesday, March 26th. If your matter is urgent please contact

(b)(6)@cbp.dhs.gov 571-468 (b)(6)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Adelman, Craig
Sent: 25 Mar 2019 18:48:21 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <EC76FDDB755FAD45A1DF85F10DE406F5016759CE36@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Adelman, Craig
Sent: 25 Mar 2019 14:48:21 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 25 Mar 2019 19:13:57 +0000
To: OIG Audits Followup
Subject: RE: Final Report for Review - Missouri SHSP & UASI (523019)
Attachments: RE: Final Report for Review - Missouri SHSP & UASI (523019)

Sender: (b)(6)@oig.dhs.gov
Subject: RE: Final Report for Review - Missouri SHSP & UASI (523019)
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012927A944@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 25 Mar 2019 15:13:56 -0400
To: OIG Audits Followup
Subject: RE: Final Report for Review - Missouri SHSP & UASI (523019)

ok

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Monday, March 25, 2019 1:01 PM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: RE: Final Report for Review - Missouri SHSP & UASI (523019)

Yes, I verified that all of your edits were incorporated into the report and the supporting documents.

Thanks,

Tammy

From: McCauley, Sondra (b)(6)@oig.dhs.gov>
Sent: Monday, March 25, 2019 12:45 PM
To: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: RE: Final Report for Review - Missouri SHSP & UASI (523019)

As long as they addressed all edits, I am good to go.

Thx
S

From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
Sent: Monday, March 25, 2019 12:41 PM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>
Cc: Viray, Lovella (b)(6)@oig.dhs.gov>
Subject: RE: Final Report for Review - Missouri SHSP & UASI (523019)

Hi Sondra,

This report came back, your edits were minor. Did you want to see this again?

Tammy

From: McCauley, Sondra (b)(6)@oig.dhs.gov>
Sent: Friday, March 22, 2019 3:14 PM
To: Viray, Lovella (b)(6)@oig.dhs.gov>; Johnson, Tammy (b)(6)@oig.dhs.gov>
Cc: Duddy, Maureen (b)(6)@oig.dhs.gov>
Subject: RE: Final Report for Review - Missouri SHSP & UASI (523019)

Minor edits. Thanks!

Sondra

From: Viray, Lovella (b)(6)@oig.dhs.gov>
Sent: Wednesday, March 20, 2019 1:23 PM
To: McCauley, Sondra (b)(6)@oig.dhs.gov>; Johnson, Tammy (b)(6)@oig.dhs.gov>
Subject: Final Report for Review - Missouri SHSP & UASI (523019)

Hi Sondra, for review is the Final report titled “*Missouri's Management of State Homeland Security Program and Urban Areas Security Initiative Grants Awarded During Fiscal Years 2012 through 2015.*” This has been updated and revised to mirror the Oregon Final report. Reviewed and approved by Moe today. Thank you.

DAIGA: Moe Duddy
Director: Maryann Pereira

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 25 Mar 2019 22:05:47 +0000
To: OIG Audits Followup
Subject: Meeting Forward Notification: CA meeting
Attachments: Meeting Forward Notification: CA meeting

Sender: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
On-Behalf-Of: (b)(6)@oig.dhs.gov
Subject: Meeting Forward Notification: CA meeting
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012927BACC@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 25 Mar 2019 18:05:47 -0400
To: OIG Audits Followup
Subject: Meeting Forward Notification: CA meeting

Your meeting was forwarded

[McCauley, Sondra](#) has forwarded your meeting request to additional recipients.

Meeting

CA meeting

Meeting Time

Wednesday, 03 April 2019 09:00-10:00.

Recipients

[Bernard, Kristen](#)

[Bumgardner, Donald](#)

[Duddy, Maureen](#)

[Trimble, Katherine](#)

All times listed are in the following time zone: (UTC-05:00) Eastern Time (US & Canada)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bernard, Kristen
Sent: 25 Mar 2019 22:08:43 +0000
To: OIG Audits Followup
Subject: Accepted: FW: CA meeting
Attachments: Accepted: FW: CA meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: FW: CA meeting
Message-Id: <282C1EEC5E52ED45BD0FDCAF40924BAC013E65529B@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bernard, Kristen
Sent: 25 Mar 2019 18:08:42 -0400
To: OIG Audits Followup
Subject: Accepted: FW: CA meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Chaine, Washington
Sent: 25 Mar 2019 23:29:37 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <085C0830-0787-4066-86C2-EF6DBCCD9865@oig.dhs.gov>
To: OIGAuditsFollowup@oig.dhs.gov

From: Chaine, Washington
Sent: 25 Mar 2019 19:29:36 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS's Tracking of Detainees Audit
(18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bumgardner, Donald
Sent: 25 Mar 2019 22:18:57 +0000
To: OIG Audits Followup
Subject: Accepted: FW: CA meeting
Attachments: Accepted: FW: CA meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: FW: CA meeting
Message-Id: <07E720B58A82A64898CA5E2FBDE0D11301373588B3@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bumgardner, Donald
Sent: 25 Mar 2019 18:18:56 -0400
To: OIG Audits Followup
Subject: Accepted: FW: CA meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Koch, Lindsey
Sent: 26 Mar 2019 12:20:31 +0000
To: OIG Audits Followup
Subject: Meeting Forward Notification: CA meeting with Sondra and DAIGAs
Attachments: Meeting Forward Notification: CA meeting with Sondra and DAIGAs

Sender: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
On-Behalf-Of: (b)(6)@oig.dhs.gov
Subject: Meeting Forward Notification: CA meeting with Sondra and DAIGAs
Message-Id: <1E0D55278B77FF4A95CDB3FED77E21F10158B76791@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Koch, Lindsey
Sent: 26 Mar 2019 08:20:31 -0400
To: OIG Audits Followup
Subject: Meeting Forward Notification: CA meeting with Sondra and DAIGAs

Your meeting was forwarded

[Koch, Lindsey](#) has forwarded your meeting request to additional recipients.

Meeting

CA meeting with Sondra and DAIGAs

Meeting Time

Wednesday, 03 April 2019 09:00-10:00.

Recipients

[Duddy, Maureen](#)

[Bumgardner, Donald](#)

[Trimble, Katherine](#)

[Bernard, Kristen](#)

All times listed are in the following time zone: (UTC-05:00) Eastern Time (US & Canada)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Duddy, Maureen
Sent: 26 Mar 2019 12:23:32 +0000
To: OIG Audits Followup
Subject: Accepted: FW: CA meeting with Sondra and DAIGAs
Attachments: Accepted: FW: CA meeting with Sondra and DAIGAs

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: FW: CA meeting with Sondra and DAIGAs
Message-Id: <50D89E38EC43C74DBDD53DFCE5E90174012967C551@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Duddy, Maureen
Sent: 26 Mar 2019 08:23:32 -0400
To: OIG Audits Followup
Subject: Accepted: FW: CA meeting with Sondra and DAIGAs

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Viray, Lovella
Sent: 26 Mar 2019 12:34:47 +0000
To: OIG Audits Followup
Subject: Message Recall Failure: Status of Recommendations for OIG Report
OIG-17-09
Attachments: Message Recall Failure: Status of Recommendations for OIG Report
OIG-17-09

Sender: (b)(6)@oig.dhs.gov
Subject: Message Recall Failure: Status of Recommendations for OIG Report OIG-17-09
Message-Id: <9D150025B28A954FAB126D1DC8E16E52CADD6812@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Viray, Lovella
Sent: 26 Mar 2019 08:34:46 -0400
To: OIG Audits Followup
Subject: Message Recall Failure: Status of Recommendations for OIG Report
OIG-17-09

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Paul, Saajan
Sent: 26 Mar 2019 13:12:57 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <1454710F3BAEC948A4F8A453C57FE8AE4EFC472A@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Paul, Saajan
Sent: 26 Mar 2019 09:12:57 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS's Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Lowell, Theresa
Sent: 26 Mar 2019 13:12:59 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <1E7C2B137D952846975F326F9BD5DA7464BA64F2@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Lowell, Theresa
Sent: 26 Mar 2019 09:12:58 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bernard, Kristen
Sent: 26 Mar 2019 13:14:15 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <282C1EEC5E52ED45BD0FDCAF40924BAC013E655DCF@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bernard, Kristen
Sent: 26 Mar 2019 09:14:14 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS's Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Adelman, Craig
Sent: 26 Mar 2019 13:21:04 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <EC76FDDB755FAD45A1DF85F10DE406F5016759FD70@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Adelman, Craig
Sent: 26 Mar 2019 09:21:04 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS's Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Hamlin, Anna
Sent: 26 Mar 2019 13:23:02 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <75FC2A5BE971484AA2CA21A1D4883D8F0146EB6FF2@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Hamlin, Anna
Sent: 26 Mar 2019 09:23:02 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Veppumthara, Abe
Sent: 26 Mar 2019 13:28:49 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <5C4F65EFE6A1E345A7EEC9D1A92D56D1CB33E39E@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Veppumthara, Abe
Sent: 26 Mar 2019 09:28:49 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 26 Mar 2019 13:31:11 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012927BC68@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 26 Mar 2019 09:31:10 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Herberger, Kelly
Sent: 26 Mar 2019 17:41:02 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Attachments: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting for DHS' Tracking of Detainees Audit (18-117-ITA-CBP, ICE)
Message-Id: <2D9E7A39C23A924B834D76D189463C480146D6EC3E@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Herberger, Kelly
Sent: 26 Mar 2019 13:41:01 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting for DHSâ€™ Tracking of Detainees Audit (18-117-ITA-CBP, ICE)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Johnson, Tammy
Sent: 26 Mar 2019 19:32:45 +0000
To: OIG Audits Followup
Subject: Automatic reply: Transportation assistance draft (523364)
Attachments: Automatic reply: Transportation assistance draft (523364)

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Transportation assistance draft (523364)
Message-Id: <2685377a8f2747bb9ba16a16a5893d9a@D2ASEVPEMHU08.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Johnson, Tammy
Sent: 26 Mar 2019 15:32:45 -0400
To: OIG Audits Followup
Subject: Automatic reply: Transportation assistance draft (523364)

I am currently out of the office, but will be returning Monday, 1 April 2019. For immediate assistance please contact Lovella Viray.

From: Microsoft Outlook
Sent: 26 Mar 2019 19:50:16 +0000
To: OIG Audits Followup
Subject: Undeliverable: Status of Recommendations for OIG Report OIG-18-81
Attachments: Undeliverable: Status of Recommendations for OIG Report OIG-18-81

Sender: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
Subject: Undeliverable: Status of Recommendations for OIG Report OIG-18-81
Message-Id: <dd5000b6-8873-4638-8245-a7f836a00593@D2ASEVPEMHU01.DSA.DHS>
Recipient: OIGAuditsFollowup@oig.dhs.gov

From: Microsoft Outlook
Sent: 26 Mar 2019 15:50:16 -0400
To: (b)(6)
Subject: Undeliverable: Status of Recommendations for OIG Report OIG-18-81
Attachments: Status of Recommendations for OIG Report OIG-18-81

Delivery has failed to these recipients or groups:

(b)(6)@oig.dhs.gov

The e-mail address you entered couldn't be found. Please check the recipient's e-mail address and try to resend the message. If the problem continues, please contact your helpdesk.

Diagnostic information for administrators:

Generating server: D2ASEVPEMHU01.DSA.DHS

(b)(6)@oig.dhs.gov
#550 5.1.1 RESOLVER.ADR.RecipNotFound; not found ##

Original message headers:

Received: from D2ASEPREA009.DSA.DHS ([10.232.104.26]) by D2ASEVPEMHU01.DSA.DHS ([fe80::9567:8834:7a8a:76fd%15]) with mapi id 14.03.0415.000; Tue, 26 Mar 2019 15:50:15 -0400
Content-Type: application/ms-tnef; name="winmail.dat"
Content-Transfer-Encoding: binary
From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
To: "Crumpacker, Jim" (b)(6)@HQ.DHS.GOV
CC: GAO-OIG Liaison <GAO-OIG.Liaison@HQ.DHS.GOV>, OIG's DHS Liaison <OIGs-DHSLiaison@oig.dhs.gov>, (b)(6) "
(b)(6)@oig.dhs.gov>, "Haynes, Christine"
(b)(6)@oig.dhs.gov>
Subject: Status of Recommendations for OIG Report OIG-18-81
Thread-Topic: Status of Recommendations for OIG Report OIG-18-81
Thread-Index: AdTkDQ3KiZchib0ISBiu4Dso7EF7Kg==
Date: Tue, 26 Mar 2019 15:50:15 -0400
Message-ID: <9D150025B28A954FAB126D1DC8E16E52CADD9E5C@D2ASEPREA009>
Accept-Language: en-US
Content-Language: en-US
X-MS-Has-Attach: yes
X-MS-TNEF-Correlator: <9D150025B28A954FAB126D1DC8E16E52CADD9E5C@D2ASEPREA009>
MIME-Version: 1.0

From: OIG Audits Followup
Sent: 26 Mar 2019 15:50:15 -0400
To: Crumpacker, Jim
Cc: GAO-OIG Liaison;OIG's DHS Liaison (b)(6);Haynes, Christine
Subject: Status of Recommendations for OIG Report OIG-18-81
Attachments: OIG-18-81.pdf

Attached is a memorandum from the Deputy Assistant Inspector General for Audits, Donald Bumgardner, on the status of recommendations for OIG Report:

OIG-18-81: DHS Support Components Do Not Have Sufficient Processes and Procedures to Address Misconduct

Should you have any questions, please contact Christine Haynes, Director, at (856) 229 (b)(6) or email OIGAuditsFollowup@oig.dhs.gov.

LLV
OIGAuditsFollowup@oig.dhs.gov
Department of Homeland Security
Office of Inspector General
Office of Audits
Washington, DC



OFFICE OF INSPECTOR GENERAL

Department of Homeland Security

Washington, DC 20528 / www.oig.dhs.gov

March 26, 2019

MEMORANDUM FOR: Jim H. Crumpacker
Director
Departmental GAO-OIG Liaison Office

FROM: Donald Bumgardner (b)(6)
Deputy Assistant Inspector General for Audits

SUBJECT: Response to *DHS Support Components Do Not Have Sufficient Processes and Procedures to Address Misconduct*, (OIG-18-81)

We are replying to your December 11, 2018 corrective action plan regarding recommendations in our September 26, 2018 report, *DHS Support Components Do Not Have Sufficient Processes and Procedures to Address Misconduct*, (OIG-18-81).

The report contained six recommendations. Recommendations 1 through 6 remain open and resolved. Attached is our analysis of the corrective action plan including an explanation of additional information we need to close the open recommendations.

Thank you for your efforts to keep us informed of the status of these recommendations. Please email your response and any future updates to OIGAuditsFollowup@oig.dhs.gov.

Please call me with any questions, or your staff may contact Christine Haynes, Director, at (856) 22 (b)(6)

Attachment

cc: Matthew Evans, DHS Office of Chief Human Capital Officer Audit Liaison
OIG's DHS Liaison



OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

Report Recommendations, Response, and Status: <i>DHS Support Components Do Not Have Sufficient Processes and Procedures to Address Misconduct, (OIG-18-81)</i>			
<i>Inspector General Recommendation</i>	<i>DHS Response/OIG Comments</i>	<i>Previous Status</i>	<i>Current Status</i>
<p>1. We recommend the Under Secretary for Management assign responsibility for the management and oversight of all misconduct allegations across support components and evaluate the best method to ensure that items a through g are completed:</p> <ul style="list-style-type: none">a) establish and implement a centralized oversight process for misconduct allegations;b) determine which support components identify or receive misconduct allegations;c) provide written guidance and training on the handling of misconduct allegations;d) develop a formal mechanism to communicate and collaborate regularly about misconduct allegations;e) establish a comprehensive system of record or database to manage and track allegations of misconduct;f) develop and implement a misconduct investigative process, including implementing and issuing written guidance on how to conduct misconduct allegation investigations; andg) develop and implement procedures for receiving and referring allegations to OIG.	<p>DHS Updated Response as of December 2018: DHS will establish a working group, jointly led by Human Resources Management and Services (HRMS) and the Office of Chief Security Officer's (OCSO) Threat Management Operations, and advised by DHS Office of General Counsel-Labor Law. The working group will provide guidance to OCSO, which DHS has assigned responsibility for management and oversight of all misconduct allegations across support components. The working group will implement a process for managing the lifecycle of misconduct allegations. Additionally, DHS will develop the Employee Relations Case Management Tracking System. ECD: June 30, 2019</p> <p>OIG Analysis: The Department's corrective action is responsive to the recommendation. The recommendation will remain resolved and open until we receive documentation that the working group, its allegation lifecycle process, and the Employee Relations Case Management Tracking System are established and operational, and documentation that training on the use of the new case management system has been provided to all users.</p>	Resolved and Open	Resolved and Open



OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

Report Recommendations, Response, and Status: <i>DHS Support Components Do Not Have Sufficient Processes and Procedures to Address Misconduct, (OIG-18-81)</i>			
<i>Inspector General Recommendation</i>	<i>DHS Response/OIG Comments</i>	<i>Previous Status</i>	<i>Current Status</i>
2. We recommend the Chief Human Capital Officer ensure that Human Resources Management and Services provides supervisors and managers with written guidance on correcting misconduct, including the steps necessary to initiate informal and formal disciplinary action.	<p>OCHCO Updated Response as of December 2018: Office of the Chief Human Capital Officer (OCHCO) will provide quarterly training through the HR Essentials class and by customer request, where support materials will be provided. HRMS also continues to develop a draft update to its standard operating procedures. ECD: June 30, 2019</p> <p>OIG Analysis: OCHCO's recent update no longer includes previously plans to use SharePoint to provide its standard operating procedures and other detailed and up-to-date written guidance on correcting misconduct, including steps necessary to initiate informal and formal disciplinary action. In addition to training through HR Essentials, OCHCO should ensure that all supervisors have access to written guidance outside of its training sessions. The recommendation will remain resolved and open until we receive documentation that HRMS has provided written guidance to all supervisors and managers.</p>	Resolved and Open	Resolved and Open



OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

Report Recommendations, Response, and Status: *DHS Support Components Do Not Have Sufficient Processes and Procedures to Address Misconduct, (OIG-18-81)*

<i>Inspector General Recommendation</i>	<i>DHS Response/OIG Comments</i>	<i>Previous Status</i>	<i>Current Status</i>
3. We recommend the Chief Human Capital Officer require Human Resources Management and Services to develop and implement employee relations objectives and performance measures, and establish an oversight mechanism to assess and monitor Human Resources Management and Services ER program operations.	<p>OCHCO Updated Response as of December 2018: HRMS has established weekly management meetings with Employee Relations Specialists and included measurable performance requirements for all supervisor and specialist performance plans in FY 2019. HRMS also has quarterly meetings with DHS Office of General Counsel. ECD: June 30, 2019</p> <p>OIG Analysis: OCHCO's corrective action is responsive to the recommendation. We note the estimated completion date for this recommendation has changed from October 2018 to June 2019; however, OCHCO did not provide information on the revised date. OCHCO should inform us of the rationale for any changes in estimated completion dates or the recommendation status may be reverted to open and unresolved. The recommendation will remain resolved and open until we receive documentation of the Employee Relations goals and service expectations, copies of the FY 2019 supervisor and specialist performance plans, and implementation of the new database.</p>	Resolved and Open	Resolved and Open



OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

Report Recommendations, Response, and Status: <i>DHS Support Components Do Not Have Sufficient Processes and Procedures to Address Misconduct, (OIG-18-81)</i>			
<i>Inspector General Recommendation</i>	<i>DHS Response/OIG Comments</i>	<i>Previous Status</i>	<i>Current Status</i>
4. We recommend the Chief Human Capital Officer continue to work with the DHS Privacy Office to ensure that the Human Resources Management and Services ER database complies with all applicable privacy requirements.	OCHCO Updated Response as of December 2018: HRMS obtained a Privacy Threshold Assessment approval from DHS Privacy Office on April 20, 2018. It is also actively seeking a Department-wide automated, retrievable case management system for employee and labor relations. ECD: June 30, 2019 OIG Analysis: OCHCO's corrective action is responsive to the recommendation. The recommendation will remain resolved and open until we receive documentation of the approved Privacy Threshold Assessment and documentation that the case management system meets DHS Privacy Office requirements.	Resolved and Open	Resolved and Open
5. We recommend the Chief Human Capital Officer direct Human Resources Management and Services ER to revise its standard operating procedures to capture key processes and allow for replication and consistency in handling disciplinary and adverse actions.	OCHCO Updated Response as of December 2018: HRMS is collaborating with DHS Office of General Counsel to update its standard operating procedure. ECD: June 30, 2019 OIG Analysis: OCHCO's corrective action is responsive to the recommendation. The recommendation will remain resolved and open until we receive documentation of the approved standard operating procedure.	Resolved and Open	Resolved and Open



OFFICE OF INSPECTOR GENERAL
Department of Homeland Security

Report Recommendations, Response, and Status: <i>DHS Support Components Do Not Have Sufficient Processes and Procedures to Address Misconduct, (OIG-18-81)</i>			
<i>Inspector General Recommendation</i>	<i>DHS Response/OIG Comments</i>	<i>Previous Status</i>	<i>Current Status</i>
6. We recommend the Chief Human Capital Officer direct Human Resources Management and Services ER to develop control measures to ensure accountability and preserve the integrity of all prior, current, and future disciplinary and adverse action case files.	<p>OCHCO Updated Response as of December 2018: Until it obtains a case management system, HRMS has scanned and secured paper files and is developing a process to account for all case files listed on the current database. Additionally, HRMS has added employee relations area of responsibility to its Accountability Branch's quarterly self-assessment review process. ECD: June 30, 2019</p> <p>OIG Analysis: OCHCO's corrective action is responsive to the recommendation. The recommendation will remain resolved and open until we receive documentation of the process for checking case files and the quarterly self-assessment review process.</p>	Resolved and Open	Resolved and Open

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Dolloson, Kevin
Sent: 27 Mar 2019 13:57:32 +0000
To: OIG Audits Followup
Subject: Automatic reply: Sondra meeting with CAs
Attachments: Automatic reply: Sondra meeting with CAs

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Sondra meeting with CAs
Message-Id: <626b25eaca1644a2b53f56152e0c3a7d@D2ASEVPPEMHU02.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Dolloson, Kevin
Sent: 27 Mar 2019 09:57:32 -0400
To: OIG Audits Followup
Subject: Automatic reply: Sondra meeting with CAs

I will be out of the office (b)(6) and March 29, 2019 (RDO). I will return to my normal telework schedule April 1, 2019.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 27 Mar 2019 13:58:05 +0000
To: OIG Audits Followup
Subject: Accepted: Sondra meeting with CAs
Attachments: Accepted: Sondra meeting with CAs

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Sondra meeting with CAs
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012927D292@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 27 Mar 2019 09:58:04 -0400
To: OIG Audits Followup
Subject: Accepted: Sondra meeting with CAs

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Koch, Lindsey
Sent: 27 Mar 2019 13:58:07 +0000
To: OIG Audits Followup
Subject: Accepted: Sondra meeting with CAs
Attachments: Accepted: Sondra meeting with CAs

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Sondra meeting with CAs
Message-Id: <1E0D55278B77FF4A95CDB3FED77E21F10158B79D63@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Koch, Lindsey
Sent: 27 Mar 2019 09:58:07 -0400
To: OIG Audits Followup
Subject: Accepted: Sondra meeting with CAs

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Mouton-Miller, Deborah
Sent: 27 Mar 2019 14:28:59 +0000
To: OIG Audits Followup
Subject: Accepted: Sondra meeting with CAs
Attachments: Accepted: Sondra meeting with CAs

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Sondra meeting with CAs
Message-Id: <4AFE6A2448DAF04C8ED3CF1544525A7E4EE97000@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Mouton-Miller, Deborah
Sent: 27 Mar 2019 10:28:58 -0400
To: OIG Audits Followup
Subject: Accepted: Sondra meeting with CAs

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of DeMarines, Jane
Sent: 27 Mar 2019 15:05:16 +0000
To: OIG Audits Followup
Subject: Accepted: Sondra meeting with CAs
Attachments: Accepted: Sondra meeting with CAs

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Sondra meeting with CAs
Message-Id: <DA3FF73C9AE89F41BD971324E423F7313F321308@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: DeMarines, Jane
Sent: 27 Mar 2019 11:05:16 -0400
To: OIG Audits Followup
Subject: Accepted: Sondra meeting with CAs

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Hamlin, Thomas
Sent: 27 Mar 2019 16:01:09 +0000
To: OIG Audits Followup
Subject: Accepted: Sondra meeting with CAs
Attachments: Accepted: Sondra meeting with CAs

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Sondra meeting with CAs
Message-Id: <D83AB1CA-2B01-4880-9C71-CA6AEE4492E4@oig.dhs.gov>
To: OIGAuditsFollowup@oig.dhs.gov

From: Hamlin, Thomas
Sent: 27 Mar 2019 12:01:07 -0400
To: OIG Audits Followup
Subject: Accepted: Sondra meeting with CAs

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Burke, Kevin
Sent: 27 Mar 2019 16:41:32 +0000
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting
Attachments: Accepted: Financial Systems Modernization Messaging meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Financial Systems Modernization Messaging meeting
Message-Id: <5E3DB3F0C6E6B445BBAD49BD72D706DC01289571F5@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Burke, Kevin
Sent: 27 Mar 2019 12:41:31 -0400
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Microsoft Outlook
Sent: 27 Mar 2019 19:31:40 +0000
To: OIG Audits Followup
Subject: Undeliverable: FW: Request for Closure of Recommendation 1 in OIG
Report: "Management Alert - Issues Requiring Action at the Adelanto ICE Processing Center in
Adelanto, California" (OIG-18-86)
Attachments: Undeliverable: FW: Request for Closure of Recommendation 1 in OIG
Report: "Management Alert - Issues Requiring Action at the Adelanto ICE Processing Center in
Adelanto, California" (OIG-18-86)

Sender: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@icegov.onmicrosoft.com
Subject: Undeliverable: FW: Request for Closure of Recommendation 1 in OIG Report: "Management
Alert - Issues Requiring Action at the Adelanto ICE Processing Center in Adelanto, California" (OIG-18-
86)
Message-Id: <2b2ff488-0985-49c1-bead-
921f01708e9f@MWHPR09MB2045.namprd09.prod.outlook.com>
Recipient: OIGAuditsFollowup@oig.dhs.gov

From: Microsoft Outlook
Sent: 27 Mar 2019 19:31:36 +0000
To: (b)(6)
Subject: Undeliverable: FW: Request for Closure of Recommendation 1 in OIG Report: "Management Alert - Issues Requiring Action at the Adelanto ICE Processing Center in Adelanto, California" (OIG-18-86)

Delivery has failed to these recipients or groups:

(b)(6)@ice.dhs.gov

Your message is too large to send. To send it, make the message smaller, for example, by removing attachments.

The maximum message size that's allowed is 36 MB. This message is 41 MB.

Diagnostic information for administrators:

Generating server: MWHPR09MB2045.namprd09.prod.outlook.com

(b)(6)@ice.dhs.gov

Remote Server returned '550 5.2.3 RESOLVER.RST.RecipSizeLimit; message too large for this recipient'

Original message headers:

Received: from BN3PR09CA0044.namprd09.prod.outlook.com (2603:10b6:400:3::12) by MWHPR09MB2045.namprd09.prod.outlook.com (2603:10b6:300:85::7) with Microsoft SMTP Server (version=TLS1_2, cipher=TLS_ECDHE_RSA_WITH_AES_256_GCM_SHA384) id 15.20.1750.15; Wed, 27 Mar 2019 19:30:49 +0000
Received: from CY1GCC01FT009.eop-gcc01.prod.protection.outlook.com (2a01:111:f400:7d02::200) by BN3PR09CA0044.outlook.office365.com (2603:10b6:400:3::12) with Microsoft SMTP Server (version=TLS1_2, cipher=TLS_ECDHE_RSA_WITH_AES_256_CBC_SHA384) id 15.20.1750.15 via Frontend Transport; Wed, 27 Mar 2019 19:30:49 +0000
Authentication-Results: spf=pass (sender IP is 173.255.58.9) smtp.mailfrom=oig.dhs.gov; ice.dhs.gov; dkim=none (message not signed)

header.d=none;ice.dhs.gov; dmarc=pass action=none
header.from=oig.dhs.gov;
Received-SPF: Pass (protection.outlook.com: domain of oig.dhs.gov
designates
173.255.58.9 as permitted sender) receiver=protection.outlook.com;
client-ip=173.255.58.9; helo=D2IAPPREACIP8.dhs.gov;
Received: from D2IAPPREACIP8.dhs.gov (173.255.58.9) by
CY1GCC01FT009.mail.protection.outlook.com (10.97.1.7) with Microsoft
SMTP
Server (version=TLS1_2, cipher=TLS_ECDHE_RSA_WITH_AES_256_CBC_SHA384)
id
15.20.1750.16 via Frontend Transport; Wed, 27 Mar 2019 19:30:48 +0000
Received: from unknown (HELO D2ASEVPEMHU04.DSA.DHS) ([10.239.234.227])
by D2IAPPREACIP8.dhs.gov with ESMTP/TLS/DHE-RSA-AES256-SHA; 27 Mar
2019 19:30:32 +0000
Received: from D2ASEPREA009.DSA.DHS ([10.232.104.26]) by
D2ASEVPEMHU04.DSA.DHS
([fe80::e007:7cfd:ca10:bc6%15]) with mapi id 14.03.0415.000; Wed, 27
Mar
2019 15:30:24 -0400
Content-Type: multipart/mixed;
boundary="_000_9D150025B28A954FAB126D1DC8E16E52CADDC072D2ASEPREA0
09_"
From: OIG Audits Followup <OIGAuditsFollowup@oig.dhs.gov>
To: "Shiffer, John" <(b)(6)@oig.dhs.gov>, "Christian, Stephanie"
<(b)(6)@oig.dhs.gov>
CC: "Johnson, Tammy" <(b)(6)@oig.dhs.gov>, "Moy, Michael C"
<Michael.C.Moy@ice.dhs.gov>, "Bumgardner, Donald"
<(b)(6)@oig.dhs.gov>
Subject: =?Windows-
1252?Q?FW:_Request_for_Closure_of_Recommendation_1_in_OIG_Repor?=
=?Windows-1252?Q?t:_=93Management_Alert_-
_Issues_Requiring_Action_at_the_?=
=?Windows-
1252?Q?Adelanto_ICE_Processing_Center_in_Adelanto,_California?=
=?Windows-1252?Q?=94_(OIG-18-86)?=
Thread-Topic: =?Windows-
1252?Q?Request_for_Closure_of_Recommendation_1_in_OIG_Report:_?=
=?Windows-1252?Q?=93Management_Alert_-
_Issues_Requiring_Action_at_the_Ade?=
=?Windows-
1252?Q?lanto_ICE_Processing_Center_in_Adelanto,_California=94_(?=
=?Windows-1252?Q?OIG-18-86)?=
Thread-Index: AdTkVX0MAlMipyCRSxaTOFa9aTuB0QAfRO7Q
Date: Wed, 27 Mar 2019 19:30:19 +0000
Message-ID: <9D150025B28A954FAB126D1DC8E16E52CADDC072@D2ASEPREA009>
References:
<BYAPR09MB25679C5A0C80B343F17AA78F80580@BYAPR09MB2567.namprd09.prod.out
look.com>
In-Reply-To:
<BYAPR09MB25679C5A0C80B343F17AA78F80580@BYAPR09MB2567.namprd09.prod.out
look.com>
Accept-Language: en-US
Content-Language: en-US
X-MS-Has-Attach: yes
X-MS-TNEF-Correlator:
<9D150025B28A954FAB126D1DC8E16E52CADDC072@D2ASEPREA009>

x-originating-ip: [10.239.235.235]
MIME-Version: 1.0
Return-Path: OIGAuditsFollowup@oig.dhs.gov
X-EOPAttributedMessage: 0
X-Forefront-Antispam-Report: CIP:173.255.58.9;IPV:CAL;CTRY:US;EFV:NLI;
X-OriginatorOrg: icegov.onmicrosoft.com
X-MS-PublicTrafficType: Email
X-MS-Office365-Filtering-Correlation-Id: 6b8ea2ec-369d-4940-720c-
08d6b2eab756
X-Microsoft-Antispam:
BCL:0;PCL:0;RULEID:(2390118)(7020095)(4652040)(8989299)(4534185)(
4627221)(201703031133081)(8559020)(8990200)(5600127)(711020)(4605104)(4
709054)(2017052603328)(7153060)(49563074)(7193020);SRVR:MWHPR09MB2045;
X-MS-TrafficTypeDiagnostic: MWHPR09MB2045:
X-MS-Exchange-PUrlCount: 2

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 27 Mar 2019 19:40:47 +0000
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting
Attachments: Accepted: Financial Systems Modernization Messaging meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Financial Systems Modernization Messaging meeting
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012927D4D0@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 27 Mar 2019 15:40:46 -0400
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Matthews, Stephanie
Sent: 28 Mar 2019 13:46:08 +0000
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting
Attachments: Accepted: Financial Systems Modernization Messaging meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Financial Systems Modernization Messaging meeting
Message-Id: <571ACB1C99B86A439EF3E731513239251D8E2CDD@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Matthews, Stephanie
Sent: 28 Mar 2019 09:46:08 -0400
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Connor, Jason
Sent: 28 Mar 2019 15:52:22 +0000
To: OIG Audits Followup
Subject: Automatic reply: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP
Attachments: Automatic reply: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP
Message-Id: <0a9b9b6813844d9cb26b45e76bee8ee1@D2ASEVPEMHU02.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Connor, Jason
Sent: 28 Mar 2019 11:52:22 -0400
To: OIG Audits Followup
Subject: Automatic reply: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA
19-035-AUD-CBP

I will be out of the office until Monday, April 1st, 2019. For immediate concerns please contact Michael Staver or Ayana Henry.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 28 Mar 2019 15:52:23 +0000
To: OIG Audits Followup
Subject: Automatic reply: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP
Attachments: Automatic reply: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP
Message-Id: <dbbfd214f89a48f289d42355a0c4967f@D2ASEVPEMHU02.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 28 Mar 2019 11:52:23 -0400
To: OIG Audits Followup
Subject: Automatic reply: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA
19-035-AUD-CBP

I will be away from the office at a conference on Thursday 3/28/19. Don Bumgardner will be acting AIGA and can be reached at 202-981 (b)(6)

Regards,
Sondra McCauley

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Forney, Sean
Sent: 28 Mar 2019 15:53:55 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro,
CA 19-035-AUD-CBP
Attachments: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro,
CA 19-035-AUD-CBP

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP
Message-Id: <D46B86388698DC48BF25FF3CDD3AECFF014723FE80@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Forney, Sean
Sent: 28 Mar 2019 11:53:55 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bumgardner, Donald
Sent: 28 Mar 2019 16:01:55 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro,
CA 19-035-AUD-CBP
Attachments: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro,
CA 19-035-AUD-CBP

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP
Message-Id: <1CE2CFA9-9415-4915-8EA5-79718D4D741F@oig.dhs.gov>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bumgardner, Donald
Sent: 28 Mar 2019 12:01:54 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro,
CA 19-035-AUD-CBP

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Staver, Michael
Sent: 28 Mar 2019 16:07:49 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro,
CA 19-035-AUD-CBP
Attachments: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro,
CA 19-035-AUD-CBP

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP
Message-Id: <5A560E98B4175D4EA309BE888D550F22DBA02F25@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Staver, Michael
Sent: 28 Mar 2019 12:07:48 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Henry, Ayana
Sent: 28 Mar 2019 16:20:52 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro,
CA 19-035-AUD-CBP
Attachments: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro,
CA 19-035-AUD-CBP

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP
Message-Id: <2D95BB3F05DBC344825CF0218B367B4A81105BEB@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Henry, Ayana
Sent: 28 Mar 2019 12:20:51 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Veppumthara, Abe
Sent: 28 Mar 2019 22:27:21 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro,
CA 19-035-AUD-CBP
Attachments: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro,
CA 19-035-AUD-CBP

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP
Message-Id: <5C4F65EFE6A1E345A7EEC9D1A92D56D1CB346182@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Veppumthara, Abe
Sent: 28 Mar 2019 18:27:20 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 29 Mar 2019 14:05:45 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro,
CA 19-035-AUD-CBP
Attachments: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro,
CA 19-035-AUD-CBP

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012927E02C@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 29 Mar 2019 10:05:45 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Connor, Jason
Sent: 29 Mar 2019 14:29:15 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro,
CA 19-035-AUD-CBP
Attachments: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro,
CA 19-035-AUD-CBP

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro, CA 19-035-AUD-CBP
Message-Id: <6E6F6A56-83EE-48A2-A3EC-EEFAF1EC37EE@oig.dhs.gov>
To: OIGAuditsFollowup@oig.dhs.gov

From: Connor, Jason
Sent: 29 Mar 2019 10:29:15 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting: CBP Use of Force Incidents in San Ysidro,
CA 19-035-AUD-CBP

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 29 Mar 2019 19:38:15 +0000
To: OIG Audits Followup
Subject: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart
Attachments: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012927ED66@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 29 Mar 2019 15:38:15 -0400
To: OIG Audits Followup
Subject: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Stewart, Alexander
Sent: 29 Mar 2019 19:39:25 +0000
To: OIG Audits Followup
Subject: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart
Attachments: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart
Message-Id: <DB85D33A-AFD6-4DAB-B928-70668247060E@oig.dhs.gov>
To: OIGAuditsFollowup@oig.dhs.gov

From: Stewart, Alexander
Sent: 29 Mar 2019 15:39:26 -0400
To: OIG Audits Followup
Subject: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 29 Mar 2019 19:43:02 +0000
To: OIG Audits Followup
Subject: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart
Attachments: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012927EE27@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 29 Mar 2019 15:43:00 -0400
To: OIG Audits Followup
Subject: Accepted: Employee of the Month Coffee Meeting/Alexander Stewart

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Dolloson, Kevin
Sent: 1 Apr 2019 11:32:23 +0000
To: OIG Audits Followup
Subject: Accepted: Sondra meeting with CAs
Attachments: Accepted: Sondra meeting with CAs

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Sondra meeting with CAs
Message-Id: <0DE7B37041F97043827EB5A081BC37170118D8FBE2@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Dolloson, Kevin
Sent: 1 Apr 2019 07:32:22 -0400
To: OIG Audits Followup
Subject: Accepted: Sondra meeting with CAs

Requester's Name: Andrew Free

FOIA/PA NO.: 2019-IGFO-002096

6 PAGE(S)

are referred to FEMA for processing
and direct response to the requester.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Hetzler, Rebecca
Sent: 1 Apr 2019 16:23:43 +0000
To: OIG Audits Followup
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting
Attachments: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting
Message-Id: <9620CA9C88DD28449B77E62CD14E9AD50158D2F545@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Hetzler, Rebecca
Sent: 1 Apr 2019 12:23:42 -0400
To: OIG Audits Followup
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Lewis, Alicia
Sent: 1 Apr 2019 16:28:31 +0000
To: OIG Audits Followup
Subject: Meeting Forward Notification: Design Meeting FEMA Due Diligence in
Disaster Contracting
Attachments: Meeting Forward Notification: Design Meeting FEMA Due Diligence in
Disaster Contracting

Sender: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
On-Behalf-Of: (b)(6)@oig.dhs.gov
Subject: Meeting Forward Notification: Design Meeting FEMA Due Diligence in Disaster Contracting
Message-Id: <235B3FA29C6852458AF0881CF7BE86FA0146CCF794@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Lewis, Alicia
Sent: 1 Apr 2019 12:28:31 -0400
To: OIG Audits Followup
Subject: Meeting Forward Notification: Design Meeting FEMA Due Diligence in
Disaster Contracting

Your meeting was forwarded

[Lewis, Alicia](#) has forwarded your meeting request to additional recipients.

Meeting

Design Meeting FEMA Due Diligence in Disaster Contracting

Meeting Time

Tuesday, 09 April 2019 14:00-15:00.

Recipients

[Polster, Devin](#)

All times listed are in the following time zone: (UTC-05:00) Eastern Time (US & Canada)

Sent by Microsoft Exchange Server 2010

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Trimble, Katherine
Sent: 1 Apr 2019 16:38:10 +0000
To: OIG Audits Followup
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting
Attachments: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting
Message-Id: <877D7206F41D7E4789799F1638A3FDA11D29C627@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Trimble, Katherine
Sent: 1 Apr 2019 12:38:10 -0400
To: OIG Audits Followup
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Smith, Rickey
Sent: 1 Apr 2019 18:36:06 +0000
To: OIG Audits Followup
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting
Attachments: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting
Message-Id: <F2956FA0D300114CA36A82CFBC4623A50147471141@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Smith, Rickey
Sent: 1 Apr 2019 14:36:06 -0400
To: OIG Audits Followup
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bernard, Kristen
Sent: 1 Apr 2019 16:55:28 +0000
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting
Attachments: Accepted: Financial Systems Modernization Messaging meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Financial Systems Modernization Messaging meeting
Message-Id: <282C1EEC5E52ED45BD0FDCAF40924BAC013E66893E@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bernard, Kristen
Sent: 1 Apr 2019 12:55:28 -0400
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Burke, Kevin
Sent: 1 Apr 2019 19:54:22 +0000
To: OIG Audits Followup
Subject: Automatic reply: Financial Systems Modernization Messaging meeting
Attachments: Automatic reply: Financial Systems Modernization Messaging meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Financial Systems Modernization Messaging meeting
Message-Id: <d708e6e4ef5c4d87bc55c318a13b8671@D2ASEVPEMHU04.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Burke, Kevin
Sent: 1 Apr 2019 15:54:22 -0400
To: OIG Audits Followup
Subject: Automatic reply: Financial Systems Modernization Messaging meeting

I will be out of the office through Wednesday, April 3.

Charles Twitty (202-369-(b)(6)) will be acting for me.

Please contact Charles Twitty (202-369-(b)(6)) if you have a question about the vulnerability audit or international mail projects.

Please contact Stephanie Matthews (202-573-(b)(6)) if you have a question about the financial systems modernization effort.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Crissey, G. Scott
Sent: 1 Apr 2019 19:56:41 +0000
To: OIG Audits Followup
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)
Attachments: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)
Message-Id: <84C6F2C47BA948409DCBAC5350BBAD97013714E8BC@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Crissey, G. Scott
Sent: 1 Apr 2019 15:56:39 -0400
To: OIG Audits Followup
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 1 Apr 2019 19:56:45 +0000
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting
Attachments: Accepted: Financial Systems Modernization Messaging meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Financial Systems Modernization Messaging meeting
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012927F914@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 1 Apr 2019 15:56:44 -0400
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 1 Apr 2019 19:57:04 +0000
To: OIG Audits Followup
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)
Attachments: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012927F93E@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 1 Apr 2019 15:57:03 -0400
To: OIG Audits Followup
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of OMalley, Patrick
Sent: 1 Apr 2019 19:58:03 +0000
To: OIG Audits Followup
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)
Attachments: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)
Message-Id: <4E1F5E9B3F6633429B6EFB5006F92E95CB0F5A3C@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: OMalley, Patrick
Sent: 1 Apr 2019 15:58:02 -0400
To: OIG Audits Followup
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Manduzio, James
Sent: 1 Apr 2019 20:02:05 +0000
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting
Attachments: Accepted: Financial Systems Modernization Messaging meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Financial Systems Modernization Messaging meeting
Message-Id: <76BAFD1D-0297-4DC0-B4E7-402A18655B0D@oig.dhs.gov>
To: OIGAuditsFollowup@oig.dhs.gov

From: Manduzio, James
Sent: 1 Apr 2019 16:02:04 -0400
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Hamlin, Thomas
Sent: 1 Apr 2019 20:00:55 +0000
To: OIG Audits Followup
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)
Attachments: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)
Message-Id: <0643AFC75F113B42BE67CEBE615AA39C0146C48A69@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Hamlin, Thomas
Sent: 1 Apr 2019 16:00:54 -0400
To: OIG Audits Followup
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bernard, Kristen
Sent: 1 Apr 2019 20:53:16 +0000
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting
Attachments: Accepted: Financial Systems Modernization Messaging meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Financial Systems Modernization Messaging meeting
Message-Id: <282C1EEC5E52ED45BD0FDCAF40924BAC013E6690FE@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bernard, Kristen
Sent: 1 Apr 2019 16:53:15 -0400
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bumgardner, Donald
Sent: 1 Apr 2019 22:29:25 +0000
To: OIG Audits Followup
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)
Attachments: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)
Message-Id: <07E720B58A82A64898CA5E2FBDE0D113013736DA28@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bumgardner, Donald
Sent: 1 Apr 2019 18:29:27 -0400
To: OIG Audits Followup
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Veppumthara, Abe
Sent: 2 Apr 2019 13:24:16 +0000
To: OIG Audits Followup
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting
Attachments: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting
Message-Id: <5C4F65EFE6A1E345A7EEC9D1A92D56D1CB34CD07@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Veppumthara, Abe
Sent: 2 Apr 2019 09:24:16 -0400
To: OIG Audits Followup
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Veppumthara, Abe
Sent: 2 Apr 2019 13:25:27 +0000
To: OIG Audits Followup
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)
Attachments: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)
Message-Id: <5C4F65EFE6A1E345A7EEC9D1A92D56D1CB34CD4B@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Veppumthara, Abe
Sent: 2 Apr 2019 09:25:27 -0400
To: OIG Audits Followup
Subject: Accepted: TSA's Quiet Skies Program Audit Message Meeting (18-116-AUD-TSA)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bebow, Brooke
Sent: 2 Apr 2019 16:23:21 +0000
To: OIG Audits Followup
Subject: Declined: Design Meeting FEMA Due Diligence in Disaster Contracting
Attachments: Declined: Design Meeting FEMA Due Diligence in Disaster Contracting

Sender: (b)(6)@oig.dhs.gov
Subject: Declined: Design Meeting FEMA Due Diligence in Disaster Contracting
Message-Id: <B2CA406C-528C-4CA4-91B9-36D3CD6D6B74@oig.dhs.gov>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bebow, Brooke
Sent: 2 Apr 2019 12:23:20 -0400
To: OIG Audits Followup
Subject: Declined: Design Meeting FEMA Due Diligence in Disaster Contracting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Hetzler, Rebecca
Sent: 2 Apr 2019 17:14:22 +0000
To: OIG Audits Followup
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting
Attachments: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting
Message-Id: <9620CA9C88DD28449B77E62CD14E9AD50158D304BC@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Hetzler, Rebecca
Sent: 2 Apr 2019 13:14:21 -0400
To: OIG Audits Followup
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 2 Apr 2019 18:05:43 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting 18-008-AUD-FEMA Special Report –
Disaster Debris Contracts
Attachments: Accepted: Message Meeting 18-008-AUD-FEMA Special Report –
Disaster Debris Contracts

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting 18-008-AUD-FEMA Special Report – Disaster Debris Contracts
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012927FE9C@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 2 Apr 2019 14:05:42 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting 18-008-AUD-FEMA Special Report "Disaster
Debris Contracts

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Aviles, Carlos
Sent: 2 Apr 2019 18:05:09 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting 18-008-AUD-FEMA Special Report –
Disaster Debris Contracts
Attachments: Accepted: Message Meeting 18-008-AUD-FEMA Special Report –
Disaster Debris Contracts

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting 18-008-AUD-FEMA Special Report – Disaster Debris Contracts
Message-Id: <F8851C40C6450F449CB10BA1A168F5630158BE0C57@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Aviles, Carlos
Sent: 2 Apr 2019 14:05:09 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting 18-008-AUD-FEMA Special Report "Disaster
Debris Contracts

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Starinsky, Yesenia
Sent: 2 Apr 2019 18:23:30 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting 18-008-AUD-FEMA Special Report –
Disaster Debris Contracts
Attachments: Accepted: Message Meeting 18-008-AUD-FEMA Special Report –
Disaster Debris Contracts

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting 18-008-AUD-FEMA Special Report – Disaster Debris Contracts
Message-Id: <7817511BBF10D649AEC2E99C816677ABDBD72D49@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Starinsky, Yesenia
Sent: 2 Apr 2019 14:23:30 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting 18-008-AUD-FEMA Special Report â€“ Disaster
Debris Contracts

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bullis, Lauren
Sent: 2 Apr 2019 18:33:00 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting 18-008-AUD-FEMA Special Report –
Disaster Debris Contracts
Attachments: Accepted: Message Meeting 18-008-AUD-FEMA Special Report –
Disaster Debris Contracts

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting 18-008-AUD-FEMA Special Report – Disaster Debris Contracts
Message-Id: <8C7547AFE86A0648B749F81963855B38613BE449@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bullis, Lauren
Sent: 2 Apr 2019 14:32:58 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting 18-008-AUD-FEMA Special Report "Disaster
Debris Contracts

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Ogunduyile, Modupe
Sent: 2 Apr 2019 18:42:05 +0000
To: OIG Audits Followup
Subject: Meeting Forward Notification: Mid-Point Meeting for 18-107-AUD-DHS
– “Securing our Agriculture and Food Act”
Attachments: Meeting Forward Notification: Mid-Point Meeting for 18-107-AUD-DHS
– “Securing our Agriculture and Food Act”

Sender: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
On-Behalf-Of: (b)(6)@oig.dhs.gov
Subject: Meeting Forward Notification: Mid-Point Meeting for 18-107-AUD-DHS – “Securing our
Agriculture and Food Act”
Message-Id: <EF92A5FC77C1EF408BBDB1BD27247A2F012863004D@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Ogunduyile, Modupe
Sent: 2 Apr 2019 14:42:05 -0400
To: OIG Audits Followup
Subject: Meeting Forward Notification: Mid-Point Meeting for 18-107-AUD-DHS
- "Securing our Agriculture and Food Act"

Your meeting was forwarded

[Ogunduyile, Modupe](#) has forwarded your meeting request to additional recipients.

Meeting

Mid-Point Meeting for 18-107-AUD-DHS - "Securing our Agriculture and Food Act"

Meeting Time

Wednesday, 03 April 2019 14:00-15:00.

Recipients

[Johnson, Tammy](#)

[Viray, Lovella](#)

All times listed are in the following time zone: (UTC-05:00) Eastern Time (US & Canada)

Sent by Microsoft Exchange Server 2010

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Veppumthara, Abe
Sent: 2 Apr 2019 19:25:24 +0000
To: OIG Audits Followup
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting
Attachments: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting
Message-Id: <5C4F65EFE6A1E345A7EEC9D1A92D56D1CB34DB4E@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Veppumthara, Abe
Sent: 2 Apr 2019 15:25:24 -0400
To: OIG Audits Followup
Subject: Accepted: Design Meeting FEMA Due Diligence in Disaster Contracting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Veppumthara, Abe
Sent: 2 Apr 2019 19:28:23 +0000
To: OIG Audits Followup
Subject: Accepted: Message Meeting 18-008-AUD-FEMA Special Report –
Disaster Debris Contracts
Attachments: Accepted: Message Meeting 18-008-AUD-FEMA Special Report –
Disaster Debris Contracts

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message Meeting 18-008-AUD-FEMA Special Report – Disaster Debris Contracts
Message-Id: <5C4F65EFE6A1E345A7EEC9D1A92D56D1CB34DBA0@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Veppumthara, Abe
Sent: 2 Apr 2019 15:28:22 -0400
To: OIG Audits Followup
Subject: Accepted: Message Meeting 18-008-AUD-FEMA Special Report "Disaster
Debris Contracts

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Burke, Kevin
Sent: 3 Apr 2019 10:28:38 +0000
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting
Attachments: Accepted: Financial Systems Modernization Messaging meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Financial Systems Modernization Messaging meeting
Message-Id: <21983617-10CB-479D-8175-84B966530A28@oig.dhs.gov>
To: OIGAuditsFollowup@oig.dhs.gov

From: Burke, Kevin
Sent: 3 Apr 2019 06:28:37 -0400
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Viray, Lovella
Sent: 3 Apr 2019 12:34:47 +0000
To: OIG Audits Followup
Subject: Message Recall Failure: Cancellation Memo for Review - Verification
Review of FEMA's Program Implementation in Response to Hurricane Harvey in the State of
Texas (522986)
Attachments: Message Recall Failure: Cancellation Memo for Review - Verification
Review of FEMA's Program Implementation in Response to Hurricane Harvey in the State of
Texas (522986)

Sender: (b)(6)@oig.dhs.gov
Subject: Message Recall Failure: Cancellation Memo for Review - Verification Review of FEMA's
Program Implementation in Response to Hurricane Harvey in the State of Texas (522986)
Message-Id: <9D150025B28A954FAB126D1DC8E16E52CADE84A2@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Viray, Lovella
Sent: 3 Apr 2019 08:34:46 -0400
To: OIG Audits Followup
Subject: Message Recall Failure: Cancellation Memo for Review - Verification
Review of FEMA's Program Implementation in Response to Hurricane Harvey in the State of
Texas (522986)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Houston, Devon
Sent: 3 Apr 2019 13:57:53 +0000
To: OIG Audits Followup
Subject: Meeting Forward Notification: Message Meeting for NFIP Severe
Repetitive Loss Audit
Attachments: Meeting Forward Notification: Message Meeting for NFIP Severe
Repetitive Loss Audit

Sender: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
On-Behalf-Of: (b)(6)@oig.dhs.gov
Subject: Meeting Forward Notification: Message Meeting for NFIP Severe Repetitive Loss Audit
Message-Id: <C3B7860FF91269478CD4D637D9096A5E01474F6644@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Houston, Devon
Sent: 3 Apr 2019 09:57:53 -0400
To: OIG Audits Followup
Subject: Meeting Forward Notification: Message Meeting for NFIP Severe
Repetitive Loss Audit

Your meeting was forwarded

[Houston, Devon](#) has forwarded your meeting request to additional recipients.

Meeting

Message Meeting for NFIP Severe Repetitive Loss Audit

Meeting Time

Wednesday, 17 April 2019 09:00-10:00.

Recipients

[John, Sandra](#)

All times listed are in the following time zone: (UTC-05:00) Eastern Time (US & Canada)

Sent by Microsoft Exchange Server 2010

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Trimble, Katherine
Sent: 3 Apr 2019 14:29:50 +0000
To: OIG Audits Followup
Subject: Message Recall Failure: Cancellation Memo for Review - Verification
Review of FEMA's Program Implementation in Response to Hurricane Harvey in the State of
Texas (522986)
Attachments: Message Recall Failure: Cancellation Memo for Review - Verification
Review of FEMA's Program Implementation in Response to Hurricane Harvey in the State of
Texas (522986)

Sender: (b)(6)@oig.dhs.gov
Subject: Message Recall Failure: Cancellation Memo for Review - Verification Review of FEMA's
Program Implementation in Response to Hurricane Harvey in the State of Texas (522986)
Message-Id: <877D7206F41D7E4789799F1638A3FDA11D29FE82@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Trimble, Katherine
Sent: 3 Apr 2019 10:29:49 -0400
To: OIG Audits Followup
Subject: Message Recall Failure: Cancellation Memo for Review - Verification
Review of FEMA's Program Implementation in Response to Hurricane Harvey in the State of
Texas (522986)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Manduzio, James
Sent: 3 Apr 2019 14:59:16 +0000
To: OIG Audits Followup
Subject: Automatic reply: Financial Systems Modernization Messaging meeting
Attachments: Automatic reply: Financial Systems Modernization Messaging meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Financial Systems Modernization Messaging meeting
Message-Id: <32e864a34afa4140a505f32857abb9fd@D2ASEVPEMHU12.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Manduzio, James
Sent: 3 Apr 2019 10:59:16 -0400
To: OIG Audits Followup
Subject: Automatic reply: Financial Systems Modernization Messaging meeting

I will be off line until Friday morning April 5th and will address your emails at that time.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bumgardner, Donald
Sent: 3 Apr 2019 15:22:29 +0000
To: OIG Audits Followup
Subject: Accepted: CBP Exec Level Meeting for Covert Testing audit
Attachments: Accepted: CBP Exec Level Meeting for Covert Testing audit

Sende (b)(6)@oig.dhs.gov
Subject: Accepted: CBP Exec Level Meeting for Covert Testing audit
Message-Id: <07E720B58A82A64898CA5E2FBDE0D1130137377E08@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bumgardner, Donald
Sent: 3 Apr 2019 11:22:29 -0400
To: OIG Audits Followup
Subject: Accepted: CBP Exec Level Meeting for Covert Testing audit

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Dolloson, Kevin
Sent: 3 Apr 2019 15:42:24 +0000
To: OIG Audits Followup
Subject: Automatic reply: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA
Attachments: Automatic reply: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA
Message-Id: <cc545559488f457e91890a2ae43c3ca2@D2ASEVPEMHU03.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Dolloson, Kevin
Sent: 3 Apr 2019 11:42:24 -0400
To: OIG Audits Followup
Subject: Automatic reply: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA

I will be in a Communications Analyst Conference April 3 & 4, 2019. I will have limited access to email and will reply based upon availability and priority. I will return to my normal telework schedule April 5, 2019.

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Pettersen, Sean
Sent: 3 Apr 2019 15:42:25 +0000
To: OIG Audits Followup
Subject: Automatic reply: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA
Attachments: Automatic reply: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA

Sender: (b)(6)@oig.dhs.gov
Subject: Automatic reply: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA
Message-Id: <e947cefc2862444c85e7d9c490760330@D2ASEVPEMHU03.DSA.DHS>
To: OIGAuditsFollowup@oig.dhs.gov

From: Pettersen, Sean
Sent: 3 Apr 2019 11:42:25 -0400
To: OIG Audits Followup
Subject: Automatic reply: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA

I will be out of the office April 3rd. Should you need assistance with the Criminal Alien or T&U Visa programs, please contact Karen Sheen at 303.263 (b)(6) or Melissa Williams for the Drug Destruction Audit 404.798 (b)(6)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Williams, Melissa
Sent: 3 Apr 2019 15:45:47 +0000
To: OIG Audits Followup
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA
Attachments: Accepted: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA
Message-Id: <2236D6FA92F0E64EA996083EF998A0C601465BB00A@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Williams, Melissa
Sent: 3 Apr 2019 11:45:47 -0400
To: OIG Audits Followup
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of McCauley, Sondra
Sent: 3 Apr 2019 15:45:59 +0000
To: OIG Audits Followup
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA
Attachments: Accepted: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA
Message-Id: <9CA93D35DE56084ABDD474E4EC3EC8FF012928039C@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: McCauley, Sondra
Sent: 3 Apr 2019 11:45:59 -0400
To: OIG Audits Followup
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Khun, Darvy
Sent: 3 Apr 2019 15:50:46 +0000
To: OIG Audits Followup
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA
Attachments: Accepted: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA
Message-Id: <FC56FDDAC0A7E849A00F5FE6E6654BCAB021F3D8@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Khun, Darvy
Sent: 3 Apr 2019 11:50:46 -0400
To: OIG Audits Followup
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Zubowicz, Christopher
Sent: 3 Apr 2019 15:52:59 +0000
To: OIG Audits Followup
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA
Attachments: Accepted: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA
Message-Id: <15D032AABE7B2249BB59E995FDACEE724FE0C62B@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Zubowicz, Christopher
Sent: 3 Apr 2019 11:52:59 -0400
To: OIG Audits Followup
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Bumgardner, Donald
Sent: 3 Apr 2019 16:10:37 +0000
To: OIG Audits Followup
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA
Attachments: Accepted: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA
Message-Id: <07E720B58A82A64898CA5E2FBDE0D1130137377F99@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Bumgardner, Donald
Sent: 3 Apr 2019 12:10:37 -0400
To: OIG Audits Followup
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Dolloson, Kevin
Sent: 3 Apr 2019 16:35:25 +0000
To: OIG Audits Followup
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA
Attachments: Accepted: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA
Message-Id: <0DE7B37041F97043827EB5A081BC37170118D91385@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Dolloson, Kevin
Sent: 3 Apr 2019 12:35:24 -0400
To: OIG Audits Followup
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Veppumthara, Abe
Sent: 3 Apr 2019 16:56:27 +0000
To: OIG Audits Followup
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA
Attachments: Accepted: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA
Message-Id: <5C4F65EFE6A1E345A7EEC9D1A92D56D1CB34F194@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Veppumthara, Abe
Sent: 3 Apr 2019 12:56:27 -0400
To: OIG Audits Followup
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Veppumthara, Abe
Sent: 3 Apr 2019 18:58:30 +0000
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting
Attachments: Accepted: Financial Systems Modernization Messaging meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Financial Systems Modernization Messaging meeting
Message-Id: <5C4F65EFE6A1E345A7EEC9D1A92D56D1CB34F547@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Veppumthara, Abe
Sent: 3 Apr 2019 14:58:30 -0400
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Williams, Robert
Sent: 3 Apr 2019 19:36:25 +0000
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting
Attachments: Accepted: Financial Systems Modernization Messaging meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Financial Systems Modernization Messaging meeting
Message-Id: <0D15E2CCAF78FC44BB5FE7D5F768FF48AFF29705@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Williams, Robert
Sent: 3 Apr 2019 15:36:24 -0400
To: OIG Audits Followup
Subject: Accepted: Financial Systems Modernization Messaging meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Dale, Beverly A.
Sent: 3 Apr 2019 20:10:58 +0000
To: OIG Audits Followup
Subject: Declined: Financial Systems Modernization Messaging meeting
Attachments: Declined: Financial Systems Modernization Messaging meeting

Sender: (b)(6)@oig.dhs.gov
Subject: Declined: Financial Systems Modernization Messaging meeting
Message-Id: <B3AA5FEBBAF6294292649E6F446F957901287833DD@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Dale, Beverly A.
Sent: 3 Apr 2019 16:10:57 -0400
To: OIG Audits Followup
Subject: Declined: Financial Systems Modernization Messaging meeting

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Pettersen, Sean
Sent: 4 Apr 2019 12:46:47 +0000
To: OIG Audits Followup
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA
Attachments: Accepted: Message meeting for FEMA Surge Capacity Workforce
Program 18-083-AUD-FEMA

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA
Message-Id: <1B355EC2C8B2994AB2A94B725496C92DCAC68082@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Pettersen, Sean
Sent: 4 Apr 2019 08:46:47 -0400
To: OIG Audits Followup
Subject: Accepted: Message meeting for FEMA Surge Capacity Workforce Program 18-083-AUD-FEMA

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Burke, Kevin
Sent: 4 Apr 2019 14:26:38 +0000
To: OIG Audits Followup
Subject: Meeting Forward Notification: Financial Systems Modernization
Messaging meeting
Attachments: Meeting Forward Notification: Financial Systems Modernization
Messaging meeting

Sender: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
On-Behalf-Of: (b)(6)@oig.dhs.gov
Subject: Meeting Forward Notification: Financial Systems Modernization Messaging meeting
Message-Id: <5E3DB3F0C6E6B445BBAD49BD72D706DC012895D638@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Burke, Kevin
Sent: 4 Apr 2019 10:26:38 -0400
To: OIG Audits Followup
Subject: Meeting Forward Notification: Financial Systems Modernization
Messaging meeting

Your meeting was forwarded

[Burke, Kevin](#) has forwarded your meeting request to additional recipients.

Meeting

Financial Systems Modernization Messaging meeting

Meeting Time

Thursday, 04 April 2019 13:30-14:30.

Recipients

[Schoonover, Kenneth](#)

[Williams, Robert](#)

[Reuben Dorman, Katrina](#)

[Matthews, Stephanie](#)

All times listed are in the following time zone: (UTC-05:00) Eastern Time (US & Canada)

Sent by Microsoft Exchange Server 2010

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Schoonover, Kenneth
Sent: 4 Apr 2019 17:32:33 +0000
To: OIG Audits Followup
Subject: Meeting Forward Notification: Financial Systems Modernization
Messaging meeting
Attachments: Meeting Forward Notification: Financial Systems Modernization
Messaging meeting

Sender: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
On-Behalf-Of: (b)(6)@oig.dhs.gov
Subject: Meeting Forward Notification: Financial Systems Modernization Messaging meeting
Message-Id: <C0F01BF919054B479C9750B1AEEB5378B0516E38@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Schoonover, Kenneth
Sent: 4 Apr 2019 13:32:33 -0400
To: OIG Audits Followup
Subject: Meeting Forward Notification: Financial Systems Modernization
Messaging meeting

Your meeting was forwarded

[Schoonover, Kenneth](#) has forwarded your meeting request to additional recipients.

Meeting

Financial Systems Modernization Messaging meeting

Meeting Time

Thursday, 04 April 2019 13:30-14:30.

Recipients

[Williams, Robert](#)

All times listed are in the following time zone: (UTC-05:00) Eastern Time (US & Canada)

Sent by Microsoft Exchange Server 2010

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Benson, Patricia
Sent: 4 Apr 2019 19:42:04 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for DHS' Use and Oversight of
Administrative Asset Forfeiture Audit (18-104-AUD-CBP, ICE, USCG, USSS)
Attachments: Accepted: Go/No-Go Meeting for DHS' Use and Oversight of
Administrative Asset Forfeiture Audit (18-104-AUD-CBP, ICE, USCG, USSS)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for DHS' Use and Oversight of Administrative Asset Forfeiture
Audit (18-104-AUD-CBP, ICE, USCG, USSS)
Message-Id: <93F0327F4AB5314AAD168E0E51AFD55E01593B8C25@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Benson, Patricia
Sent: 4 Apr 2019 15:42:02 -0400
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for DHS's Use and Oversight of Administrative
Asset Forfeiture Audit (18-104-AUD-CBP, ICE, USCG, USSS)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Lu, David
Sent: 4 Apr 2019 19:42:08 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for DHS' Use and Oversight of
Administrative Asset Forfeiture Audit (18-104-AUD-CBP, ICE, USCG, USSS)
Attachments: Accepted: Go/No-Go Meeting for DHS' Use and Oversight of
Administrative Asset Forfeiture Audit (18-104-AUD-CBP, ICE, USCG, USSS)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for DHS' Use and Oversight of Administrative Asset Forfeiture
Audit (18-104-AUD-CBP, ICE, USCG, USSS)
Message-Id: <72429DEE1C2430468064ABF25B1DFE740159A65961@D2ASEPREA005>
To: OIGAuditsFollowup@oig.dhs.gov

From: Lu, David
Sent: 4 Apr 2019 15:42:06 -0400
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for DHS's Use and Oversight of Administrative
Asset Forfeiture Audit (18-104-AUD-CBP, ICE, USCG, USSS)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Finn, Elizabeth
Sent: 4 Apr 2019 19:42:21 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for DHS' Use and Oversight of
Administrative Asset Forfeiture Audit (18-104-AUD-CBP, ICE, USCG, USSS)
Attachments: Accepted: Go/No-Go Meeting for DHS' Use and Oversight of
Administrative Asset Forfeiture Audit (18-104-AUD-CBP, ICE, USCG, USSS)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for DHS' Use and Oversight of Administrative Asset Forfeiture
Audit (18-104-AUD-CBP, ICE, USCG, USSS)
Message-Id: <609E8758D3BD0442BBF1D6FC3789DF4D0128B882A2@D2ASEPREA009>
To: OIGAuditsFollowup@oig.dhs.gov

From: Finn, Elizabeth
Sent: 4 Apr 2019 15:42:20 -0400
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for DHS's Use and Oversight of Administrative
Asset Forfeiture Audit (18-104-AUD-CBP, ICE, USCG, USSS)

From: MicrosoftExchange329e71ec88ae4615bbc36ab6ce41109e@DSA.DHS
on behalf of Howes, Shelley
Sent: 4 Apr 2019 19:49:31 +0000
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for DHS' Use and Oversight of
Administrative Asset Forfeiture Audit (18-104-AUD-CBP, ICE, USCG, USSS)
Attachments: Accepted: Go/No-Go Meeting for DHS' Use and Oversight of
Administrative Asset Forfeiture Audit (18-104-AUD-CBP, ICE, USCG, USSS)

Sender: (b)(6)@oig.dhs.gov
Subject: Accepted: Go/No-Go Meeting for DHS' Use and Oversight of Administrative Asset Forfeiture
Audit (18-104-AUD-CBP, ICE, USCG, USSS)
Message-Id: <7EFADD514224AB45A19B11AB04A9A09D0147766332@D2ASEPREA002>
To: OIGAuditsFollowup@oig.dhs.gov

From: Howes, Shelley
Sent: 4 Apr 2019 15:49:30 -0400
To: OIG Audits Followup
Subject: Accepted: Go/No-Go Meeting for DHS's Use and Oversight of Administrative
Asset Forfeiture Audit (18-104-AUD-CBP, ICE, USCG, USSS)